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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE BEFORE THE TRADEMARK TRIAL AND APPEAL BOARD

Proceeding	91173105
Party	Plaintiff Honda Motor Co., Ltd.
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Date	10/27/2008
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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE BEFORE THE TRADEMARK TRIAL AND APPEAL BOARD

In the Matter of Application Serial No. 78/339,571 Published: May 30, 2006 at TM 674 Mark: DEALERDASHBOARD

HONDA MOTOR CO., LTD.,) Opposition No. 91/173,105				
Opposer,)				
) NOTICE OF RELIANCE				
V.)				
)				
MICHAEL DALTON,)				
)				
Applicant)				
)				

TAB A

ORIGINAL

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5	Published: May 30, 20 Mark: DEALERDASHBOARD		IT I'M (0/4				
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9	Opposer,				: :			
10	VS.					posit		No.
11	MICHAEL DALTON,				: 91,	/173,	105	
12	Applicant.				: :			
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1	APPEARANG	JES:
2	On	behalf of the opposer:
3	-	WILMER CUTLER PICKERING HALE AND DORR LLF
4		BY: Dyan Finguerra-DuCharme, Esq. 399 Park Avenue
5		New York, New York 10022
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7 8	On	behalf of the applicant:
9		LAW OFFICES OF CATHY R. COOK BY: Jonathan P. Dameron, Esq.
10		114 East Eighth Street Cincinnati, Ohio 45202
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- 1 MICHAEL E. DALTON
- 2 of lawful age, an applicant herein, being first duly
- 3 sworn as hereinafter certified, was examined and
- 4 deposed as follows:
- 5 EXAMINATION
- 6 BY MS. FINGUERRA-DUCHARME:
- 7 Q. Good morning, Mr. Dalton.
- 8 A. Good morning.
- 9 Q. I'm Dyan Finguerra-DuCharme. I'm here on
- 10 behalf of Honda Motor Co. Have you ever been deposed
- 11 before?
- 12 A. Yes.
- 13 Q. Okay. When were you deposed?
- A. Let's see. You know, I can't even recall
- 15 what the litigation was. It might have -- it might
- 16 have even been a divorce --
- 17 Q. Okay.
- 18 A. -- but at this stage I don't --
- 19 Q. Okay. It was a while ago, though?
- 20 A. Yes.
- 21 Q. Okay. And it would have had nothing to do
- 22 with trademarks, as far as you remember?
- 23 A. No.
- Q. Okay. And so you understand what the
- 25 ground rules are, that if I ask a question and you do

- 1 not -- it's unclear, let me know. You can take a
- 2 break whenever you want to take a break, just let us
- 3 know. For the most part -- if we're in the middle of
- 4 something, I might want to finish up a line of
- 5 questioning, but just let me know if you're getting
- 6 tired or if you want to get a drink or have to use
- 7 the bathroom.
- 8 Your lawyer here might make some
- 9 objections, so if he does, he'll make them for the
- 10 record. And then unless it's privileged information,
- 11 you'll need to answer the questions. And if you
- 12 could just give verbal responses instead of nodding
- 13 your head, that would be helpful as well.
- 14 A. Okay.
- Q. Right, exactly. So can you let us know
- 16 what your residential address is?
- 17 A. The -- my official residential address is
- 18 P.O. Box 18137, Cincinnati, Ohio, 45218.
- 19 Q. And that's your -- that's your business
- 20 address, too?
- 21 A. Correct.
- Q. Okay. And you might want to speak slowly
- 23 so that she can get everything down. Can you walk me
- 24 through your -- your educational background, I guess
- 25 after -- after high school? Did you go on to

- 1 college?
- 2 A. I did.
- 3 Q. Where did you go to school?
- 4 A. Started out at University of Cincinnati,
- 5 associate's of business administration. From there I
- 6 went to Xavier University, bachelor's of business
- 7 administration.
- 8 Q. Okay. And what kind of degree did you
- 9 graduate with?
- 10 A. Bachelor's of business administration.
- 11 Q. A bachelor's of business -- okay. Can you
- 12 speak a little -- I guess a little louder?
- 13 A. Okay.
- Q. Because it's -- it's hard to hear it all.
- 15 A. Okay.
- Q. And so after you graduated, what did
- 17 you -- what was your job upon graduation?
- 18 A. My first job out of college was with Alfa
- 19 Romeo as a regional -- regional service manager, I
- 20 believe, was the title.
- Q. Okay. So you've always been interested in
- 22 cars then?
- A. Yes. My last two years of high school
- 24 were vocational.
- Q. Okay. And then what did you do for Alfa

- 1 Romeo?
- 2 A. Regional service manager.
- 3 Q. And what did that entail in that job?
- 4 A. I had responsibility for seven states,
- 5 calling on automobile dealerships with regards to
- 6 their service department. I did warranty audits. I
- 7 did technical training at the dealership. I was
- 8 the -- the liaison between the manufacturer and the
- 9 automobile dealerships.
- 10 Q. Okay. And how long did you do that for?
- 11 A. I was with Alfa Romeo one year.
- 12 Q. One year. And what did you do after Alfa
- 13 Romeo?
- 14 A. After Alfa Romeo, I went to Peugeot Motors
- 15 of America.
- 16 Q. Okay.
- 17 A. At Peugeot Motors of America, I was
- 18 district parts and accessories manager --
- 19 Q. Okay.
- 20 A. -- for five states, and there I dealt with
- 21 the parts department with regards to inventory turn
- 22 ratios, dealer profitability, dealer financial
- 23 analysis, composite analysis, how a dealer performs
- 24 in comparison to the broad base either regionally or
- 25 nationally, and acted as liaison between a

- 1 manufacturer and a dealer and adviser, made
- 2 recommendations to dealers -- dealers, how they could
- 3 improve their operations.
- 4 Q. Okay. And when -- what year was this?
- 5 A. We're looking at early '80s.
- 6 Q. I should have asked, when did you graduate
- 7 from -- when did you get your -- your business
- 8 degree?
- 9 A. My bachelor's degree was in May of '82.
- 10 Q. Of '82, okay. So then what did you -- so
- 11 you were there for how many years at --
- 12 A. Peugeot was two years.
- 13 Q. Two years.
- 14 A. Uh-huh.
- Q. And what did you do when you left Peugeot?
- 16 A. I went to Saab.
- Q. Saab, okay.
- 18 A. District parts and accessories manager. I
- 19 had five states. Basically the same responsibility.
- 20 Q. These are all very nice cars, I must say.
- 21 A. Yeah.
- Q. I hope you got a company car in the deal.
- A. Absolutely. Every month.
- Q. Really?
- A. 5,000 miles you get a different one, and I

- 1 traveled five states, so --
- 2 Q. Wow. And did you have your choice, or was
- 3 there -- did they limit it?
- A. You know, it all depends. It was -- it
- 5 was based on distribution, sales, you know. Of
- 6 course you couldn't get a vehicle that --
- 7 Q. A Saab convertible?
- 8 A. Well, right.
- 9 Q. That's what I wouldn't mind, so --
- 10 A. Right.
- 11 Q. Okay. So now -- so it sounds like you
- 12 were sort of in the same role, you were -- you were
- 13 coordinating with parts and services and the dealers
- 14 and the manufacturer and sort of coming up with ideas
- 15 as to how they can run their dealerships better?
- 16 A. Correct.
- Q. Okay. So, now, you were at Saab for how
- 18 many years?
- 19 A. One year.
- 20 Q. One year. Is it -- is it normal in your
- 21 business to sort of go from manufacturer to
- 22 manufacturer?
- A. Well, unfortunately during the '80s was
- 24 the turbulent time for the auto industry. There was
- 25 mergers, acquisitions, buyouts, so traditionally the

- 1 automotive industry is a high turnover industry,
- 2 especially at the dealership level. With
- 3 manufacturers, if you're able to maintain longevity
- 4 with a manufacturer in our generation time, it's --
- 5 it's a plus.
- I mean, it used to be you could go to work
- 7 for General Motors and work from the day you went
- 8 into the shop floor until you retired. During the
- 9 '80s, the political climate, the competition, things
- 10 changed. So it wasn't unusual for several
- 11 manufacturers to, you know, make organizational
- 12 changes. In fact, Alfa Romeo left this country.
- 13 Peugeot left this country, so --
- 14 Q. Right.
- 15 A. -- it's -- and they haven't reentered this
- 16 country as of yet with their products, so it was very
- 17 turbulent times for the auto industry during that
- 18 period, unfortunately for me.
- 19 Q. It seems like it's probably that way
- 20 today, too?
- 21 A. It is. And, in fact, Chrysler is going
- 22 through some -- well, all the manufacturers are.
- Q. Right.
- A. Honda is probably not fortunately, but --
- Q. Right, right.

- 1 A. -- fortunately.
- Q. Okay. So now you were at Saab for a year.
- 3 Where did you go after Saab?
- 4 A. I went with a company called ASC,
- 5 Incorporated.
- 6 Q. Okay.
- 7 A. They are an aftermarket Tier I supplier --
- Q. Okay.
- 9 A. -- to the auto industry. They probably at
- 10 that time built 90 percent of the convertibles. They
- 11 would design and engineer a product, and, in fact,
- 12 they -- the designed and engineered the -- the Saab
- 13 convertible. They would present that product to the
- 14 manufacturers. The manufacturer would either buy the
- 15 project or not.
- 16 If the manufacturer did not buy the
- 17 project and they -- ASC found it to be a viable
- 18 product, they would do an aftermarket release of the
- 19 product, and they would do -- you would do a
- 20 ship-through program. For example, General Motors
- 21 bought the Camaro convertible project but did not buy
- 22 the Firebird convertible project. So ASC found that
- 23 to be a viable product. They would drop-ship the car
- 24 to an ASC plant, convert that to a convertible, and
- 25 transfer it to the dealership.

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1 My responsibility, I had seven states, I
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- 2 was involved in the sales and -- I was regional sales
- 3 and marketing. I was involved in installing
- 4 dealerships, and so my job -- in the aftermarket
- 5 division. So my job would be to call on a
- 6 dealership, establish open points to distribute
- 7 vehicles.
- 8 They also did open-air systems with
- 9 regards to electric power sunroofs, manually-operated
- 10 sunroofs, and they had their own franchise network of
- 11 aftermarket installers. Dealer sells an automobile
- 12 at their dealership, customer wants a sunroof in that
- 13 product, they would then sell the customer that --
- 14 the service.
- They would send it to an ASC-licensed
- 16 franchisee. They would install that sunroof, and it
- 17 would be in the -- in the aftermarket. So it was
- 18 also my responsibility to call on -- in my region of
- 19 responsibility to call on those distributors,
- 20 increase sales, create marketing plans, do sales and
- 21 marketing training.
- Q. Okay. So it's -- it was a little
- 23 different then, this job? It seems like you were
- 24 more of a -- of a salesman and marketing person as
- 25 opposed to --

- 1 A. You know, that -- for the layman, I guess
- 2 that would probably be the -- the interpretation, but
- 3 everything you do in the automobile industry is
- 4 sales, whether it be service or parts. Because if
- 5 you're in service, you're repairing a vehicle.
- 6 First, you have to diagnose the problem, determine
- 7 what needs to be -- be repaired, and then you have to
- 8 sell that service to the customer.
- 9 Likewise with parts. The customer comes
- 10 to your parts counter. You have to create -- create
- 11 an environment that they're going to make you top of
- 12 mind to come to them to purchase the product. So
- 13 sales is a function of each responsibility that I had
- 14 in the automotive industry.
- 15 Q. Okay. So how long were you with this
- 16 aftermarket company for?
- 17 A. Two years.
- 18 Q. Two years. And what did you do after --
- 19 now, what -- what time frame do you think we're in,
- 20 late '80s now or is this the '90s?
- 21 A. Right now, '90.
- 22 Q. Okay.
- 23 A. Right at '90.
- Q. So what did you do after you left them?
- A. '90. From that point forward, I have had

- 1 Thomas Michael Agency, which is my own.
- Q. Oh, so you created your own company?
- 3 A. Uh-huh.
- 4 Q. It was Thomas Michael AC (sic), you said?
- 5 A. Agency.
- Q. Agency. What made you name it Thomas
- 7 Michael Agency, as opposed to Michael Dalton Agency?
- 8 A. When I originally established it, I had a
- 9 partner.
- 10 Q. Okay.
- 11 A. I was the Michael, he was the Thomas.
- 12 Q. Oh, interesting, okay.
- A. And unfortunately he has passed --
- Q. Oh, I'm sorry.
- 15 A. -- due to a heart attack, so --
- 16 Q. So what did your agency do?
- 17 A. We originally started out doing
- 18 advertising sales.
- 19 Q. Okay.
- 20 A. We create -- we had a telemarketing office
- 21 that called on small businesses to do print
- 22 advertising on a product, and then we distributed
- 23 that product to the customer.
- Q. Was this outside of the automotive field
- 25 then?

- 1 A. It was, yeah, uh-huh.
- 2 Q. So you just decided to leave the
- 3 automotive industry?
- 4 A. I didn't really leave. I mean, I was
- 5 still -- still seeking opportunities in that
- 6 industry --
- 7 Q. Okay.
- 8 A. -- but this particular opportunity
- 9 prevailed itself. If you recall, '90 was a
- 10 recession.
- 11 Q. Yeah.
- 12 A. A lot of organizational downsizing. The
- 13 automotive industry was dry, and, you know, we all do
- 14 what we have to do when the time --
- 15 Q. Right.
- 16 A. -- for, you know --
- Q. I understand. So how long were you
- 18 running this telemarketing company for?
- 19 A. I think it lasted for about 2 ½ years.
- Q. Okay. And then what did you do after
- 21 that?
- 22 A. I've been doing consulting work and sales
- 23 and marketing for -- not just in the automotive
- 24 industry, but also in the electronics industry.
- 25 Q. Okay.

- 1 A. And that's what I do today.
- 2 Q. That's what you do today.
- 3 A. Uh-huh.
- Q. So why don't you tell me what -- in a
- 5 nutshell what it is that your employment is now.
- 6 A. Well, I -- I still have Thomas Michael
- 7 Agency, so --
- 8 Q. Oh, you're still doing that?
- 9 A. Uh-huh.
- 10 Q. Okay.
- 11 A. I'm self-employed.
- 12 Q. And anything else?
- 13 A. No.
- 14 Q. Okay. I just want to make sure that I --
- 15 I understand what -- what your current -- because you
- 16 haven't mentioned DealerDashboard.com at all, and I
- 17 thought that that was one of your businesses?
- 18 A. It's part of the Thomas Michael Agency,
- 19 yes.
- 20 Q. That's part of the agency?
- 21 A. Uh-huh.
- Q. Okay. So why don't you tell me what is --
- 23 what are the components of your agency?
- 24 A. Well, it's -- it's all a sole
- 25 proprietorship. Thomas Michael --

- Q. What are the things that you do, in other
- 2 words, through your agency?
- 3 A. I -- I have DealerDashboard. I do, you
- 4 know, the -- the sales and marketing for that, and I
- 5 do consulting work for retail suppliers,
- 6 manufacturers. For example, I call on, you know,
- 7 your big box electrics, electronics refers to Best
- 8 Buy, the Circuit City, for different manufacturers.
- 9 Currently I -- I do a project for Epson, American
- 10 Printers. So I -- it's sales and marketing. I go in
- 11 and teach their personnel how to present their
- 12 product.
- 13 Q. Okay. All right. So you're aware that
- 14 you're here today to testify in the opposition
- 15 proceeding between American Honda and yourself,
- 16 right?
- 17 A. Correct.
- Q. Okay. Did you prepare for the deposition
- 19 today?
- 20 A. Meaning -- I mean, I entered -- I answered
- 21 your interrogatories. I sent you some exhibits. As
- 22 far as did I rehearse, is that -- is that your
- 23 question?
- Q. If you want to phrase it like that. Or
- 25 did you --

- 1 A. Did I -- did I --
- Q. -- do anything yesterday or the day before
- 3 or the last week to --
- 4 A. Did I rehearse with legal representation
- 5 or something? Absolutely not.
- 6 Q. Okay. Did you talk about this deposition
- 7 with anybody, getting ready for it?
- 8 A. Well, sure, I talked with my mate.
- 9 Q. Okay. And I assume that you understand
- 10 what the opposition proceeding is about between Honda
- 11 and yourself?
- 12 A. I understand they're opposing, yes.
- Q. Okay. Why don't you tell me what your
- 14 understanding is of the proceeding.
- 15 A. It's my understanding that they're
- 16 opposing my trademark for which I tried -- which I'm
- 17 in the process of -- of registering my intellectual
- 18 property rights, and Honda has decided to oppose that
- 19 registration.
- Q. Okay. All right. We -- you spoke a
- 21 moment ago about -- a moment ago about
- 22 DealerDashboard -- the company DealerDashboard --
- 23 A. Uh-huh.
- Q. -- which, I guess, is part -- it's part of
- 25 your agency. And you said that you formed it -- how

1 many years ago do you think did you form it? Back in

- 2 the late '90s, was it?
- 3 A. '99.
- 4 0. '99?
- 5 A. Uh-huh.
- 6 Q. Okay. How was it organized? You said it
- 7 was a sole proprietorship, so it's just you who runs
- 8 DealerDashboard.com?
- 9 A. Correct.
- 10 Q. Okay. Can you describe to me the services
- 11 that DealerDashboard.com provides?
- 12 A. DealerDashboard.com is a -- is a Web site.
- 13 It provides the opportunity for consumers and
- 14 dealerships to communicate without third-party
- 15 intervention, i.e., the manufacturer. It allows the
- 16 consumer to visit the Web site, click on various
- 17 links.
- There's opportunities for them to do
- 19 research in the automotive industry. There's
- 20 opportunities for them to purchase various products
- 21 and services via affiliate links, whether it be
- 22 parts, accessories, from not only manufactured but --
- 23 manufactured franchise dealers, but also independent
- 24 parts, sales, things like that.
- The services that I provide, I have a

1 product that I offer to dealerships with regards to

- 2 floorplan financing. A dealership can go to
- 3 DealerDashboard.com, inquire about floorplan
- 4 financing for their used vehicles, submit an
- 5 application to me, and I have a third-party vendor
- 6 that processes that -- processes that, finances it,
- 7 and for that I receive compensation.
- Q. Okay. So let's go back to -- you had said
- 9 that it provides the opportunity for consumers --
- 10 well, for dealerships to communicate. So how is it
- 11 that the dealerships are communicating through
- 12 DealerDashboard.com?
- A. A dealership has the opportunity to
- 14 participate in DealerDashboard through various
- 15 channels. DealerDashboard was the first product in
- 16 the United States to offer a dealer-to-dealer
- 17 wholesale online auction that was developed.
- A dealer has an opportunity to participate
- 19 by paying a monthly subscription fee and then
- 20 participate in posting inventory that they would want
- 21 to wholesale. And other dealerships who are also
- 22 participating in that subscription service could go
- 23 online, view their inventory, bid online for that
- 24 particular product, and then an exchange is made.
- 25 That -- that is done via a marketing agreement with a

- 1 Canadian firm who develops the software and gave me
- 2 the distribution rights for the United States.
- 3 Q. Okay. So how many people or dealerships
- 4 participate right now?
- 5 A. Currently there is none.
- 6 Q. There is none right now?
- 7 A. Correct.
- Q. Okay. Are there any dealers that are
- 9 participating in the finance plan that you had spoken
- 10 about?
- 11 A. Yes, there are, and I had provided you
- 12 those.
- 13 Q. How many are there?
- 14 A. I think there was four or five currently.
- Q. And when did you first engage those
- 16 dealers?
- 17 A. I don't recall.
- Q. Was it within the past year, past two
- 19 years?
- 20 A. Some of them have been within the past
- 21 year, yes.
- 22 Q. When do you --
- A. When the first one actually came on
- 24 board -- I've been -- I've been offering it for
- 25 years. The first one came on board maybe two years

- 1 ago.
- Q. Two years ago, okay. So we're in 2008.
- 3 So your first dealer was in 2006?
- 4 A. Possibly.
- 5 Q. Before then, the -- the use of
- 6 DealerDashboard.com was --
- 7 A. Let me correct that.
- Q. Okay.
- 9 A. Okay. First dealership with my current
- 10 vendor. I had a prior vendor, so it may have been
- 11 2001 when I -- when the -- I don't have that readily
- 12 available at the top of my head, mainly because I
- 13 don't maintain those records. I'm -- I'm an agent,
- 14 sales agent. I don't maintain them. I don't process
- 15 them. I don't finance them. I'm -- I'm the sales
- 16 commissioned agent, basically.
- 17 Q. Okay. And I did a lot of surfing on your
- 18 Web site.
- 19 A. Uh-huh.
- Q. And I -- the consumer angle of it I -- I
- 21 understand --
- A. Uh-huh.
- Q. -- and I think it's very useful actually.
- 24 It's the dealership part that I want to get my arms
- 25 around a little bit better.

- 1 A. Uh-huh.
- 2 Q. So you -- from the beginning when you
- 3 conceived of DealerDashboard.com, you had two goals.
- 4 One was to reach the consumers, and one was to allow
- 5 dealerships an opportunity to be managed better, to
- 6 be able to have information sort of in one place, and
- 7 to communicate with each other?
- 8 A. Uh-huh.
- 9 Q. Is that fair?
- 10 A. Uh-huh.
- 11 Q. Okay. For that part of the dealership,
- 12 for any of the services that you provided, when do
- 13 you think that you first started -- when was your
- 14 first customer on the dealership end?
- 15 A. Well, that's hard to say, because when I
- 16 first -- when the order was first designed and
- 17 implemented, it was -- it was a period of time
- 18 when -- when, you know, it was the Wild West in
- 19 the -- in the Internet. We were an innovator, one of
- 20 the -- some of the first people that -- that got
- 21 involved in that arena.
- I think initially, it was an informational
- 23 provider type of a system, and so it would be hard to
- 24 say how many dealers utilized the thing. I know I --
- 25 I would get inquiries, e-mail inquiries.

- 1 Unfortunately, I didn't maintain them, but I would
- 2 get inquiries. But as far as actually generating a
- 3 revenue from -- from those dealer services, if that's
- 4 the question I think I'm interpreting, I think I'm --
- 5 you're looking at maybe 2001.
- 6 Q. Okay. All right. I'm looking at when it
- 7 was that you first started to provide those services
- 8 to the dealerships.
- 9 A. Okay. I provided dealerships with
- 10 services prior to even forming DealerDashboard.
- 11 DealerDashboard was a -- it was a new paradigm where
- 12 the automotive industry was transforming from the
- 13 traditional way of doing business to the Web. And I
- 14 have a minor in computer programming, so I was one of
- 15 the innovators who entered that -- that industry. I
- 16 mean, this is before the Google time, before --
- 17 Autoweb was just becoming --
- 18 Q. Right.
- 19 A. And I worked for several of those as
- 20 consultants, several of the other innovators in the
- 21 automotive industry. I will say this. In the
- 22 automotive industry, there was two national
- 23 conferences with regards to Web and Internet
- 24 development for automobile dealerships. By the
- 25 second convention that was ever originated,

- 1 DealerDashboard had been formed.
- Q. Okay. All right. I actually have some
- 3 printouts of -- of your Web site, which I thought
- 4 might be useful for us to -- for you to walk me
- 5 through it.
- MS. FINGUERRA-DUCHARME: So if you can
- 7 mark this as Exhibit 1, that would be terrific.
- 8 I'll give you the color copy.
- 9 THE WITNESS: Okay. Thank you.
- 10 (Deposition Exhibit 1 was marked for
- identification.)
- 12 BY MS. FINGUERRA-DUCHARME:
- Q. So if you can just sort of walk me through
- 14 your Web site. This is -- this would be the -- when
- 15 I typed in DealerDashboard.com, this was the first --
- 16 the first three pages that would form what my
- 17 screenshot was.
- A. As it pertains to 5/30, 2008 -- currently
- 19 in its existence as 5/3, 2008?
- Q. Exactly.
- 21 A. Is that correct?
- Q. Yes. And then the last few pages, I just
- 23 clicked -- I just clicked on a couple of -- of links.
- A. So to preface, these -- these questions
- 25 are in regard to current available services to

- 1 dealerships; is that correct?
- Q. Exactly.
- 3 A. Okay.
- 4 Q. So Exhibit 1 reflects a printout -- the
- 5 first three pages of Exhibit 1 are a printout from
- 6 the DealerDashboard.com. And then the last few pages
- 7 of Exhibit 1 is -- are printouts from various links
- 8 from -- that were on DealerDashboard.com.
- 9 So let's focus on the first three pages.
- 10 So walk me through the message that you're saying to
- 11 consumers, what -- the services that you're offering
- 12 when you get to the home page of your Web site.
- 13 A. Well, you can see this is a -- this is a
- 14 portal. It's presenting the theme of
- 15 DealerDashboard. It has a graphic of a dashboard at
- 16 the -- in the middle of the page, and at the top of
- 17 the page it has a scenic view.
- And what I'm trying to portray to the
- 19 public is this is the future view of the automotive
- 20 world with regards to the Internet as you're sitting
- 21 in the driver's seat. And at the beginning of
- 22 developing DealerDashboard, I even used the slogan,
- 23 "Behind the wheel, we deliver," using the "Behind the
- 24 wheel" as a metaphor for sitting behind a dashboard
- 25 and also behind the wheel as in mouse wheel click,

- 1 okay?
- 2 So that's what I'm trying to portray to
- 3 the -- to the public as they visit this Web site.
- 4 And as you see, there's various services here that
- 5 are not only available to the consumer but to the
- 6 dealership.
- For example, a consumer can take and --
- 8 and get free dealer invoice pricing and free used-car
- 9 values. I'm talking about on the left-hand side, the
- 10 first graphic. They can do some research on
- 11 purchasing a vehicle.
- 12 That goes -- by clicking on that link, it
- 13 will take you to a portal where I have an affiliate
- 14 marketing agreement, so at that point in time a
- 15 consumer can request a bid on an automobile. Those
- 16 are then forwarded to dealers throughout the country
- 17 based on their zip code that the -- the consumer puts
- 18 in. And, therefore, a consumer can -- can
- 19 communicate directly with the dealer via a generated
- 20 lead which is a revenue source.
- 21 Q. Okay.
- 22 A. On the right-hand side, you'll see that
- 23 I've implemented -- this is also with an affiliate
- 24 agreement with autobytel.com. You will see that at
- 25 that point in time, it not only reveals to the

- 1 consumer but to a dealer, if the dealer were to visit
- 2 DealerDashboard, the top ten vehicles that are in the
- 3 market currently. And these change from time to time
- 4 as a -- as a feed, automatic feed.
- 5 On the left-hand side, you'll see it's --
- 6 it's broken up into "Consumers" and "Business 2
- 7 Business." I'm talking on the -- so if you go down,
- 8 a consumer can go and research new and used cars.
- 9 They can click and get to see the current rebates
- 10 that are available to them.
- 11 Some -- I use affiliate partnerships.
- 12 Sometimes those links are not functioning. Sometimes
- 13 agreements that I have, the people no longer provide
- 14 those services, so I'm constantly modifying the
- 15 source of -- that I would make that available.
- 16 Q. It seems like autobytel is a big -- is a
- 17 big link that a lot of these resolve to?
- 18 A. It is currently. And that name has
- 19 changed, just like in the merger and acquisitions
- 20 with regards to the automobile industry, same way has
- 21 been with the -- in the Internet industry.
- Originally, Autoweb was the major.
- 23 Autobytel bought them out, so currently -- and it's
- 24 actually not Autobytel, it's a different -- AIC,
- 25 Inc., I think, is where my affiliate agreement is,

- 1 and they're, like, the parent company. And they
- 2 bought up and consolidated a lot of the companies,
- 3 but, you know -- so it has evolved over time due to
- 4 market conditions.
- 5 Q. What is your agreement then with -- with
- 6 autobytel? So do you get, like, a click-through feed
- 7 with them --
- 8 A. Correct.
- 9 Q. -- every time somebody comes from your Web
- 10 site to autobytel?
- 11 A. Well, it's a co-branded site,
- 12 DealerDashboard.
- 13 O. Okav.
- A. So in all likelihood, when they click
- 15 through, they're going to see DealerDashboard, the
- 16 DealerDashboard logo, which would be at the bottom of
- 17 the page there, you'll see a dashboard.
- Q. Here. Let's see, this one doesn't have
- 19 it.
- 20 A. It would be on page 2. Do you see the
- 21 dashboard emblem, DealerDashboard.com?
- 22 O. Yeah.
- 23 A. Okay. That -- in all likelihood, that is
- 24 going to be at the top of the page. So dealer,
- 25 consumer, would still have the same identity across,

- 1 but it's basically --
- 2 Q. Oh, I see.
- 3 A. -- it's basically a co-branded site
- 4 with --
- 5 Q. If you go four pages in, this -- I clicked
- 6 into a link for --
- 7 A. Yeah. As they say, it's got the -- I'm
- 8 looking at page 1 of 2 where a partner, ASPC -- see,
- 9 if you look there, it says AutoWorld.com?
- 10 Q. Yeah.
- 11 A. Okay. That's -- that's who I have an
- 12 affiliate agreement with, a co-branding affiliate
- 13 agreement, not just an affiliate agreement. So you
- 14 can see that DealerDashboard is up at the top.
- 15 Q. Yep.
- 16 A. And it still gives the appearance that it
- 17 originates from DealerDashboard, but it is -- that
- 18 information is provided by a third party.
- 19 Q. Okay. And this is through -- so this is
- 20 through an agreement, and you have an agreement with
- 21 them that you get some kind of -- of a fee for every
- 22 time a consumer goes from your portal to their Web
- 23 site --
- 24 A. Right.
- Q. -- for information?

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- 1 A. Correct.
- Q. Okay. So where is the dealer services?
- 3 A. If you look over here, it says, "Business
- 4 2 Business" on the left-hand side.
- 5 Q. Yeah.
- A. Okay. You have "D2D Auction." That would
- 7 be referring to the wholesale online auction that I
- 8 had spoken about earlier.
- 9 Q. Yep.
- 10 A. If you see "Floorplan Financing," if you
- 11 click on there, the dealership would have the
- 12 opportunity to submit an application for "Floorplan
- 13 Financing" for their vehicles.
- 14 Q. Okay.
- 15 A. Okay.
- 16 Q. And you coordinate that then with their
- 17 money lender?
- 18 A. Correct. It's branded DealerDashboard.
- 19 It comes to -- they submit their application via fax.
- 20 All their documentation, dealer agreement, personal
- 21 financials, okay, those come to me.
- 22 Q. Okay.
- 23 A. I reviewed them initially. If they meet
- 24 my underwriting guidelines, which have been outlined
- 25 from the lenders that I have an affiliation agreement

- 1 with, then I would submit it to them.
- 2 Q. Okay.
- 3 A. "Money Lenders," if you click on that,
- 4 that is a publication that's available to commercial
- 5 dealerships that, if they were looking for expansion
- 6 capital, inventory financing, looking to build a
- 7 building, looking for lenders, that -- that is a
- 8 directory of all -- you know, I wouldn't say "all,"
- 9 but it's compiled of -- of a lot of different various
- 10 lenders.
- I sell that publication. That publication
- 12 is available for -- for sale. I have some -- and
- 13 that's another product I really -- I have sold. I'd
- 14 have to get the exact date on it. Then, you know, a
- 15 dealer would get that publication, and then they
- 16 could determine these particular banks, these
- 17 particular lenders do inventory financing. I could
- 18 submit my application to them.
- 19 Q. Okay.
- 20 A. "Target Marketing," they would click on
- 21 that, and that has to do with data mining. It's
- 22 through a third-party vendor. What that allows the
- 23 dealership to do, they would inquire and would do a
- 24 statistical analysis with regards to their historical
- 25 data consumers.

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1 So if they decided to -- to engage us in
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- 2 a -- in a project, we would take all of their
- 3 customers that they had within the last three years,
- 4 enter that in a database, and then we have criteria
- 5 that's designed to do demographics, who are your
- 6 customers, where are your customers located. And the
- 7 theory behind that is if, you know, you have a
- 8 concentration of -- of customers in a particular zip
- 9 code, it's going to be more effective for you to --
- 10 to target your advertising in that particular market.
- But it goes much more specific than that,
- 12 not just where the people live, but as far as, you
- 13 know, average income, price of house, so that they
- 14 can actually target market their -- their efforts to
- 15 increase their business. Make sense?
- 16 Q. It does make sense. I just --
- 17 A. Okay.
- 18 Q. It's interesting, because to me it sounds
- 19 like a very useful tool. And it's curious that the
- 20 marketing is driven towards trying to get the
- 21 particular dealership on board as opposed to trying
- 22 to sell this to the manufacturer. Because if the
- 23 manufacturer engaged you, then it would be sort of a
- 24 directive to the dealerships to --
- 25 A. I'm certainly --

- 1 Q. -- use this.
- 2 A. I'm certainly willing to sell my services
- 3 to Honda if they would be interested.
- 4 Q. No, I'm not -- I'm not speaking on Honda's
- 5 behalf on that at all. I'm just saying, it seems to
- 6 me that that would be --
- 7 A. Well --
- Q. I'm sure you thought of that, it just --
- 9 A. Well, there -- you know, there -- there
- 10 are products out there that -- that do these for
- 11 manufacturers, and a lot of the knowledge that I have
- 12 was gained through my experience working for
- 13 manufacturers. But there's a whole niche that --
- 14 that the manufacturers are not even involved in, and
- 15 that's the independent used-car dealer. So this is
- 16 geared to those --
- 17 O. I see.
- 18 A. -- particular individuals.
- 19 Q. Okay. That makes sense.
- 20 A. Okay. So, you know, your -- your average
- 21 guy who has been a car salesman for ten years and
- 22 gets his -- you know, gets his little lot and starts
- 23 his own car dealership, you know, he -- he doesn't
- 24 have any affiliation with the -- with the
- 25 manufacturer.

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Q. And he doesn't have any working -- he
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- 2 doesn't have any central computer system that he's
- 3 drawing upon --
- 4 A. Correct.
- 5 Q. -- from the manufacturer, so he has got to
- 6 start from scratch?
- 7 A. Correct.
- 8 Q. Okay.
- 9 A. And so that -- you know, that's
- 10 where -- you know, I --
- 11 Q. That's --
- 12 A. I can't compete with a Honda. I can't
- 13 compete with -- with, you know, the deep-pocket --
- 14 Q. Right.
- 15 A. -- people, but I certainly can compete in
- 16 the independent --
- 17 Q. I understand.
- 18 A. -- automotive car dealers.
- 19 Q. Okay.
- 20 A. Okay. "Dealers Automall," that's a --
- 21 that's a subsection of the dealer-to-dealer auction.
- 22 What that does is provide those who participate in
- 23 the auction and subscribe to that monthly service,
- 24 they can post their inventory online as well. And
- 25 then they can -- and then if they -- it is provided

- 1 as a link from their own Web site so the consumer
- 2 could see pictures of their product -- their current
- 3 product that they have on their lot, and, you know,
- 4 can actually -- can actually make an offer.
- 5 Q. Okay.
- 6 A. "Sales Training," "Parts Marketing," that
- 7 would be an opportunity for dealers to actually
- 8 purchase my services, to come and do -- come -- to
- 9 come into the dealership on an individual basis to do
- 10 the same thing for them as a consultant that I would
- 11 do if I had been the manufacturer liaison.
- 12 Q. Okay.
- 13 A. "Dealer Supplies," the same -- same way,
- 14 that -- that goes to an opportunity for dealers to
- 15 purchase advertising, branded advertising materials,
- 16 whether it be license plate frames, pens, banners,
- 17 signs, that type of product. "Vendor Programs,"
- 18 vendor programs --
- 19 Q. Can I just say, it's always funny to me
- 20 when I get a new car how the dealer sort of sneaks
- 21 those --
- 22 A. Yes.
- 23 Q. -- those little frames around my -- around
- 24 my license plate.
- 25 A. Exactly.

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1 Q. They should be paying me because I'm
```

- 2 giving them some great free marketing here, you know.
- A. Exactly, but, you know, it's all about
- 4 getting your name out there and getting it seen.
- 5 Q. Yeah.
- 6 A. So the "Vendor Programs," that has to do
- 7 with -- initially -- that really hasn't progressed
- 8 very well for me. Initially, it was going to be an
- 9 opportunity for -- for vendors to participate at
- 10 DealerDashboard with the thought that I would have
- 11 traffic from a lot of dealerships, and then they
- 12 could communicate with -- with a particular vendor,
- 13 and, of course, along the line, DealerDashboard
- 14 received commission for that service.
- 15 Q. All right. And then --
- A. And "Careers" would be an opportunity for
- 17 any individual that would want to sell my services.
- 18 Down below, you see "Add URL" --
- 19 Q. Well, actually, before we get back to
- 20 that --
- 21 A. Sure.
- 22 Q. -- let's just -- so -- so this "Business 2
- 23 Business," all of the little bullets under that,
- 24 that's -- that's what you have to offer to the
- 25 dealerships?

1 A. That's -- that's the -- that would be the

- 2 core.
- 3 Q. Yep.
- A. Anything that they did, for example, if
- 5 you look at the front page, it is a portal with
- 6 links, which, by the way, was one of the first
- 7 automotive specific portables -- portals --
- 8 portables -- portals in the country, okay? So if --
- 9 if someone were to click on training products, if a
- 10 dealer were to click on training products, they would
- 11 see different vendors in the automotive industry that
- 12 provide training products for that industry specific.
- So the service to the dealership is still
- 14 there by going to DealerDashboard. Some of those
- 15 were revenue generating, some of those are an
- 16 exchange -- link exchange.
- 17 Q. Right.
- 18 A. So they would -- you know, that particular
- 19 site would promote DealerDashboard, I would promote
- 20 them.
- 21 Q. Right.
- A. So they're a link exchange. In order
- 23 for -- for anyone to be on that, they have to submit
- 24 their desire to be there. I have to approve it, and
- 25 then it's -- then they're -- and then that's -- and

1 normally I try to vet most of those individuals, so

- 2 that it's cleaner than just going out and --
- 3 Q. But the -- the services that are
- 4 particular to -- so -- so there's a lot of
- 5 information you provide that would be useful to both
- 6 a consumer and a -- and a dealership, but the
- 7 services that you can offer to the particular dealer,
- 8 everything from the salvage training to the floorplan
- 9 finance, to focus in on those, that's what we were
- 10 talking about before, that you probably first started
- 11 to offer those first sort of narrowly tailored
- 12 services maybe in about 2001 or so?
- 13 A. I know in 1999. I offered the services
- 14 prior to that with regards to consulting to the
- 15 dealership with regards to their operations, but as
- 16 far as DealerDashboard, I mean, those have been on --
- 17 on the Web site since '99.
- 18 Q. Right. But -- but --
- A. Web site development, initially when, you
- 20 know, I created DealerDashboard, I offered Web site
- 21 development for dealers that was -- you know, a lot
- 22 of dealers didn't even have Web sites at that stage
- 23 in the game, so I offered that and I offered, you
- 24 know, my consulting services to go and do -- do the,
- 25 you know, financial analysis.

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And now I do that via the Internet, have
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- 2 that service available to go to a dealership. And,
- 3 you know, I can sit at home, and I have software
- 4 that -- that can actually go into their computer and
- 5 see their financial statements and do analysis and
- 6 present that information.
- 7 Q. No, I think you're answering it. I
- 8 think that back -- so back in '99 when you put this
- 9 up, those services were part of what you offered, and
- 10 then your first actual customer probably wasn't until
- 11 a couple of years later?
- 12 A. Uh-huh.
- Q. And you don't know the exact date?
- 14 A. Uh-huh.
- 15 Q. Okay. Excellent. All right. I think
- 16 that that was very helpful with -- with understanding
- 17 how this -- how this all works. And we're looking to
- 18 get a new car, so I was sort of surfing around on
- 19 this for personal reasons as well, so -- all right.
- 20 So let's talk now about your trademark
- 21 application, which is really why we're -- we're here
- 22 today. What were your reasons for wanting to adopt a
- 23 trademark called DealerDashboard?
- A. Well, I wanted originality. And at that
- 25 time, I think I've kind of explained, you know, the

1 way the automotive industry was, and it was -- and it

- 2 was a shift in the paradigm. Dealers were going to
- 3 be doing business quite differently than they had
- 4 ever done in history, and I looked at, you know,
- 5 how -- how can I portray the image that I want to
- 6 create to a dealership, one I'm trying to accomplish.
- 7 So I took the -- you know, of course my
- 8 primary target was the dealer, and I took the
- 9 concept, well, you know, we're going to be looking at
- 10 it out on a totally different future, and where does
- 11 the consumer as well as the automobile dealership
- 12 really see the road to success, and that's by looking
- 13 over the dashboard of their automobile as they're
- 14 traveling down from -- you know, point to point. So
- 15 that was a concept I had in my mind, and that's when
- 16 DealerDashboard ingeniously popped.
- Q. So you didn't consider any other marks?
- 18 You thought of DealerDashboard and -- or were there
- 19 other marks that you were toying with as well?
- A. At that point in time, I was doing a
- 21 consulting project for a company called dealerTools.
- 22 Q. Okay.
- 23 A. And back -- back in the -- that day, most
- 24 of your automobile Internet companies, your
- 25 compensation was stock. So you would devote your

1 time and your energy marketing and you got stock. If

- 2 you picked the right company, you did extremely well.
- 3 There were millionaires made with Autoweb,
- 4 you know. The person who purchased Business.com, it
- 5 was sold for 3.5 million. So, you know, I was -- I
- 6 was on that -- in that arena, and dealerTools was not
- 7 panning out.
- 8 And although I saw some things that --
- 9 that could go but weren't -- weren't, I made the
- 10 recommendations to that particular. They decided not
- 11 to pursue those avenues. I decided I wanted to
- 12 pursue them on my own, had to come up with a name. I
- 13 had to come up with a domain name, and
- 14 DealerDashboard popped in my mind.
- 15 Q. But, I mean, obviously you didn't coin the
- 16 name -- the term "dealer," right?
- 17 A. I didn't coin the name "dealer."
- 18 Q. Correct.
- 19 A. I didn't coin the name "dashboard." I
- 20 coined the name "DealerDashboard."
- Q. Okay. What's your understanding of what
- 22 the term "dealer" means?
- 23 A. Well, in my arena, in the automotive
- 24 industry, a dealer is -- is someone who's in the
- 25 marketing for automobiles, parts, service, sales.

- 1 Q. And in your arena, what's your meaning of
- 2 the term "dashboard"?
- 3 A. Dashboard is -- is an instrument panel in
- 4 an automobile below the glass designed for not only
- 5 protection to the -- to the occupant, but also
- 6 provides valuable instruments with regards to the
- 7 performance of their automobile.
- Q. And when you say "valuable instruments,"
- 9 it's necessary information for the driver, right?
- 10 A. Correct.
- 11 Q. Okay.
- 12 A. Well, I don't know -- I don't know if it's
- 13 necessary. There are a lot of people who ignore it.
- 14 It's there if you choose --
- 15 Q. And they run out of gas.
- 16 A. -- to utilize it.
- 17 Q. Right. And they're on the side of the
- 18 road.
- 19 A. Know how to interpret it.
- Q. Right, exactly. Right. You are going
- 21 over the speed limit, whether you choose to ignore
- 22 that is your own call.
- 23 A. Right.
- Q. All right. So -- so obviously the term
- 25 "dashboard" is commonly used in the field of cars?

- 1 A. When referring to an instrument panel.
- Q. Okay. And since -- since the -- since
- 3 dealerships began, the notion of car dealerships, the
- 4 terms "dealer" and "dashboard" have been used and
- 5 associated with -- with the car industry, right?
- A. You know, I think "dealer" has been
- 7 associated.
- 8 Q. Right.
- 9 A. "Dashboard" has been associated. The term
- 10 "DealerDashboard," I think that is unique.
- 11 Q. Okay. When did you first use the
- 12 DealerDashboard mark?
- 13 A. I would say it was right around the time
- 14 of my registering the domain name. I think I
- 15 provided you that document. And off the top of my
- 16 head, I think it was, like, October 5th of '99.
- 17 Q. Okay. So after you registered the domain
- 18 name, you put the Web site up?
- 19 A. Pretty -- pretty quick, yes, uh-huh.
- 20 Q. So how did you -- is that how you first
- 21 used the mark, the DealerDashboard mark?
- 22 A. On the Web site, correct.
- Q. To the Web site, okay. All right. I
- 24 think you've already -- we've already walked through
- 25 the services. Is there any other way that you use a

1 DealerDashboard mark that we didn't talk about so far

- 2 today?
- A. I don't think so.
- 4 Q. Okay.
- 5 A. Well, I provided you with -- you know,
- 6 it's in -- it's on my printed material --
- 7 Q. Right.
- 8 A. -- things like that --
- 9 Q. Right.
- 10 A. -- but -- but it's basically selling those
- 11 or marketing those services that we talked about, the
- 12 consulting service.
- Q. Right.
- 14 A. Okay.
- 15 Q. I'm not trying to --
- 16 A. Yeah. I'm just making --
- 17 Q. Right.
- 18 A. -- sure we're on the same page.
- 19 O. We are.
- 20 A. Okay.
- Q. And I'm not trying to trick you by not
- 22 showing you the documents. I mean, there's just --
- 23 A. Yes.
- Q. -- so many stacks. And if you say October
- 25 5th, 1999 and it's really October 10th, 1999, it's

- 1 not a --
- 2 A. No.
- 3 Q. -- big deal. So just so you know, I'm not
- 4 trying to trick you.
- 5 A. I just wanted to make sure that we're on
- 6 the same page --
- 7 Q. Yes.
- 8 A. -- with regards to those services -- you
- 9 know, I obviously used the DealerDashboard brand and
- 10 logo to market my services.
- 11 Q. Right. I just wasn't sure if there was
- 12 some other way that you were also using it --
- 13 A. No.
- Q. -- that we haven't -- we haven't talked
- 15 about, so --
- 16 A. I don't think so.
- Q. Okay. Now, can you think or can you tell
- 18 me who the first automotive dealer that engaged your
- 19 services to provide managerial information regarding
- 20 the sales and service and their sort of key -- I
- 21 think you used the term "financial indicators" of how
- 22 their dealership is performing?
- 23 A. Correct.
- 24 Q. Who --
- A. My consulting services, the first would

1 have been what am I manufacturing, but with regards

- 2 to the Dealer --
- 3 Q. No, DealerDashboard.
- 4 A. There has been none.
- 5 Q. There has been none?
- A. Right, correct.
- 7 Q. Okay. So nobody has engaged you so far
- 8 to --
- 9 A. That's correct.
- 10 Q. Okay. All right. I want to go into the
- 11 actual trademark application, and it seems like there
- 12 has been a lot of communication with you and the
- 13 examining attorney.
- 14 A. Correct.
- MS. FINGUERRA-DUCHARME: So before we get
- into that topic, let's just take a short break
- because I need to use the --
- THE WITNESS: And if I could get a drink.
- 19 MS. FINGUERRA-DUCHARME: Absolutely.
- THE WITNESS: Yeah, I'm getting dry.
- MS. FINGUERRA-DUCHARME: And anytime you
- 22 want to stop to go get a cup of coffee, use the
- 23 bathroom, let us know.
- 24 (A recess was taken from 10:51 to 10:58.)
- 25 BY MS. FINGUERRA-DUCHARME:

- 1 Q. All right. So you said that you started
- 2 to use the -- the mark and you created your Web site
- 3 in '99, I think?
- 4 A. Correct.
- 5 Q. Why did you wait until 2003 to actually
- 6 file the trademark application?
- 7 A. Well, a couple things. As DealerDashboard
- 8 became well-known in the Internet automotive world,
- 9 people started cloning. People started trying to
- 10 gain the ranking that DealerDashboard had.
- 11 Q. When you say "cloning," what do you -- do
- 12 you mean cloning of your services or cloning of the
- 13 mark?
- A. Cloning of the mark, cloning of -- of
- 15 services, actually cloning of trade dress in an
- 16 effort to, I consider, infringe upon the business
- 17 model and -- and mark.
- 18 Q. All right. You've said a lot in that two
- 19 sentences, so let's -- let's just back up so I can
- 20 understand what you mean by everything that you've
- 21 said.
- 22 A. Okay.
- Q. How are people cloning of the mark?
- A. Utilizing it to gain Web ranking under the
- 25 term "DealerDashboard."

- 1 Q. Okay. So were they offering Web sites
- 2 under DealerDashboard, or were they using your mark
- 3 in their metatags? Explain to me what they were
- 4 doing.
- 5 A. A little bit of both and -- and more.
- 6 Q. Okay.
- 7 A. All of it. I mean, it was the Wild West
- 8 in the -- in the Internet world. And there wasn't
- 9 any real threat until -- when did you say, 2003, when
- 10 I originally filed?
- 11 Q. Right, that's when you filed the
- 12 application.
- 13 A. There wasn't a real threat until -- I
- 14 believe their name is Nowcom, and I think I provided
- 15 you that case, okay. They tried to duplicate the
- 16 services under the same brand and filed for
- 17 trademark. At that point in time, I felt it
- 18 imperative to defend the mark and register the mark
- 19 for protection for future use.
- 20 Q. Right.
- 21 A. Okay. When you're an entrepreneur, sole
- 22 proprietor, you make business decisions based on
- 23 sales, revenue, expenses. I was not in a position to
- 24 have the luxury to pay the filing fee, the
- 25 registration fee, when I initially started the site.

- 1 Q. Okay.
- 2 A. I wasn't really prepared in 2003, but I
- 3 had no alternative but to make that a priority and an
- 4 expense.
- 5 Q. Okay.
- 6 A. To defend a mark, there -- in my
- 7 opinion -- I'm not an attorney, but in my opinion, in
- 8 what little bit of case history I've -- I've read,
- 9 there is common-law marks that if it came down to the
- 10 nitty-gritty, I could, you know, pursue under the --
- 11 you know, the common-law trademark. So registration
- 12 was not that vital to me until there was an actual
- 13 threat on the mark itself.
- Q. What was the name of this company that
- 15 you --
- 16 A. I think it's called Nowcom.com.
- Q. So besides the Nowcom.com, was there
- 18 anybody else that was using the DealerDashboard.com
- 19 mark -- well, DealerDashboard, I should say, without
- 20 the .com?
- 21 A. I think you were correct in
- 22 DealerDashboard mark, but they would use it in their
- 23 metatags to gain patronage.
- 24 Q. Okay.
- A. Okay. And would be -- and would be

- 1 offering competitive services based on that. Now, I
- 2 didn't see until -- until, like, 2003, 2004, 2005,
- 3 where individuals actually tried to use
- 4 DealerDashboard as a mark in their own services.
- 5 Q. And who are those people that you saw?
- 6 A. There were several. Honda was one of
- 7 them.
- 8 O. It was several what?
- 9 A. There are -- there are several. Honda was
- 10 one of them. BMW tried it, you know. So it has been
- 11 a constant monitoring and sending cease-and-desist
- 12 letters throughout the use of the mark. I have -- I
- 13 have attempted to defend the mark from day one. Lots
- 14 of times I've been successful. With Honda, I haven't
- 15 been all that successful as yet.
- 16 Q. Okay. You also mentioned before about
- 17 cloning of the trade dress, I think you had said.
- 18 A. Correct.
- 19 Q. What do you consider the trade dress?
- 20 A. Well, if you look at the -- if you look at
- 21 the Web site --
- 22 Q. Yeah.
- A. -- and I think I explained my marketing
- 24 concept with regards to the dashboard, the view of
- 25 the road, and the perception that DealerDashboard is

- 1 a future view of the automotive world.
- 2 Q. Okay.
- 3 A. So someone who came in and presented the
- 4 same theme and the same product I would consider a
- 5 trade dress infringement.
- 6 Q. Okay.
- A. As you can see in '99, the Web site, the
- 8 artistic creation of the Web site and the thing was,
- 9 you know, copyrighted.
- 10 Q. What about this little trade -- trademark
- 11 here?
- 12 A. Right.
- 13 Q. Have you sought registration of that?
- 14 A. Of that particular emblem?
- 15 Q. Let me just be clear for the record. I'm
- 16 pointing on Exhibit 1, second page, the bottom of the
- 17 page, there's a console with some gauges and it says,
- 18 "DealerDashboard." It's a dashboard console with the
- 19 term "DealerDashboard.com."
- 20 A. Okay. Have I tried to trademark that?
- 21 No. That -- that is an artistic representation and I
- 22 have that copyrighted. So as far as actually
- 23 trademarking that particular logo --
- 24 Q. Yep.
- A. -- I have not.

- 1 Q. Okay. Has anybody tried to copy or make
- 2 anything similar to that logo?
- A. Oh, there's -- you know, there's several
- 4 people who have used the dash and -- in -- with
- 5 competing services once I did it. For example, I
- 6 can't think -- oh, Cobalt. Cobalt duplicated my
- 7 dealer-to-dealer auction, uses the dash in their
- 8 representation.
- 9 Q. Okay.
- 10 A. I don't have the bucks to pursue them. I
- 11 have sent them cease-and-desist letters.
- Q. Okay. Have you seen any other third-party
- 13 use of the term "DealerDashboard"?
- 14 A. I think I explained --
- Q. Besides the ones we've spoken about today.
- 16 A. There is always evolution of people that
- 17 are attempting to -- to utilize the brand.
- 18 Q. Okay.
- 19 A. And I -- just this week I sent out
- 20 cease-and-desist letters.
- 21 Q. Okay.
- 22 A. And I will provide those to you.
- 23 Q. Yes, that will be helpful. All right.
- 24 Let me show you the first -- your initial, original
- 25 trademark application. I'm going to mark this as

- 1 Dalton Exhibit 2.
- 2 MR. DAMERON: Thank you.
- 3 (Deposition Exhibit 2 was marked for
- 4 identification.)
- 5 BY MS. FINGUERRA-DUCHARME:
- 6 Q. Do you recognize Exhibit 2?
- 7 A. Okay. I think the question was, do I
- 8 recognize -- no, I don't recognize -- I've never seen
- 9 this in this form. However, it appears to be, based
- 10 on -- you know, we can take this representation -- it
- 11 appears to be a printout of an online application for
- 12 the original trademark.
- So I'm assuming the representation that
- 14 you're making to me is -- is taken off the -- this
- 15 site here, which it indicates off the TEAS, and --
- 16 and -- but I would say, since I submitted it
- 17 electronically, this was presented as a -- as a Web
- 18 site and I entered the information.
- 19 So I've never seen this as far as a
- 20 printout. I've never printed it out myself. But,
- 21 for the record, I would like to state that, based on
- 22 this information, it has a date of 1/1, 1999, first
- 23 use, so that would be the first use, which would
- 24 indicate that the concept was prior to my registering
- 25 of the trademark on 10/5, okay?

- 1 Q. Yeah. Then what was the filing date?
- 2 A. First use?
- 3 Q. No, the filing date of the application.
- 4 A. Oh, 12/11, 2003.
- 5 Q. And how did you describe your goods in --
- 6 in this original application? It would be halfway
- 7 down here, "Description."
- 8 MR. DAMERON: I think she is talking
- 9 about --
- 10 A. Oh, "automotive related goods and
- 11 services."
- 12 BY MS. FINGUERRA-DUCHARME:
- Q. Do you understand what "Filing Basis"
- 14 means on the application?
- 15 A. Oh, "Section 1(a)"?
- 16 Q. Yeah.
- 17 A. Not really, no.
- 18 Q. Okay.
- 19 A. But, you know, at the time I submitted the
- 20 application, the first time I had ever applied for a
- 21 trademark, I read what available -- information was
- 22 available at -- at the -- at the site, kind of made
- 23 an estimated guess of what I would fall under. But I
- 24 think maybe that was not the correct category, and I
- 25 think Evanko, I don't know if that was her first name

- 1 or her last name, I think quite possibly that that
- 2 had been corrected, but I'm not sure what the filing
- 3 basis was at that point in time.
- Q. Okay. Well, if you look here, you'll see
- 5 that on the first page you had 1(a)?
- 6 A. Right.
- 7 Q. Which means that it's use space. Do you
- 8 know what use space means? That --
- 9 A. That it's currently in use.
- 10 Q. Right, okay. And then for the same
- 11 description of goods, you had it as a 1(b), and
- 12 that's intent to use?
- 13 A. Yeah.
- 14 Q. Now it's ringing a bell?
- 15 A. Yeah, I do recall doing that. And I
- 16 think my interpretation of intent to use was that
- 17 you're going to continue using it or something like
- 18 that. So there was an error in that, and I think we
- 19 came to the conclusion, with her advising and so
- 20 forth, that we came up with that -- whatever
- 21 currently is that. But there -- she did send
- 22 me documentation that --
- Q. We'll get to that.
- 24 A. Yeah.
- 25 Q. Like I said, I'm not trying to trick you.

- 1 I'm just going to walk piecemeal through.
- 2 A. Yeah. This is the first time I had ever
- 3 done that. And -- and as a layman, you know, going
- 4 through government documents and so forth, you know,
- 5 I did the best I could.
- 6 MS. FINGUERRA-DUCHARME: All right. Okay.
- 7 Off the record for just a second.
- 8 (A recess was taken from 11:12 to 11:13.)
- 9 BY MS. FINGUERRA-DUCHARME:
- 10 Q. All right. So this was your original
- 11 application. And you're correct, Patty Evanko was
- 12 the examining attorney, and she did send you what's
- 13 called an office action. She sent you -- she sent
- 14 you one, and then she sent you a fax of the second
- 15 one. They both are pretty much the same. I think
- 16 she forgot to put some information in the first one,
- 17 so I wanted to show you the second one that you sent
- 18 the next day.
- This would be Dalton Exhibit 3, if you
- 20 want to take a minute to look at that. And I can
- 21 point you to the specific parts, if -- I mean, you
- 22 want to feel comfortable reading this, but you don't
- 23 need to read the whole -- the whole thing.
- 24 (Deposition Exhibit 3 was marked for
- 25 identification.)

- 1 A. Okay. Go ahead.
- 2 BY MS. FINGUERRA-DUCHARME:
- Q. Okay. So you'll see on the first page --
- A. This -- this looks -- okay. This is where
- 5 she's identifying that there's -- there's someone
- 6 currently using the mark or something?
- 7 Q. Somebody else has the application --
- 8 A. Yeah.
- 9 Q. -- which I think is what you had spoken
- 10 about before.
- 11 A. Right.
- 12 Q. The other entity went and tried -- and now
- 13 it's being cited against you?
- 14 A. Right.
- 15 Q. I'm sure you weren't happy when you saw
- 16 that.
- 17 A. Right.
- 18 O. Okay.
- 19 A. Right. But I knew I would prevail and
- 20 did.
- 21 Q. All right. Okay.
- A. Go ahead.
- Q. All right. So in the first part she tells
- 24 you about that other -- that other mark that she
- 25 thought was close?

- 1 A. Okay. Now, this wasn't -- this -- I don't
- 2 think that this was, like, our -- our immediately
- 3 after filing communication. I think there were
- 4 several communications with her prior to -- to this,
- 5 if I recall correctly, because it seemed like to me
- 6 we -- we talked about description.
- 7 Q. That's -- that's part of this, too.
- 8 A. That is part of it?
- 9 Q. Yep.
- 10 A. Okay.
- 11 Q. So if you look on page 2 of Exhibit 3,
- 12 where it says "Identification of Goods"?
- 13 A. Okay.
- 14 Q. That's where she says that it's indefinite
- 15 because it covers too many classes.
- 16 A. Right.
- 17 Q. And she gives some suggestions.
- 18 A. Right. And truthfully, this is legal
- 19 jargon, don't really know what it meant, but tried to
- 20 work the best way that I -- I think it was -- that
- 21 was several months before we finally came up with an
- 22 agreeable description.
- Q. Right.
- A. And I would basically communicate what --
- 25 what I did and she would make recommendations.

- 1 Q. Right, okay.
- 2 A. I'm not certain. You know, for me to
- 3 interpret what all this means, I don't know that I
- 4 would be much benefit to you.
- 5 Q. No, I'm not asking you to do that. I just
- 6 want to walk through the history of what happened
- 7 with this trademark.
- 8 A. Okay.
- 9 Q. And then on the -- on the third page of
- 10 Exhibit 3, you'll see where it says, "Basis for
- 11 Filing"?
- 12 A. Okay.
- Q. And that's where she points out to you
- 14 that you can't have for the same goods and
- 15 services --
- 16 A. Right.
- 17 Q. -- intent to use and use space. So she
- 18 told you to choose one?
- 19 A. Right.
- Q. And then she also talks about having to
- 21 provide a specimen to support whatever you say --
- 22 A. Correct.
- Q. -- once you start to use it?
- 24 A. Right.
- 25 Q. Okay.

- 1 A. And I think what had -- I think maybe not
- 2 this -- this time, but I think what had happened, I
- 3 just provided them a link, and they needed the actual
- 4 copy of it, so I had to print a copy of the Web site
- 5 and then send it --
- 6 Q. Right.
- 7 A. -- send it to them as a specimen. Is that
- 8 what we're talking about?
- 9 Q. Yep.
- 10 A. Yeah.
- 11 Q. So as a response to this office action,
- 12 you decided to make it a use space instead of an
- 13 intent to use?
- 14 A. Correct.
- 15 Q. Okay. This will be -- this is a
- 16 printout -- Exhibit 4 is a printout of your response
- 17 to -- to the --
- A. To Exhibit 3?
- 19 Q. Yeah, to the July -- Exhibit 3 was her
- 20 July 30th, 2004 office action.
- 21 A. Okay.
- Q. So Exhibit 4 is your response to that
- 23 office action.
- 24 A. Okay.
- 25 Q. Do you --

1 (Deposition Exhibit 4 was marked for

- identification.)
- 3 BY MS. FINGUERRA-DUCHARME:
- 4 Q. Do you see the submission date on the --
- 5 on the bottom of the first page of Exhibit 4? Can
- 6 you tell us what date you submitted this response?
- 7 A. December 30th, 2004.
- Q. Yep, okay.
- 9 A. And when was --
- 10 Q. The other -- the office action was July
- 11 30th, 2004. You generally have six months to
- 12 respond.
- A. Okay. And is that within that six months?
- 14 Q. Yep.
- 15 A. Okay. I don't know why I waited six
- 16 months. I don't know if there were -- I mean, we
- 17 had -- we had e-mailed back and forth.
- 18 Q. I think you waited because you were
- 19 dealing with that other mark?
- 20 A. Nowcom probably.
- Q. Okay. So if you look on page 2 of Exhibit
- 22 4, this is where you went ahead and you made
- 23 amendments to your application. If you want to take
- 24 a moment to read just that first little section
- 25 there. Can you tell me how you now describe your

1 goods and services? You see how it goes from that to

- 2 that?
- A. Okay. When you're saying "now," do you
- 4 mean as of --
- 5 Q. As of this --
- 6 A. -- this particular date?
- 7 Q. Right. Now, as of -- in your office
- 8 action response, which is Exhibit 4, can you tell me
- 9 how it was that you changed the description of goods?
- 10 A. I don't really recall, but I think -- I
- 11 think she wanted -- I think what it said -- what she
- 12 said --
- 13 Q. You can just read from right here, if you
- 14 want, even into the record.
- 15 A. I -- first, let me preface, okay, I have
- 16 not read Exhibit 3, okay, so I'm basing my response
- 17 from what I recall at that time. I believe Exhibit
- 18 3, she said my description was too broad, she needed
- 19 me to narrow it, okay. So I then narrowed it to, are
- 20 you talking about "Class 035 for providing online
- 21 management tools and information regarding
- 22 automobiles for sale via the Internet, parts and
- 23 accessories; and dealerships in the field of
- 24 automobiles."
- 25 Q. Right.

- 1 A. Okay.
- 2 Q. So this -- that was your new description
- 3 of goods that you submitted in Exhibit 4 --
- 4 A. Right.
- 5 Q. -- in response to the examining attorney's
- 6 objection that it was too broad?
- 7 A. Correct.
- Q. Okay.
- 9 A. And that's how I -- that's how I felt I
- 10 best described the services I offered that we had
- 11 already discussed.
- 12 Q. And you also changed the filing basis to
- 13 limit it to now just 1(a), which is "Use in
- 14 Commerce, " right?
- 15 A. Yeah. I believe she said you had to have
- 16 one or the other. I was currently using, so I
- 17 changed it to 1(a).
- 18 Q. And what date do you say in that -- that
- 19 paragraph, that Section 1(a) paragraph, what date do
- 20 you claim first use?
- 21 A. Okay. At that point in time, I -- I
- 22 referred to -- off the top of my head, I -- what I
- 23 did, I did a search of when I registered the domain
- 24 name, put that as first in use. Prior to that, if
- 25 you look at when it was saying 1/1, 1999 --

- 1 Q. Yeah, '99.
- 2 A. -- that's when I was developing the
- 3 concept, still doing consulting for dealerTools, and
- 4 it wasn't until I registered the mark that I actually
- 5 put it in use.
- Q. Okay. So the January 1, 1999 date was
- 7 when you were conceiving of it?
- 8 A. Correct.
- 9 O. And then --
- 10 A. Yeah, yes.
- 11 Q. And then the October 5th, 1999 date, that
- 12 was the date that you registered the domain name?
- 13 A. The domain name, yeah.
- 14 Q. Okay.
- 15 A. Now, whether -- now, whether I --
- 16 truthfully, whether I actually used the -- the
- 17 dealerDashboard brand prior to actually registering
- 18 it, identifying it, I can't really say, but I can say
- 19 definitely when I registered the -- the trademark,
- 20 because I was obviously researching that name and so
- 21 forth before I registered to see if the name is
- 22 available, to see if there was anything out there
- 23 competing or -- or anything like that. So --
- Q. When you said, though, registering a name,
- 25 you mean registering a domain name?

- 1 A. Correct.
- Q. Okay. As opposed to registering the
- 3 trademark in the trademark office, which wasn't until
- 4 2003, you're saying?
- 5 A. Well, common-law registry was when I put
- 6 the Web site up, and it was registered at that time,
- 7 which would have been -- and registering that with
- 8 the domain, it would have been 10/5 of '99.
- 9 Q. I just want to make sure we understand.
- 10 A. Right.
- 11 Q. Because you're using some terms that can
- 12 have different meanings --
- 13 A. Okay.
- 14 Q. -- so --
- 15 A. Yeah, right.
- 16 Q. And I appreciate that you're not a lawyer,
- 17 so I don't -- I want to make sure that we are on the
- 18 same page.
- 19 A. Yeah.
- 20 Q. So the date that you -- that you claim
- 21 here as your first use in commerce, October 5th,
- 22 1999, that's the date that you registered the domain
- 23 name DealerDashboard.com?
- A. Correct.
- 25 Q. Okay. And did you -- how long after you

- 1 registered the domain name do you think you actually
- 2 put the Web site up?
- 3 A. You know, I think a lot of the Web site
- 4 was developed even before registering the domain
- 5 name. The only way I could retrieve that information
- 6 would have been at my first hosting company, and
- 7 they've been sold out and I can't get any records for
- 8 that, because I did try to get that. You know, I
- 9 would say the day that I registered the domain name,
- 10 it went live.
- 11 Q. Okay.
- 12 A. Because I had been developing it that
- 13 entire period of -- since, you know, that entire
- 14 year.
- 15 Q. Right.
- 16 A. Basically, I was in conflict with -- with
- 17 the organization I was with at dealerTools, and
- 18 basically I was, you know, well, if we don't get this
- 19 straight, I'm going in competition with them. And so
- 20 I was developing the concept, the Web site and
- 21 everything. I had it already hosted, it just wasn't
- 22 live to the public.
- 23 Q. Okay.
- A. Make sense?
- Q. It does make sense.

- 1 A. Okay.
- Q. What -- what did that Web site first look
- 3 like on October 5th, '99?
- A. Okay. What I'm going to have to do is --
- 5 I thought this might come up, so what I did, I think
- 6 I provided you --
- 7 Q. I can show you the document --
- 8 A. -- a link to Wayback.
- 9 Q. Okay. Yep.
- 10 A. Okay. And it had the various things. So
- 11 what I -- what I did, and I will give you these
- 12 exhibits and -- and amend them to the exhibits you
- 13 currently have, and what that will do will provide
- 14 you how it looked.
- 15 Q. Perfect, great. We can mark this as
- 16 Exhibit 5. Did you bring copies or just one copy?
- 17 A. Yes, I did bring copies. I'm just trying
- 18 to get me one --
- 19 Q. Okay.
- 20 A. -- and then give you one.
- 21 Q. Perfect.
- 22 A. If you want to look at those, and then you
- 23 can decide how you want to mark them.
- 24 Q. Great.
- 25 A. I think -- I think all I did was give you

1 the link to Wayback and indicate that all of those

- 2 would --
- 3 Q. Let's mark these each separately. So if
- 4 you can give them to the court reporter so she can
- 5 mark them for us, that would be great.
- 6 A. Okay.
- 7 (Deposition Exhibits 5 8 were marked for
- 8 identification.)
- 9 MS. FINGUERRA-DUCHARME: Okay. We're
- 10 ready? Oh, I'll give you a second.
- MR. DAMERON: Learning something new.
- MS. FINGUERRA-DUCHARME: I know.
- 13 BY MS. FINGUERRA-DUCHARME:
- Q. Okay. All right. So Exhibit 5, it's --
- 15 why don't you tell me what Exhibit 5 is, since you
- 16 brought it with you.
- 17 A. Exhibit 5, according to the Wayback
- 18 machine, this was the first date that they archived
- 19 the site. Now, I don't think that this was the
- 20 original site, but as it evolved, this is the closest
- 21 to the original that I have currently --
- 22 Q. Okay.
- 23 A. -- available. And as you can see, a lot
- 24 of -- you know, a lot of the -- when you print it
- 25 out, a lot of the -- the things are not available

- 1 anymore for -- when they archived them.
- 2 Q. Right.
- 3 A. But you'll see a blank where it says,
- 4 "Desktop Dealer Web Site Development," that was the
- 5 metatag and the alt -- alttag. That was a picture of
- 6 consumers, an automobile, and what I offered at that
- 7 time was Web site development for dealers.
- Q. Okay.
- 9 A. This was a new arena for dealers. Most of
- 10 the dealers didn't have their own Web site at that
- 11 time. This was before, you know, the tools now where
- 12 you could use templates and all that stuff.
- My -- my site is pure code, so it was
- 14 developed HTML code prior to your tools that are
- 15 available now. And that's what this is, kind of the
- 16 primitive first use on -- on the Web site of an
- 17 automotive site. But you could see from the -- from
- 18 the start, I wanted to -- and the background would
- 19 have been totally -- totally black.
- 20 And from the start, this dashboard kind of
- 21 blended into the scene. And I wanted to create
- 22 the -- the perception, as you looked at the screen,
- 23 that you were actually sitting behind the dashboard
- 24 and viewing the things available in the automotive
- 25 world.

1 Q. The -- this -- the buttons that are there

- 2 on the left --
- 3 A. Right.
- 4 Q. -- those are the services at the time that
- 5 were available?
- 6 A. Correct.
- 7 Q. Okay. So it seems to me as if your
- 8 company has evolved a lot through the years as -- in
- 9 terms of the kind of information that you can provide
- 10 to consumers and dealers?
- 11 A. It has expanded with regards to -- to the
- 12 amount of information available --
- 13 Q. Yeah.
- 14 A. -- because there has been more information
- 15 available as time evolved. But if you can look on --
- 16 on there, you can see that the Automall, which would
- 17 have been the dealer -- the opportunity for consumers
- 18 to purchase automobiles directly from the dealer --
- 19 O. Yeah.
- 20 A. -- the dealer-to-dealer auction, which is
- 21 still available. Now --
- Q. And that was where you just sold parts
- 23 and --
- A. Well, the dealer-to-dealer auction would
- 25 be like a wholesale auction from dealer to dealer.

- 1 Q. Yep.
- 2 A. And in that were management tools for a
- 3 dealership that provided them financial information
- 4 with regards to their operation. So in that software
- 5 package, the dealership that participated in that
- 6 subscription service had management tools available
- 7 to them with regards to return on investment, aging
- 8 of inventory, so on. And I think I provided you
- 9 screenshots of that information. Now, that -- that
- 10 was available from day one.
- 11 Q. Okay.
- 12 A. Okay. The Desktop -- the Dealer Desktop,
- 13 that would have been a link to those consulting
- 14 services that I presented to you with regards to
- 15 reviewing the financial statements, providing tools
- 16 to better manage them. The company, I did print that
- 17 out, because I tried to get a concept of -- from day
- 18 one how I came about developing DealerDashboard,
- 19 so --
- Q. Exhibit 6 is the company definition?
- 21 A. Right, okay. So -- and as you can see, as
- 22 I previously testified, "Behind the
- 23 wheel@dealerDashboard.com We Deliver." And -- and
- 24 my -- my thoughts behind that was the perception is
- 25 sitting behind a wheel as you look at -- as you look

- 1 at the computer screen, and the fact that you had the
- 2 mouse wheel in your hand.
- 3 Q. Yeah, okay. And then Exhibit 7, why don't
- 4 you take a look at that as --
- 5 A. Exhibit 7 is a definition of "dashboard"
- 6 from Dictionary.com, and that was based on the Random
- 7 House Unabridged Dictionary.
- Q. Okay. And what does -- what is the
- 9 definition of a dashboard?
- 10 A. Reading from --
- 11 Q. Yes.
- 12 A. -- Exhibit 7, "a panel beneath the front
- 13 window gauges and accessories for the use of the
- 14 driver; instrument panel."
- 15 Q. Okay.
- 16 A. "A board or panel at the front of an open
- 17 carriage or the like to protect from mud or dirt cast
- 18 up by the hoofs of the animals drawing the
- 19 carriage" --
- 20 O. Okav.
- 21 A. -- is what it should say, but it doesn't
- 22 look like I printed it too well.
- 23 Q. Exhibit -- you would agree with the
- 24 definition, at least in the definition number 1 for
- 25 dashboard, that's accurate?

- 1 A. I would, yes.
- 2 Q. Okay. Great.
- 3 A. And -- and I might add that my view of the
- 4 front window when developing the unique term
- 5 "DealerDashboard" was the front window would be the
- 6 desktop monitor that you were viewing.
- 7 Q. Okay. I'll let you tell me what Exhibit 8
- 8 is, just --
- 9 A. Exhibit 8 is the definition of "honda."
- 10 Q. All right. Well, thank you for bringing
- 11 that. And when you filed all of your responses, did
- 12 you understand the "Declaration Signature," the
- 13 paragraph that you were signing to? If you want to
- 14 take a look at that, we are back on Exhibit 4.
- 15 A. That that was true, to the best of my
- 16 knowledge and so forth; is that what you're saying?
- 17 Q. Yep.
- 18 A. All right.
- 19 Q. Okay. If you want to take a moment to --
- 20 just to look at the last two lines of the
- 21 "Declaration Signature."
- 22 A. It looks like the last two lines are
- 23 fairly long. Let's see.
- Q. If you go with -- from the semicolon,
- 25 "that if the original application was" -- well,

- 1 actually, that -- starting from the -- from the
- 2 comma, "that all statements in the original
- 3 application and this submission."
- 4 A. That all statements "made on information
- 5 and belief are believed to be true"; is that what
- 6 you're asking me?
- 7 Q. And the line --
- 8 A. Right above it?
- 9 Q. -- above it, "that all statements in the
- 10 original application and this submission made of the
- 11 declaration signer's knowledge are true"?
- 12 A. Yes.
- Q. And then, "and all statements in the
- 14 original application and this submission made on
- 15 information and belief are believed to be true." And
- 16 then is this your signature below that statement?
- 17 A. It's my electronic signature.
- 18 Q. Right. And you were the one who filed
- 19 this -- this response?
- 20 A. Correct.
- 21 Q. Okay. All right. Now, with that -- I
- 22 believe how this happened, according to what I was
- 23 able to piece together from the PTO Web site, with
- 24 your response, you also submitted information --
- 25 additional information and specimens, and you

- 1 resubmitted sort of the -- her office action.
- MS. FINGUERRA-DUCHARME: So if we can mark
- 3 that as Exhibit 9.
- 4 (Deposition Exhibit 9 was marked for
- 5 identification.)
- 6 BY MS. FINGUERRA-DUCHARME:
- 7 Q. The first few pages appear to be --
- 8 A. So it's your -- it's your representation
- 9 that I resubmitted this pack to the -- Evanka,
- 10 Ms. Evanka?
- 11 Q. Right. That this was submitted in further
- 12 support of your response to her July office action?
- 13 A. Was there a request other than -- other
- 14 than what you've shown me so far that I provide
- 15 additional information?
- 16 Q. I think that you needed to -- that you
- 17 tried to provide specimens because she had asked for
- 18 more specimens?
- 19 A. In that same --
- 20 Q. Right.
- 21 A. Okay.
- Q. So if you can go eight pages deep of
- 23 Exhibit 9, tell me what this --
- A. Does it have a number on the page?
- Q. It looks like this.

- 1 A. Okay. So that's an -- that's an e-mail --
- 2 looks like an e-mail, okay.
- 3 Q. Do you know what this is?
- A. Okay. This is -- looks like to me.
- 5 Q. All right.
- 6 A. Okay. And I think I indicated that we had
- 7 e-mailed each other back and forth. So this looks
- 8 like from Ms. Evanko -- or it may not have been from
- 9 her, it may have been from the patent -- or trademark 10 office to me.
- 11 Q. It's acknowledging receipt of your
- 12 response, right?
- A. Oh, okay. So it's an automatic --
- 14 Q. Right.
- 15 A. It's an automatic --
- 16 Q. Right.
- 17 A. -- response, okay.
- 18 Q. You know, one thing that --
- 19 A. So I must have submitted something
- 20 electronically to them.
- 21 Q. Right. That office action response that
- 22 we --
- 23 A. Okay.
- Q. -- looked at in Exhibit 4.
- 25 A. All right.

- Q. Okay. One thing I didn't ask is when you
- 2 -- you can -- and you can look at the page that we're
- 3 looking at now because this is just a copy of your
- 4 office action response in just a different format.
- 5 But when you put in your new -- your new description
- 6 of goods, in the second line there's a semicolon, and
- 7 it says, "and dealerships in the field of
- 8 automobiles."
- 9 A. Uh-huh.
- 10 Q. What -- what did that mean?
- 11 A. It meant everything we had -- I've already
- 12 testified to. It meant providing dealerships those
- 13 services we've already discussed.
- 14 Q. Okay. It doesn't mean that you had a
- 15 dealership in the field of automobiles?
- A. Doesn't mean that I had a dealership?
- 17 Q. Right.
- 18 A. Is that what you mean?
- 19 Q. Right.
- 20 A. Correct. It meant that I was providing
- 21 dealerships those tools --
- 22 Q. Right, okay.
- A. -- as best as a layman can describe them.
- Q. Okay. So on your -- tell me what the next
- 25 page is of Exhibit 9.

- 1 A. Oh, I think -- okay. This is -- okay.
- 2 This is a Whois database. Whois database shows the
- 3 registrant of a domain name. They asked me for a
- 4 first use date.
- 5 Q. Yep.
- A. At that point in time, the knowledge that
- 7 I had in my head -- well, I know I first used it when
- 8 I registered the domain name, so I did a Whois. I
- 9 think they wanted -- maybe they wanted evidence
- 10 with --
- 11 Q. Yep.
- 12 A. -- regard to the first use date.
- 13 Q. Yep.
- 14 A. So I provided this as the date that I
- 15 registered the domain name as the date first in
- 16 use --
- 17 Q. Okay.
- 18 A. -- date, and --
- 19 Q. The next page --
- 20 A. -- that I used the Wayback machine to
- 21 demonstrate the evolution of this site.
- Q. Okay. And what is the next page here in
- 23 Exhibit 9?
- 24 A. Okay. That would be -- that would be what
- 25 we had marked as Exhibit 5, but with the background

0.8

- 1 and the -- the rest of the graphics still available
- 2 to it. So remember I told you there was some
- 3 automobiles, and then if you look over there, like
- 4 a -- it's like a book that listed different -- I
- 5 don't have --
- 6 Q. Oh, I see, yeah.
- 7 A. Okay. That -- that's what -- that is that
- 8 Exhibit 5.
- 9 Q. Okay. And it seems like the buttons
- 10 are -- are in a different place, but where it
- 11 says "D2D Auction," that's underneath your
- 12 dashboard?
- 13 A. Okay. Well, then, that -- that would have
- 14 been a predecessor to Exhibit 5.
- 15 Q. Okay.
- 16 A. Okay.
- 17 Q. And is that where you would find the
- 18 dealership -- well, what would you find when you
- 19 clicked onto "D2D Auction"?
- 20 A. You would go to the dealer-to-dealer
- 21 auction explanation description page, so you could
- 22 actually subscribe online to those services. And it
- 23 explained -- I think I provided you screenshots of
- 24 that. It explains the different return-on-investment
- 25 tools that are available --

- 1 Q. Okay.
- 2 A. -- these inventory AG tools that are
- 3 available.
- 4 Q. So that stuff was available?
- 5 A. Exactly.
- Q. And the next page of Exhibit 9? So this
- 7 is the -- you called it the "Current Evolved Use," so
- 8 this is your current Web site?
- 9 A. Yeah, that must have been -- at that
- 10 particular date, that must have been like you
- 11 provided us --
- 12 Q. Yep.
- 13 A. -- on that particular date the screenshot
- 14 of the Web site.
- 15 O. Okav. Perfect.
- 16 A. I think she must have asked how it was
- 17 originally used and how it's currently used maybe.
- 18 There had to have been some reason why I would have
- 19 shown -- I don't know, because I, you know, haven't
- 20 read it, but that's -- that's my assumption.
- MS. FINGUERRA-DUCHARME: Okay. Here is
- 22 another office action. If we can mark this --
- 23 sorry --
- MR. DAMERON: Thank you.
- MS. FINGUERRA-DUCHARME: -- as Exhibit 10.

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1 (Deposition Exhibit 10 was marked for

- identification.)
- 3 BY MS. FINGUERRA-DUCHARME:
- 4 Q. Do you know what Exhibit 10 is?
- 5 A. Okay. I don't know what it is, but I --
- 6 you know, based on the representation here, that it's
- 7 a notice of suspending the process of -- of the
- 8 trademark. I'm assuming that's because of the
- 9 conflict with Nowcom.com attempting to exploit my
- 10 mark.
- 11 Q. And what was the date that this was sent?
- 12 It's on the first page.
- 13 A. 1/22 of '05.
- Q. Yep, okay. And you're correct that the
- 15 first page she does suspend it, but then she -- on
- 16 the second page, she talks about how there's still a
- 17 few issues with the application itself, right?
- 18 A. Correct. I think she, if I recall,
- 19 claimed my -- my explanation of the services were
- 20 still generic -- somewhat generic, and wanted me to
- 21 narrow -- narrow the -- the verbiage --
- 22 Q. Right, okay.
- 23 A. -- if I recall correctly.
- Q. If you look at paragraph 1, you can see
- 25 what her objections were, and the wording --

- 1 A. If the wording is not -- yeah.
- Q. Because it's too broad?
- 3 A. Yeah. Yeah, exactly.
- 4 Q. Okay. And then --
- 5 A. Go ahead.
- 6 Q. No, you --
- 7 A. The -- the current wording as stands,
- 8 which he finally approved, was recommended by her
- 9 after explaining to her what I did, okay.
- 10 Q. Okay. And then she also said that -- that
- 11 you had included specimens to support your use --
- 12 A. Well, I know she -- I didn't submit a
- 13 declaration, I think.
- 14 Q. Right.
- 15 A. So I had to do that, which she provided --
- 16 I guess this provides a sample --
- 17 Q. Right.
- 18 A. -- declaration. So then I think I had to
- 19 submit everything again with a signed dec.
- 20 Q. Right.
- 21 A. Or I just had to provide the dec, I don't
- 22 recall.
- Q. Okay. Yeah, here you go. That will help
- 24 you remember.
- 25 A. Okay. At that point in time --

- 1 MS. FINGUERRA-DUCHARME: Let's mark this
- 2 as Exhibit 11.
- 3 (Deposition Exhibit 11 was marked for
- 4 identification.)
- 5 A. With regards to the dec page, I believe
- 6 that was done online, and I think she said that that
- 7 wasn't acceptable, that I had to mail it to her --
- 8 back to her or something like that.
- 9 BY MS. FINGUERRA-DUCHARME:
- 10 Q. Okay.
- 11 A. Because if I'm not mistaken, there was
- 12 a -- there was a screen where you did that online,
- 13 and I'm fairly certain I did that.
- Q. Do you recognize Exhibit 11?
- 15 A. Correct. Yes, I -- well, I have never
- 16 seen this, but, I mean, it looks like the -- she must
- 17 have requested me to amend. And after she made the
- 18 recommendation of this wording, and then I submitted
- 19 it, and I think she approved it from this point
- 20 forward.
- Q. Okay. When did you submit this office
- 22 action response reflected in Exhibit 11? It's at the
- 23 bottom of the first page.
- 24 A. "Signature Date, 02/14/2006."
- Q. Okay. And when did you say that you began

- 1 using the mark for -- on the goods that are described
- 2 here, which is "First Use Anywhere Date"?
- 3 A. 10/5/99.
- 4 Q. Okay. And your new description included
- 5 "providing automotive dealerships managerial
- 6 information concerning their Sales, Service, and
- 7 Parts departments key financial indicators of how
- 8 their dealership is performing via the Internet,"
- 9 right?
- 10 A. Uh-huh.
- 11 Q. And you were -- you were doing that as of
- 12 October 5th of '99?
- 13 A. Correct.
- Q. Okay. But you didn't -- nobody was
- 15 actually signed up to use those services at that
- 16 time?
- 17 A. Correct.
- 18 Q. Okay.
- 19 A. They were available.
- Q. They were available?
- 21 A. Services were available.
- Q. Okay. What does "key financial
- 23 indicators" mean? I think I understand based on what
- 24 we've been talking about, but --
- A. Well, for example, in a dealer-to-dealer

1 wholesale auction, the dealer will purchase a vehicle

- 2 and then sell that vehicle. Some of the key
- 3 financial indicators of that vehicle, what his return
- 4 on investment is, so there are various factors that
- 5 you look at with regard to each department.
- Now, with regards to an automobile and
- 7 what is provided on -- on the Web site at that time
- 8 would be how much did the vehicle cost? How much did
- 9 you invest in preparing that vehicle for sale? How
- 10 much did you invest in repairing that vehicle to make
- 11 it roadworthy and safe for a consumer? What's the
- 12 cost of the titling? What's the cost of your
- 13 financing to floorplan that vehicle, your interest
- 14 costs and so forth? What did you sell the vehicle
- 15 at? What is your return on -- on investment? So
- 16 those are the key financial indicators for that
- 17 particular automobile.
- 18 Q. Okay. And this is your electronic
- 19 signature on the "Response to Office Action," right?
- A. Correct.
- 21 Q. Okay. I would have to say the amount of
- 22 correspondence is unbelievable.
- Okay. Let's mark this next office action.
- A. Well, that's what happens when a
- 25 layman --

- 1 Q. Yeah, Exhibit 12.
- 2 A. -- does things on their own.
- 3 Q. All right. Now, you saved the money but
- 4 you did not save the time?
- 5 A. Correct.
- 6 (Deposition Exhibit 12 was marked for
- 7
 identification.)
- 8 BY MS. FINGUERRA-DUCHARME:
- 9 Q. Okay. What is Exhibit 12? Take a moment
- 10 to look at it.
- 11 A. This is where they accepted my submission.
- 12 Q. And they required you to submit a
- 13 declaration, right?
- 14 A. I sent it, yeah.
- 15 Q. It says -- why don't you look at the third
- 16 paragraph on the second page of Exhibit 12 --
- 17 A. I think --
- 18 Q. -- the second --
- 19 A. I don't think -- I think this is probably
- 20 a form -- form letter. I don't think they required
- 21 me to have additional because this -- this has to do
- 22 with the specimen.
- Q. Right.
- A. Had already declared on a specimen as far
- 25 as the verbiage.

- 1 Q. Right. But with it, she says, "However,
- 2 the requirement that the applicant submit a
- 3 declaration attesting to the use of the substitute
- 4 specimen in use in commerce at least as early as the
- 5 filing date of the application is maintained"?
- A. Yeah, that has to do with the specimen.
- 7 Q. Right.
- 8 A. Not -- not the -- not the usage verbiage,
- 9 so there was no change in that.
- 10 Q. But she was requiring you to submit a
- 11 declaration that attests that that specimen was in
- 12 use at the date of the filing of the application,
- 13 right? Why don't you read --
- A. I think you need to define "specimen."
- 15 Because the specimen, as I interpret it, was the
- 16 representation of DealerDashboard in the -- in a
- 17 block form, okay, that's the specimen as a trademark.
- 18 Q. The specimen is -- is the evidence that
- 19 you put forth to show that you're actually using it
- 20 in commerce. Which, if you want to go back to
- 21 Exhibit 9, I believe that these were your substituted
- 22 specimens. Remember, we -- we went through these
- 23 before?
- A. Right. And there was a dec that went with
- 25 that.

- 1 Q. Right.
- 2 A. Okay.
- 3 Q. And it seems that he or she wanted you to
- 4 submit another declaration that says that these
- 5 substituted specimens were in use at the time of the
- 6 application filing?
- 7 A. That's not the way I interpreted it and
- 8 that's not the way that this was presented. This --
- 9 this is the same form -- form -- it looks like a form
- 10 letter of -- of, you know, any type of action from
- 11 the office, and this -- this basically -- that has
- 12 been accepted and made of record.
- Now, if there was -- if there was a
- 14 requirement for an additional declaration, then this
- 15 verbiage would not be accurate. The identification
- 16 of services has been accepted and made of record. So
- 17 if there was any other requirement before that could
- 18 have been accepted or made of record that there would
- 19 be required a declaration, that statement would be
- 20 false.
- Q. Well, let me show you -- we'll mark this
- 22 as Exhibit 13 and then you can tell me what this
- 23 refers to then.
- 24 (Deposition Exhibit 13 was marked for
- 25 identification.)

- 1 A. Evidently I did then. I don't recall it,
- 2 but apparently I did. Apparently I complied in the
- 3 request.
- 4 BY MS. FINGUERRA-DUCHARME:
- 5 Q. Okay. So this is -- to be clear, the --
- 6 Exhibit 13 is a -- is a declaration by you saying,
- 7 "The substitute specimen was in use in commerce at
- 8 least as early as the filing date of the
- 9 application," right?
- 10 A. The amended application --
- 11 Q. Right.
- 12 A. -- which was 10/5 --
- 13 Q. Right.
- 14 A. -- first in use because the original
- 15 filing had the two different usage codes. So as of
- 16 10/5/99 --
- 17 Q. Okay. So let's --
- 18 A. -- "hereby warned that" -- was "in
- 19 commerce at least as early as the filing date of the
- 20 application, "okay. My interpretation of this would
- 21 have been the amended application.
- Q. Okay. So when you -- when you filed your
- 23 first application --
- A. We'll use basis l(a), l(b). I was told it
- 25 had to be one or the other. The amended application

1 was amended to a first in use of 10/5/99, and then

- 2 this declaration would have been accurate based on
- 3 that.
- 4 Q. Okay.
- 5 A. That's the way I interpreted this.
- 6 Q. Okay.
- 7 A. Are we on the same page?
- 8 Q. Yes, absolutely.
- 9 A. Okay.
- 10 Q. I don't know if you want to take another
- 11 break, or are you good to keep going?
- 12 A. I wouldn't mind stretching my legs, if you
- 13 don't mind.
- Q. Okay. That's because it's a good -- it's
- 15 a good time to stop for a few minutes.
- 16 A. Great.
- 17 (A recess was taken from 11:58 to 12:06.)
- MS. FINGUERRA-DUCHARME: I am going to
- mark your answer.
- 20 (Deposition Exhibit 14 was marked for
- 21 identification.)
- 22 BY MS. FINGUERRA-DUCHARME:
- Q. Okay. Do you recognize Exhibit 14?
- A. Oh, okay. This is -- this was, I'm
- 25 assuming, the filing of my answer to Honda Motors'

- 1 opposition to the registration of DealerDashboard.
- 2 Q. Okay. Can you turn to page 5 of Exhibit
- 3 14?
- 4 A. Yeah.
- 5 Q. Do you want to take a moment to read that
- 6 first paragraph?
- 7 A. At the top of page 5, where it starts,
- 8 "The applicant"?
- 9 Q. Yep.
- 10 A. I would prefer to see some exhibits. I
- 11 don't see the exhibits attached, but --
- 12 Q. Okay. I wanted to ask you about the first
- 13 sentence.
- 14 A. Uh-huh.
- 15 Q. What's your basis to your statement that
- 16 "applicant has invested extensive time and resources
- 17 building the non-generic brand DealerDashboard as a
- 18 source identifier"?
- 19 A. Uh-huh.
- 20 Q. What's the basis for that statement?
- 21 A. That -- that I have invested extensive
- 22 time and resources?
- Q. Right.
- A. Just the writing of the code, the Web
- 25 site, is extensive time and resources.

- 1 Q. But how about building it as a brand?
- 2 A. As a brand? That is building it as a
- 3 brand to -- to identify it on the Web --
- 4 Q. Okay.
- 5 A. -- as a brand.
- 6 Q. Okay.
- 7 A. So the time and resources also involved
- 8 making sure that that brand remained in the number
- 9 one spot, and that was defending the brand.
- 10 Q. Okay. When you say "number one spot,"
- 11 what are you referring to?
- 12 A. If you go to a search engine, any major
- 13 search engine, and type in either DealerDashboard,
- 14 without a space, or Dealer, space, Dashboard, you
- 15 will find DealerDashboard.com as the number one
- 16 ranking spot in that search engine.
- Q. Okay. We'll get into that actually in a
- 18 little bit. We'll go over how you -- how it is that
- 19 you're able to try to secure that -- that spot. But
- 20 do you have -- other than searches through search
- 21 engines, do you have any other evidence that -- to
- 22 support your statement that DealerDashboard
- 23 identifies one source?
- A. I don't think I understand your question,
- 25 ma'am.

1 Q. Okay. You said in your answer that

- 2 DealerDashboard is a source identifier?
- 3 A. Right.
- 4 Q. And the source it identifies is you?
- 5 A. Correct.
- 6 O. So what I would like to know is, what
- 7 evidence do you have that supports the statement that
- 8 the term "DealerDashboard" identifies you as the soul
- 9 source?
- 10 A. Okay. Search engine -- search engine
- 11 marketing is a very lucrative field. To maintain the
- 12 number one spot on any term is a very valuable asset,
- 13 okay? Now, secondly, the independent company,
- 14 Ranking.com, ranks Web sites, and this -- what I have
- 15 explained verbally and I think with the exhibit it
- 16 would demonstrate their -- their independent
- 17 interpretation of the value in ranking of
- 18 DealerDashboard.
- 19 Q. Okay. And we will get into both the
- 20 search engines and Ranking.com in just a moment. So
- 21 you don't have any other evidence that shows that
- 22 consumers associate your services with
- 23 DealerDashboard other than the search engine results
- 24 and Ranking.com?
- 25 A. I don't think I said that.

- 1 Q. Okay. So what --
- 2 A. I think there -- it's very clear, whenever
- 3 anyone attempts to duplicate your efforts, you're
- 4 perceived as being a valuable asset. And -- and so
- 5 the evidence I would have that the DealerDashboard
- 6 brand has gained recognition is the fact that people
- 7 try to emulate, use DealerDashboard in their -- in
- 8 their metatags to direct traffic to them, so -- and
- 9 the evidence is clear in the search engines.
- 10 Q. Okay.
- 11 A. And let me -- let me preface that, okay?
- 12 DealerDashboard is marketed primarily on the Web. So
- 13 the best indicator is, if someone were searching for
- 14 DealerDashboard, who are they going to see? And the
- 15 number one spot is very critical.
- Q. Okay. Who were these other entities that
- 17 you say have tried to direct traffic to them by using
- 18 DealerDashboard?
- 19 A. Nowcom.com was one of them --
- 20 Q. Okay.
- 21 A. -- to prevail in the trademark, which you
- 22 have the -- in the opposition to their trademark, you
- 23 have their -- their -- BMW attempted.
- Q. And what -- can you tell me about BMW?
- 25 What did they do?

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1 A. They branded their -- their dealer
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- 2 interface as DealerDashboard.
- 3 Q. Okay. So that's -- that's different than
- 4 any evidence that shows that a consumer associates
- 5 you with DealerDashboard. That's what I'm looking
- 6 for.
- 7 A. Well, isn't a dealer a consumer? My -- my
- 8 interpretation of a consumer would -- especially
- 9 within the automotive industry, would be any dealer,
- 10 manufacturer, parts supplier, consumer who purchases
- 11 those products; those are my -- those are the markets
- 12 that DealerDashboard is aimed at. Those are the --
- 13 those are the markets where DealerDashboard has a
- 14 desire to protect its image, its brand.
- Q. Well, I'm trying to find -- I'm trying to
- 16 understand, though, other than Ranking.com and -- and
- 17 the search engines, what evidence that you have that
- 18 shows that consumers, when they think of Dealer --
- 19 when they hear the term "DealerDashboard," they think
- 20 of your Web site?
- 21 A. Well, you know, you're asking me to
- 22 speculate.
- Q. No, I'm not asking you to speculate. I'm
- 24 asking you, do you have any market data research?
- 25 A. I think I've indicated in the -- in the

- 1 interrogatories here, I have not done any
- 2 independent. The marketing -- the marketing that I
- 3 provided was an independent Ranking.com, who has --
- 4 who has ranked the site based on the industry and all
- 5 Web sites.
- 6 Q. Okay.
- 7 A. Okay. And we're in the top million.
- 8 O. Now --
- 9 A. We're actually in the top half million
- 10 sites.
- 11 Q. Now, has your Web site ever been reviewed
- 12 by Consumer Reports?
- 13 A. No.
- Q. Has your Web site ever been mentioned in
- 15 Consumer Reports?
- 16 A. Not that I -- not to my knowledge.
- 17 Q. Has your Web site ever been reviewed in
- 18 any national publication?
- 19 A. Not to my knowledge.
- 20 Q. Has it ever been mentioned in a national
- 21 publication?
- A. My particular Web site?
- Q. Yes, DealerDashboard.
- 24 A. A particular -- there have been press
- 25 releases with regards to DealerDashboard, so, I mean,

1 those have been published and those are available on

- 2 the Web.
- Q. Okay.
- A. But as far as, like, has somebody came to
- 5 me and reviewed and say, hey, you're really
- 6 fantastic, DealerDashboard, you know, let me do an
- 7 article on you?
- 8 Q. Right.
- 9 A. No.
- 10 Q. Okay. Can you provide me with the press
- 11 releases? I'm not sure if they've already been
- 12 produced.
- 13 A. I think you have already been provided
- 14 with them.
- Q. Okay. So they're in my stack?
- 16 A. Uh-huh.
- 17 Q. Has -- has your Web site ever been
- 18 reviewed in an automotive trade journal?
- 19 A. No.
- 20 O. Has it ever been mentioned in an
- 21 automotive trade journal?
- 22 A. Not -- not to my knowledge.
- Q. Okay. Do you promote your services at
- 24 dealer trade shows?
- 25 A. I promoted at one dealer trade show.

- 1 Q. When was that?
- 2 A. And you were provided that information.
- 3 Well, actually, I didn't present it at the trade
- 4 show. I -- I provided items that had the brand
- 5 DealerDashboard as giveaway gifts as a promotion, and
- 6 that was a Corvette club in Michigan. And in the
- 7 interrogatories, I provided that information.
- 8 Q. Okay.
- 9 A. Exact date I didn't have.
- 10 Q. You mentioned press releases before, but
- 11 has your Web site received any unsolicited media
- 12 attention?
- 13 A. Unsolicited media attention. First, could
- 14 I speak to my attorney, please?
- 15 O. Sure.
- 16 (Attorney-client discussion.)
- MR. DAMERON: I'll object for relevance,
- but you can go ahead and answer.
- 19 THE WITNESS: Now, could you repeat the
- question for me, please?
- MS. FINGUERRA-DUCHARME: Will you read it
- 22 back?
- 23 (The record was read.)
- A. No, that I'm aware of.
- 25 BY MS. FINGUERRA-DUCHARME:

- 1 Q. Do you advertise your Web site on the
- 2 Internet?
- 3 A. You mean do I pay for advertising?
- 4 O. Yes.
- 5 A. Have I paid for advertising? I don't
- 6 recall if I've paid. Do I advertise? I do advertise
- 7 through reciprocal links, which would be in-kind
- 8 donation for, I provide you a link, you provide me a
- 9 link.
- 10 Q. And why don't you explain that -- explain
- 11 that more. How many places -- how many Web sites are
- 12 out there do you think -- and here you can give me an
- 13 approximation -- that you have a reciprocal
- 14 relationship? So I'll go -- I'll be -- I'll be on
- 15 another Web site and I'll see a link to
- 16 DealerDashboard.com?
- 17 A. Okay. Well, again, object to relevancy,
- 18 but if you go to Ranking.com, on that document, it
- 19 also has how many links there are to your site.
- 20 O. Yeah.
- 21 A. And those vary, so I would refer to that
- 22 document because I didn't -- I didn't keep track of
- 23 it.
- 24 Q. Okay.
- 25 A. But, I mean, I probably have 650 requests

- 1 right now, in my -- in my query to review with
- 2 regards to a request for a link or a request to be
- 3 posted on DealerDashboard, so I would review that. A
- 4 lot of it's spam, but those that are -- that are --
- 5 let me say this. Any major link database in the
- 6 automotive industry, you will find DealerDashboard --
- 7 Q. Okay.
- 8 A. -- whether that be automotive.com. A lot
- 9 of them have disappeared over time, but at one time,
- 10 any major automotive site that provided a link, you
- 11 would find DealerDashboard.com.
- 12 Q. All right. Let's -- let's talk a bit
- 13 about Ranking.com. What is Ranking.com?
- 14 A. Ranking.com is an independent ranking
- 15 service for Web sites, and they -- and they have
- 16 ranked DealerDashboard.
- 17 Q. Okay. Do you have an affiliation with
- 18 Ranking.com?
- 19 A. As far as some type of an affiliate
- 20 agreement or something like that? No, I think -- I
- 21 know I have signed up for them to send their
- 22 information of how I rank, and those rankings are
- 23 posted on my Web site. Now, whether you consider
- 24 that an affiliation arrangement or not, I don't know.
- Q. Do you have to pay them any kind of a fee?

- 1 A. No.
- 2 Q. Okay. How did you learn that
- 3 DealerDashboard.com was listed on Ranking.com?
- 4 A. You know, I don't know. I think maybe --
- 5 I think maybe a search and -- it popped up in a
- 6 search in one of my regular routines to defend the
- 7 brand.
- Q. Okay.
- 9 A. And probably visited the site, saw that
- 10 they had ranked, and then asked them to send me --
- 11 you know, what -- I -- I would have to go through the
- 12 site currently.
- 13 Q. Okay.
- A. But I've been -- you know, have received
- 15 -- I've received ranking monthly from them and it
- 16 changes.
- 17 Q. Okay. In your answer, which was Exhibit
- 18 14, you said that you've received recognition by
- 19 Ranking "as being within the top 25% of all the top
- 20 1,000,000 websites"?
- 21 A. Right.
- Q. What do you base that statement upon?
- A. Ranking.com and the document. Do you have
- 24 the exhibit?
- Q. I do. I was going to turn to it in a

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- 1 second, but we can turn to it certainly.
- 2 A. That was my interpretation of the -- of
- 3 the information they provided me.
- 4 Q. Okay. This is 15. Why don't you identify
- 5 for the record what Exhibit 15 is.
- 6 (Deposition Exhibit 15 was marked for
- 7 identification.)
- 8 BY MS. FINGUERRA-DUCHARME:
- 9 Q. Will you identify for the record what the
- 10 document is --
- 11 A. Oh.
- Q. -- or the package of documents are?
- 13 A. This looks like exhibits filed along with
- 14 the answer.
- Q. Okay. So you were -- I had asked you the
- 16 question, what do you base your statement that
- 17 DealerDashboard is ranked in the top 25 percent of
- 18 the top -- of all the top 1 million Web sites?
- 19 A. If you look at Exhibit E, dated 1/6, 2007,
- 20 of your Deposition Exhibit 15, E(1), the first line
- 21 is, "Traffic ranking among all sites." 11/15/2006,
- 22 you'll see I am ranked 223,928, okay? That would
- 23 fall within the top 25 percentile of the top 1
- 24 million sites, okay? They only rank the top 1
- 25 million sites, okay?

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1 So utilizing that figure right there of
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- 2 all sites, all of the top 1 Internet -- 1 million
- 3 Internet sites, they have ranked DealerDashboard.com
- 4 within the top 25 percent. Does that clarify for
- 5 you?
- Q. Yep.
- 7 A. Okay. And if you'll --
- 8 Q. But if you look -- if you look on -- on
- 9 that page where DealerDashboard.com appears, you'll
- 10 see where it says, "Web Rank," you're -- you're
- 11 ranked 223,000, but if you look down to rankings 42,
- 12 43, 44, they're over -- they're -- they're ranked
- 13 over a million?
- A. Where -- I'm sorry? Okay. You're talking
- 15 about --
- MR. DAMERON: E(4), it looks like.
- 17 A. You're talking about E(3)?
- 18 BY MS. FINGUERRA-DUCHARME:
- 19 Q. E(4).
- 20 A. E(3) and E(4), okay. So you're -- now,
- 21 okay. That -- okay. You're saying those particular
- 22 sites --
- 23 Q. Right.
- A. -- of 1 million? Okay. That's that
- 25 industry, okay? So in -- in the industry, they

- 1 continue to rank, in the automotive industry.
- 2 Q. Okay. I guess I just don't see what
- 3 the -- I still don't see the support that 25 percent
- 4 of the top million because it seems like it ranks
- 5 more than a million, and I don't -- I just don't see
- 6 how we can -- we can say --
- 7 A. Somewhere -- somewhere along the line, I
- 8 read -- because I obviously went to their site,
- 9 somewhere along the line maybe they claim that the
- 10 top million sites was -- was where you wanted to be
- 11 or something like that, but that being if you -- if
- 12 you took the top 1 million sites, that figure would
- 13 still represent the 25 percentile, okay? Now, if
- 14 they continue to rank past 1 million, that's not a
- 15 concern to me, okay? So that would be my
- 16 representation in that answer --
- 17 Q. Okay.
- 18 A. -- based on their independent analysis.
- 19 Q. Okay. And this -- what is the category
- 20 for this ranking?
- 21 A. Okay. This would be
- 22 "shopping/automotive/buyers services."
- Q. Okay. Does -- this would be the retail --
- 24 geared towards consumers?
- 25 A. Well, let's see who's -- let's see who's

- 1 in there.
- 2 O. Edmunds and Blue Book.
- 3 A. Most of these is kind of a mix because
- 4 most of these provide services to dealers. They're
- 5 like the after-market supplier to -- to dealerships.
- Q. Okay.
- A. For example, you know, Kelley Blue Book is
- 8 a supplier, which is ranked number one at this
- 9 particular time. If you'll look down there, you'll
- 10 see Auto World is ranked number 16, and that's the
- 11 same company that I had the co-branding with, okay?
- 12 They sell lead services to dealerships. So when you
- 13 say "consumers," I'm not certain that that would be
- 14 accurate, if you're -- if you're only considering
- 15 consumers as being the individual looking to buy a
- 16 car.
- 17 Q. So how -- what consumer then do you think
- 18 is -- is -- when -- when it says "buyers services,"
- 19 who's the buyer that -- that you believe that this is
- 20 ranking? Is it dealers and -- and general consumers
- 21 then?
- A. Well, you know, that I don't know, but --
- 23 but I would say that -- off the top of my head that
- 24 "buyers services" would be these particular people,
- 25 which is how I classified myself when I categorized

- 1 DealerDashboard, providing services to dealers, which
- 2 all of these people do, they provide dealer -- you
- 3 know, they -- they have a Web site, a consumer goes
- 4 there, submits a lead. Before that lead gets to a
- 5 dealer, a dealer has to pay for that lead. Does that
- 6 explain your question?
- 7 Q. I think so.
- 8 A. Okay.
- 9 Q. Do you know how Ranking.com made the
- 10 determination that you were ranked number 28?
- 11 A. I would assume statistically.
- 12 Q. All right. I have -- let's mark this. I
- 13 have a printout from Ranking.com that discusses that.
- 14 Actually, I'll give you the color one. That's the
- 15 original.
- 16 (Deposition Exhibit 16 was marked for
- identification.)
- 18 BY MS. FINGUERRA-DUCHARME:
- 19 Q. I've handed you Exhibit 16, which is a
- 20 printout from Ranking.com that describes how they
- 21 gather the data and how they come up with their
- 22 results.
- 23 A. Okay. Let me just state for the record,
- 24 we're going to object again with relevancy. If I had
- 25 no business at all, that -- it wouldn't interfere

- 1 with the registration of the trademark. Go ahead.
- Q. Okay. If you see -- if you can turn to
- 3 page -- paragraph 1 on -- on page 1 of Exhibit 16.
- 4 And can you read into the record how Ranking.com
- 5 collects the data?
- 6 A. Are you talking about paragraph 1 --
- 7 Q. Yeah.
- 8 A. -- under how does Ranking.com gather data?
- 9 Q. Yes.
- 10 A. "Ranking.com tracks over 215,000 'Net
- 11 surfers with our market research software (hundreds
- 12 of participants are added daily) as they visit
- 13 various websites during the previous month.
- 14 Personally identifiable information is not collected,
- 15 only generic information on the sites they are
- 16 visiting is gathered with the exception of secure
- 17 sites such as online banking or financial
- 18 institutions."
- 19 Q. Okay. So do you know what this market
- 20 research software is?
- 21 A. I do not.
- Q. Okay. But it seems that they're basing
- 23 their rankings on the activities of 215,000 people?
- A. Pretty good representative sample
- 25 statistically. Similar to a Neilsen.

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1 Q. Okay. So it's just by tracking these
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- 2 people who have their software does Ranking.com come
- 3 up with their determination?
- A. Based on this -- this paragraph, if you're
- 5 asking me to interpret how they -- it would appear
- 6 that they have identified themself as a statistical
- 7 analysis organization, developed software that --
- 8 that utilizes a representative sample similar to a
- 9 Neilsen, who have people who have -- opt in to
- 10 participate in their sampling. And based on the
- 11 statistics of those 215,000, which statistically
- 12 should represent the public at large, that is how
- 13 they have developed their ranking.
- 14 Q. Okay.
- 15 A. Does that answer your question?
- 16 Q. It does. Okay. If you go down on this
- 17 page 1 of Exhibit 16 under "Ranking.com Information
- 18 Defined," can you read what they state under "Web
- 19 Rank"? Can you read it into the record?
- 20 A. "Web Rank: The overall popularity rating
- 21 of a site as determined by the behavior of
- 22 Ranking.com's sample of Internet users."
- Q. Okay. So the rankings are only based on
- 24 a -- on a small sample of -- of Internet users?
- 25 A. I wouldn't consider 215,000 a small

- 1 sample. I think it's good -- statistically it's
- 2 called a representative sample of the public at large
- 3 with any marketing service similar to Neilsen
- 4 Company.
- 5 Q. Okay.
- 6 A. Well respected.
- 7 Q. What do you base that statement on?
- 8 A. My knowledge and my college education with
- 9 regards to sales and marketing in statistics.
- 10 Q. Okay. But as we've discussed based on
- 11 this -- the information provided by Ranking.com, the
- 12 only people who are participating in the ranking are
- 13 those who have downloaded this software?
- A. I'm not certain that that is what this
- 15 says.
- 16 Q. Well --
- 17 A. I think it says it's based on -- they may
- 18 place a cookie -- if you visit their site, they could
- 19 place a cookie and then track you, okay? I don't
- 20 think it says what -- what you're representing.
- 21 Q. Well, it --
- MR. DAMERON: It's okay to say if you
- don't know.
- 24 THE WITNESS: Okay.
- 25 BY MS. FINGUERRA-DUCHARME:

- 1 Q. It just -- the first sentence says that
- 2 "'Net surfers with our market research software," so
- 3 that's what I was basing it upon.
- A. Okay. Well, I don't -- I don't know, you
- 5 know.
- Q. Okay. If we go back to the -- Exhibit 15,
- 7 in that Ranking.com, I guess you had it as
- 8 Defendant's Exhibit 4, that page there, that 1/6/2007
- 9 printout?
- 10 A. E(4), is that --
- 11 Q. E(4)?
- 12 A. Uh-huh.
- 13 O. So what is DealerDashboard.com ranked as
- 14 of January 6th, 2007, as reflected in Exhibit 15?
- 15 A. Based on their category rank, I ranked
- 16 28th among the category that they are tracking,
- 17 "shopping/automotive/buyers services."
- 18 Q. And that ranking was 28 out of how many?
- 19 A. I think they ranked the top 50. I think I
- 20 only printed out, like, where DealerDashboard ranked.
- Q. Okay. All right. So you're not sure if
- 22 there's more -- if there were more results, if it
- 23 went further than number 44?
- 24 A. I am not sure. Basically when I presented
- 25 this evidence, it's that I just wanted to demonstrate

- 1 where DealerDashboard was. So --
- 2 Q. If --
- 3 A. -- you know, they may -- it may go up to
- 4 100. It may go up to 1 million, I don't know.
- 5 Q. If -- I'm just looking at the bottom of
- 6 the first page of the ranking. There's a little
- 7 arrow --
- 8 A. Correct.
- 9 Q. -- that sort of shows to go to the next
- 10 page?
- 11 A. Uh-huh.
- Q. Because it's pointing to the right.
- 13 A. Okay.
- Q. And then on the next page --
- 15 A. There's a back arrow.
- 16 Q. Yeah, there's a back arrow.
- 17 A. That could be all -- all that was
- 18 presented that particular month.
- 19 Q. So if that was all that was presented,
- 20 then DealerDashboard.com would have been 28 out of
- 21 44?
- 22 A. If that's a representative at the end of
- 23 the page or -- yes, 28th in of that category.
- Q. So in that category, it's really the
- 25 bottom 50 percent?

- 1 A. No, I don't think you could say that.
- 2 Q. Well, 28 out of 44 is what?
- 3 A. 28 out of 44? That would be in the -- 22,
- 4 so it would be in the -- it would be in the below 50
- 5 percentile, if that's what you're asking --
- 6 Q. Okay.
- 7 A. -- which is pretty dang good.
- Q. Okay. There's a -- there's a -- you
- 9 mentioned this before, and now that we have the
- 10 document in front of us, I want to discuss it.
- 11 There's a column called "Links," correct?
- 12 A. Correct.
- 13 Q. What -- what is that -- and on this
- 14 printout, it says 415 for DealerDashboard.com. What
- 15 is that column referring to? What links are they
- 16 referring to?
- 17 A. The amount of links back to that site from
- 18 other sites.
- 19 Q. Okay. So it's not the amount of links on
- 20 your site to other sites?
- 21 A. No.
- 22 Q. Okay.
- A. It's the amount of return links to your
- 24 site.
- Q. Okay. So the number of links that you

1 have on your Web site to other -- to other Web sites

- 2 would not affect your ranking?
- 3 A. To my knowledge, it wouldn't.
- 4 Q. It's the opposite way?
- 5 A. I think that's the way it works.
- 6 Q. Okay.
- 7 A. I mean, there's a whole industry for
- 8 search -- for search engine optimization and I really
- 9 haven't explored that, but --
- 10 Q. I'm going to ask you a couple questions
- 11 about that in a little while, so --
- 12 A. Yeah.
- MS. FINGUERRA-DUCHARME: Okay. Now, if
- 14 you can please mark this as Exhibit 17.
- 15 (Deposition Exhibit 17 was marked for
- identification.)
- 17 BY MS. FINGUERRA-DUCHARME:
- 18 Q. Okay. Exhibit 17 is a printout dated May
- 19 4th, 2008 from Ranking.com in the category
- 20 "shopping/automotive/buyers services." What is
- 21 DealerDashboard.com's ranking as of this May 4th,
- 22 2008 printout?
- 23 A. It is ranked 31st within its category.
- 24 Q. Okay.
- A. 315,491 in the top million Web sites,

- 1 trust ranking of 5.
- Q. What is the trust ranking, by the way?
- 3 A. Trust ranking, there's -- there's a lot of
- 4 factors that are involved there. With regards to how
- 5 trustworthy you are to the consumer, that's based on
- 6 what information you provide, whether you provide a
- 7 privacy statement, whether you provide company
- 8 identification, how to contact you, whether you have
- 9 any history with regards to not paying your bills,
- 10 things like that.
- 11 Q. All right. Okay. And --
- 12 A. These -- these change -- these change
- 13 monthly.
- 14 Q. In Exhibit 15, what was the trust gauge
- 15 index for DealerDashboard.com?
- A. I would say it was probably a 6. Usually
- 17 that's what it is, but -- where are we at, E(4), is
- 18 that where we're at?
- 19 Q. Yeah.
- 20 A. Yeah. It was a 6, yes.
- Q. And what was it as of May 4th?
- A. May 4th showed a 5.
- Q. Excuse me?
- A. May 4th showed a 5.
- 25 Q. A 5, okay. And --

- 1 A. And I might, just to -- just to clarify,
- 2 DealerDashboard ranks 31st. You have a ranking at
- 3 number 10 -- at number 9 with a trust rating of 3.
- Q. Okay. How many Web sites were listed in
- 5 this category on Exhibit 17 as of May 4th, 2008?
- 6 A. 43.
- 7 Q. 43. So even though there's less Web sites
- 8 that are listed, DealerDashboard has gone down in the
- 9 rankings since January of '07, right?
- 10 A. This particular month.
- 11 Q. Right.
- 12 A. And I would -- I will say that prior to
- 13 the date that was in evidence in the answer, I had
- 14 been 36, 38 before. So it changes, based on those
- 15 215,000 -- I would assume, the 215,000, what they
- 16 visited in that particular month, so those change
- 17 from month to month.
- 18 Q. Right.
- 19 A. The fact that DealerDashboard is in that
- 20 category is what the aim of DealerDashboard is.
- Q. Okay. But it's -- it's based on
- 22 popularity of consumers in the automotive market,
- 23 right, the ranking?
- 24 A. For that particular month.
- 25 Q. All right. So at least in Exhibit 17, it

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1 shows that the popularity went down for May of '08
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- 2 as -- as compared to in January of '07?
- 3 A. I guess you could conclude that, yes.
- 4 Q. Okay. Let's go back to your answer, which
- 5 was Exhibit 14. Although, I will say that if -- if
- 6 you -- if you want to take a break now for lunch, we
- 7 can, and then we'll -- because I'm about to get into
- 8 search engines, which can take -- take a while, so
- 9 it's up to you.
- MR. DAMERON: Do you want to take a break
- 11 now?
- 12 THE WITNESS: That's fine with me.
- 13 MS. FINGUERRA-DUCHARME: Okay. So we'll
- 14 take a break for lunch.
- 15 (A recess was taken from 12:46 to 1:31.)
- 16 MR. DAMERON: As far as objections are
- 17 concerned, Mr. Dalton would object to any of
- these questions that pertain to ranking
- 19 popularity or business income from this because
- 20 he feels that -- that none of it is relevant as
- to whether he should have the trademark,
- 22 correct?
- THE WITNESS: (Nodding head.)
- MR. DAMERON: That's fine.
- MS. FINGUERRA-DUCHARME: Okay.

- 1 MR. DAMERON: There will be a continuing
- 2 objection, so to speak --
- 3 MS. FINGUERRA-DUCHARME: Right. No
- 4 problem.
- 5 MR. DAMERON: I won't try -- I won't try
- 6 to hold things up.
- 7 BY MS. FINGUERRA-DUCHARME:
- 8 Q. All right. Let's look at Exhibit 5 of --
- 9 I'm sorry, strike that.
- Let's look at page 5 of Exhibit 14.
- 11 Exhibit 14, as you recall, was your answer. In that
- 12 top paragraph again, you state that "applicants
- 13 distinct brand ranks number one under the search term
- 14 DealerDashboard and Dealer Dashboard on search
- 15 engines." Do you know how Web site listings appear
- 16 on search engines -- I should say on search engine
- 17 results?
- 18 A. I don't think I understand your question.
- 19 Q. Before, earlier today, you were talking
- 20 about how important it is to be the number one hit,
- 21 you know, search result listing?
- 22 A. Correct.
- Q. So what I want to know is, do you know how
- 24 the placement of a result is generated by a search
- 25 engine? You don't need to discuss how an algorithm

- 1 works, but do you know how it is that your Web site,
- 2 DealerDashboard, would be ranked on a search result
- 3 list?
- 4 A. That would depend on the search engine
- 5 you're speaking of --
- 6 Q. Okay.
- 7 A. -- because each search engine has, like
- 8 you said, their algorithms, their -- their own
- 9 methodology of determining their search results. And
- 10 if you're asking in a general term, am I familiar
- 11 with some of the -- some of the methods that search
- 12 engines use to produce the results, I would say yes.
- 13 If you're asking if I'm an expert in search engine
- 14 optimization, no.
- 15 Q. No. I'm saying, before you spoke about
- 16 how that's really your top way that you market
- 17 yourself?
- 18 A. Correct.
- 19 Q. And I want to know how it is that you do
- 20 that.
- 21 A. Okay. How -- how --
- 22 Q. How do you get your -- before -- before
- 23 you had testified that -- I was asking you about
- 24 consumer recognition --
- 25 A. Right.

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1 Q. -- and what evidence you have of that?
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- 2 A. Right.
- 3 Q. And you said when you put DealerDashboard
- 4 into the computer -- into a search engine, my listing
- 5 is going to be in the number one spot, and to me,
- 6 that's my best way to get -- that shows me consumer
- 7 recognition. So I want to know if you know how --
- 8 how your Web site appears number -- in that number
- 9 one spot. Are there things that you do to ensure
- 10 that you can appear in a high listing?
- 11 A. Well, there's -- you know, each -- like I
- 12 said, each search engine uses a different method. I
- 13 have never engaged in search optimization to gain a
- 14 number one spot, okay? All I have done is place my
- 15 Web -- my site on the Web and try to deliver a
- 16 quality site, a relevant site, based -- and I think
- 17 probably the -- one of the factors has to be the
- 18 longevity in business, as I was one of the first, you
- 19 know, when basically AOL was only the -- the open --
- 20 Open Directory Project was basically your main search
- 21 engine. It would be pre-Google time.
- I would say that listing has been able to
- 23 elevate me to the number one spot. And then from
- 24 that point further, for me to maintain that one --
- 25 that number one spot on those terms, it has been

- 1 defending the brand. Now, did that answer your
- 2 question?
- 3 Q. Not really.
- 4 A. Okay.
- 5 Q. I guess you had said before that each
- 6 search engine sort of operates differently?
- 7 A. Uh-huh.
- 8 Q. So why don't we start with -- with each
- 9 one, because I think in your answer you talk about
- 10 AOL, Yahoo!, and Google.
- 11 A. Okay.
- 12 Q. So with Google, do you know how Google
- 13 generates the -- the listings when somebody puts --
- 14 when somebody puts in DealerDashboard into the Google
- 15 search engine, do you know how those results appear?
- A. Are you talking currently, or are you
- 17 talking about the origination of DealerDashboard?
- 18 Because there are two totally different methods.
- 19 Q. Why don't we talk about both.
- 20 A. Okay. Originally on Google, you submitted
- 21 to their search engine. And based on those
- 22 submissions, they would result in ranking. As
- 23 Google --
- Q. What did you submit to Google?
- 25 A. When -- you know, probably prior -- prior

- 1 to them really even becoming known, it was, you know,
- 2 you would get an e-mail if you were listed on, say,
- 3 the Open Directory Project to submit to their search
- 4 engines, you know, as they were, you know, working
- 5 out of their garage or their one-room bedroom,
- 6 whatever they did to -- to develop a -- to where they
- 7 are today, yeah, okay? So -- so they solicited me --
- Q. Okay.
- 9 A. -- initially.
- 10 Q. All right. And you gave them some kind of
- 11 electronic information then?
- 12 A. Yeah. They -- they had a form you filled
- 13 out and you -- and you did key words.
- 14 Q. Okay.
- 15 A. And then based on those key words, you
- 16 know, you would rank within the -- within their
- 17 engine. Since then, I don't even think you
- 18 necessarily have to submit to their search engine
- 19 because I think they send out a -- what's called a
- 20 spider or crawler, and crawl all sites and grab
- 21 certain key words or certain relevancy with regards
- 22 to a search term, and then rank you accordingly to
- 23 that.
- Q. Is the content in your Web site easily
- 25 available to these Web spiders?

- 1 A. Yes.
- Q. Okay. And you -- you purposely --
- 3 A. Well, the -- the index page is, yes.
- 4 Q. And you purposely crafted it so that it
- 5 would be easily accessible to these Web spiders?
- 6 A. The index page is -- well, no, not really,
- 7 because the best way for -- to do spiders now is they
- 8 have -- have a robot page that -- that directs the
- 9 spiders and it has all your information. No, my site
- 10 has been optimized in HTML code with metatags on key
- 11 words that I held relevant to my site. And so just
- 12 based on that core information is how it is ranked
- 13 within the search engines.
- 14 Q. Okay. So you -- you base it -- you
- 15 basically load up your metatags with your key words,
- 16 and that's what gets reviewed by the search engines
- 17 to allow your Web site to be ranked high for those --
- 18 for DealerDashboard searches?
- 19 A. Well, I think Google doesn't really pay
- 20 much attention to key words anymore.
- 21 Q. Right.
- 22 A. They actually use the content of the page.
- 23 And, you know, even if it isn't in your metatag, they
- 24 assign a value to, you know, certain things that are
- 25 on your page and then would make that appear with

- 1 regards to -- to the search.
- 2 Q. So because DealerDashboard appears so much
- 3 on your Web site page, it leads it to be having a
- 4 high ranking on a Google search?
- 5 A. I don't think I can come to that
- 6 conclusion, but let's take a look at the -- at
- 7 DealerDashboard because I don't see -- you know, I
- 8 don't see where DealerDashboard appears in the
- 9 written form.
- 10 Q. Well, I'm just trying to go back to what
- 11 your answer was before, because you said that they
- 12 searched the content. And I'm just wondering --
- 13 A. Right. But that -- you're trying to --
- 14 you're -- my interpretation is that you're taking
- 15 what I'm saying and concluding that because
- 16 DealerDashboard is plastered all over the page or in
- 17 the metatags, that's why it ranks there. That's not
- 18 the case.
- 19 Q. Well, then, why -- why do you think it
- 20 ranks where it does? You -- you claim in paragraph
- 21 -- on page 5 of your answer that "applicant's
- 22 distinct brand ranks number one under the search term
- 23 DealerDashboard and Dealer Dashboard on the search
- 24 engines Google, AOL, and Yahoo!, " so I'm trying to
- 25 understand how it is that it ranks number one. What

- 1 causes it to be number one?
- 2 A. You know, that's probably a question for
- 3 Google, AOL, and Yahoo! because, you know, I can't --
- 4 I can't really testify. That would be speculative at
- 5 this point --
- 6 Q. Okay.
- 7 A. -- for me to testify why it does. All I
- 8 know is it does, it has from day one. And I would
- 9 say why, because I was the only one out there that
- 10 used "Dealer" and "Dashboard" together, so that's how
- 11 unique it was. So I was number one one time and the
- 12 only one at one time. And -- and, you know, I have
- 13 just tried to maintain that through defense of the
- 14 brand.
- 15 Q. But now there are lots of others that use
- 16 it, correct?
- 17 A. Use what?
- 18 O. Use DealerDashboard.
- 19 A. There are other listings that will appear.
- 20 For example, if someone used the term "Dealer,"
- 21 someone used the term "Dashboard," it would appear in
- 22 the search engine.
- 23 Q. Okay.
- A. And there are -- there are automotive
- 25 companies that -- that have attempted to use

- 1 DealerDashboard and appear in those search results,
- 2 and for the most part I've been successful in having
- 3 them cease that activity.
- 4 Q. When you say "for the most part," which
- 5 are the ones you have not been successful in?
- A. Honda -- well, actually, I was successful,
- 7 they no longer appear in the search engine. But it's
- 8 a continuing evolving, just like any -- any brand
- 9 with any company that wants to exploit a particular
- 10 brand, there will be always somebody there trying to
- 11 exploit their brand, so it's a continuing process.
- 12 Now, I don't do anything other than defend a brand to
- 13 maintain the number one spot at this stage.
- Q. Is there someone that you've sent a
- 15 cease-and-desist letter to that hasn't complied with
- 16 your demands?
- 17 A. I don't think so. To the best of my
- 18 knowledge, I don't think so. Most have ceased.
- 19 Sometimes it takes more than one letter, but -- there
- 20 are some I haven't sent cease-and-desist letters to.
- Q. You had said before that you believe that
- 22 AOL and Yahoo! operate differently than Google does
- 23 in terms of how they crawl the -- the Web to find
- 24 search results?
- 25 A. I would assume they do. I mean, they've

- 1 evolved, just like anybody else, and I don't think --
- 2 I wouldn't think that Google would provide the same
- 3 algorithm to its competitors, and I don't think its
- 4 competitors would provide the same algorithm to -- to
- 5 Google. And, you know, my only -- my only example of
- 6 that would be, you know, when you type in a search
- 7 engine -- different search engines, you're going to
- 8 get different results.
- 9 Q. Well, have you -- have you changed your
- 10 source code in a way to optimize indexing for
- 11 DealerDashboard.com?
- 12 A. No.
- 13 Q. Okay.
- A. My -- my -- it has been from day one,
- 15 which metatag -- you know, the metatags haven't
- 16 changed. I mean, I may have -- I may have removed
- 17 things that I didn't feel was relevant anymore or
- 18 things like that, but --
- 19 Q. I know that Yahoo! also has a paid
- 20 submission service --
- 21 A. Uh-huh.
- 22 Q. -- to quarantee their Web crawling. Do
- 23 you participate in that?
- 24 A. I have when -- several years ago I -- I
- 25 paid an independent company, ineedhits, to just

- 1 submit -- a one-time submission. I think I've done
- 2 that twice. Other than that, no, I have not. I've
- 3 never paid Yahoo! or -- or AOL directly to give me a
- 4 ranking. I've never paid to be included in the
- 5 directory.
- 6 Q. All right. Let's look at the exhibits you
- 7 attached to your answers. There's a Google search
- 8 result, I think it's Exhibit F, which is part of the
- 9 Deposition Exhibit 15.
- 10 A. Okay.
- 11 Q. So what was this search for? And first,
- 12 why don't you explain for the record what this is a
- 13 printout of?
- 14 A. I'm at Exhibit -- Defendant's Exhibit F of
- 15 Deposition Exhibit Dalton 15, and this is a search --
- 16 a printout of a search result in the search engine
- 17 Google on 1/6 of 2007 on the search term
- 18 "DealerDashboard," with no space.
- 19 Q. Okay. What does it say under number of
- 20 results? It says, "Results 1 10"?
- 21 A. 1,110 for DealerDashboard.
- 22 Q. Okay. So there's 1,110 --
- 23 A. Listings on that term.
- Q. That would have DealerDashboard?
- A. Well, that would have "Dealer," that would

- 1 have "Dashboard."
- Q. And then it says -- do you see --
- 3 A. Or, actually, I guess that would be -- I
- 4 guess that would be just DealerDashboard, yeah.
- 5 Q. Do you see where it says, "Did you mean"?
- 6 A. Right.
- 7 Q. And it says, "Dealer Dashboard"?
- 8 A. Correct.
- 9 Q. What does that mean?
- 10 A. Well, it's separated, "Dealer" and
- 11 "Dashboard" as an additional search option for the
- 12 consumer.
- 13 Q. And if you look three pages in, there's
- 14 another Google search, correct?
- 15 A. Correct.
- 16 O. And what is that search for?
- 17 A. That is a search term on the term in the
- 18 Google search engine, dated 1/6, 2007, on the search
- 19 term "Dealer Dashboard."
- Q. And how many hits did that result in?
- 21 A. 1,140,000.
- 22 Q. So when there's a space, you get 1 -- over
- 23 1 million, and when there's no space, there's 1100
- 24 Web sites that would have DealerDashboard connected
- 25 as one word?

- 1 A. On that particular date, based on Google.
- Q. And if you -- let's look back on the first
- 3 page, Defendant's Exhibit F. That was for
- 4 DealerDashboard as one -- as one word.
- 5 A. Okay.
- 6 Q. How many listings were there?
- 7 A. Did I print out, you mean?
- 8 O. Yeah.
- 9 A. I think I printed -- I think I only
- 10 printed the first page --
- 11 Q. All right.
- 12 A. -- of each. It looks like 11 listings,
- 13 which would be your top 10 listings.
- Q. And the first few relate to -- is your Web
- 15 site, right?
- 16 A. Every one of them is my Web site.
- 17 Q. All right.
- MR. DAMERON: Is that your Web site?
- 19 THE WITNESS: Yeah. That's a reciprocal
- 20 link.
- MS. FINGUERRA-DUCHARME: Okay. Let's mark
- this next exhibit.
- 23 (Deposition Exhibit 18 was marked for
- identification.)
- 25 BY MS. FINGUERRA-DUCHARME:

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE BEFORE THE TRADEMARK TRIAL AND APPEAL BOARD

In the Matter of Application Serial No. 78/339,571

Published: May 30, 2006 at TM 674 Mark: DEALERDASHBOARD

HONDA MOTOR CO., LTD.,) Opposition No. 91/173,105
Opposer,)
) NOTICE OF RELIANCE
V.)
)
MICHAEL DALTON,)
)
Applicant)

TAB A continued

- 1 Q. Okay. Exhibit 18 is a printout from a
- 2 Google search on May 4th, 2008, and this search is
- 3 for "dealer dashboard."
- Okay. On this printout, which Web site
- 5 appears first?
- A. Mine.
- 7 Q. Is "Data Analysis Dashboard" --
- 8 A. That is a paid sponsored link.
- 9 Q. It's a paid sponsored link. And what
- 10 about the second one, the dundasconsulting.com?
- 11 A. That is a paid sponsored link.
- 12 Q. Okay. And is the enterprise-dashboard.com
- 13 a paid sponsored link?
- A. I don't see that, ma'am.
- MR. DAMERON: Right there.
- 16 A. "Sales Management Enterprise Dashboards"?
- 17 BY MS. FINGUERRA-DUCHARME:
- 18 Q. Yeah.
- 19 A. Oh, that is -- that's a new one that just
- 20 popped up, I guess.
- Q. So this is not affiliated with you?
- 22 A. No.
- Q. The "Dealership Sales Management
- 24 Enterprise Dashboards" is not affiliated?
- A. No. And if you'll take a look at that,

- 1 that is a creative way to appear in the listing of
- 2 DealerDashboard. This particular enterprise,
- 3 enterprise-dashboard, has intentionally in their
- 4 title used DealerDashboards.
- 5 Q. Okay. If you look on the -- the Web site
- 6 that's indented in, it goes, "Auto Dealer Sales
- 7 Manager Dashboards Enterprise Dashboard." So it's
- 8 for the same listing. And you'll see in that little
- 9 summary there, it says, "Equipment Dealer Dashboard"?
- 10 A. Okay.
- 11 Q. That "Dealer Dashboard" is not referring
- 12 to your product or services, right?
- 13 A. I don't know. I would have to visit that
- 14 site. I don't know if that's a link back to me or if
- 15 that's -- or if that's, you know, someone attempting
- 16 to exploit my brand, I don't know.
- 17 Q. So do you -- do you ever go by Equipment
- 18 Dealer Dashboard?
- 19 A. I do have a section in my portal for
- 20 automotive equipment.
- Q. How about the next listing down, "Denon
- 22 Canada/Dealer Dashboard"?
- 23 A. Right.
- Q. Is that affiliated with you?
- 25 A. It is not.

- 1 Q. Okay. And you see how it says, "The
- 2 Dealer Dashboard is the easiest way for Denon dealers
- 3 to get the latest information on Denon products,
- 4 events, company information and more"?
- 5 A. Uh-huh.
- Q. And that's not affiliated with you?
- 7 A. It is not.
- 8 Q. But they're using the terms "Dealer
- 9 Dashboard" to describe their services?
- 10 A. They are --
- 11 Q. Okay.
- 12 A. -- non-competitively. Denon is not in
- 13 automotive.
- 14 Q. And the next listing down, it says,
- 15 "Dealer Dashboard Dealer Dashboard Key Performance
- 16 Indicators," and the Web site is
- 17 goallinesolutions.com. Is that affiliated with you?
- 18 A. No.
- 19 Q. And the next one, it says, "Dashboard
- 20 Online," "Dashboard is the market leader in Web
- 21 management solutions for auto dealers. Dashboard
- 22 rapidly develops high quality system products and
- 23 related services," and it says dashboardonline.com.
- 24 Is that related to you?
- 25 A. It is not.

- 1 Q. Okay.
- 2 A. I have been unable to identify them. I
- 3 suspect that is -- belongs to Nowcom.com in
- 4 retaliation. They do not provide any information on
- 5 their Web site. They -- they used a cloaked -- not
- 6 cloaked -- alias registrar. I have attempted to have
- 7 them cease because not only have they duplicated my
- 8 services, they additionally have duplicated my trade
- 9 dress.
- 10 Q. Okay. The next listing, which would be on
- 11 page 2 of Exhibit 18, "Bookshelf v7.8: Using Siebel
- 12 Dealer Dashboards (Dealer)." And is that related to
- 13 you?
- 14 A. That is not -- they were issued a cease
- 15 and desist. This is an old press release. They have
- 16 ceased and desist.
- 17 Q. I'd appreciate if you could produce any of
- 18 these cease-and-desist letters and any correspondence
- 19 between you and these third parties.
- A. What I have. I didn't keep a lot of them.
- 21 Q. Okay. Whatever you have. The next one
- 22 down is "Marantz America," M-a-r-a-n-t-z. And it
- 23 says, "The Dealer Dashboard is the easiest way for
- 24 Marantz dealers to get the latest ... As a Marantz
- 25 dealer, the Dashboard provides you with all kinds of

- 1 product ... " Is this associated with you?
- 2 A. It is not. Marantz is part of Denon, the
- 3 same one that you mentioned earlier.
- 4 Q. Okay.
- 5 A. They have been -- as of this week, they
- 6 have been sent a cease and desist because they do do
- 7 car audio.
- Q. And the next one is "Subaru Australia
- 9 Drives Dealer Productivity Via Plumtree-Powered ..."
- 10 "The dealer dashboard has streamlined communication
- 11 between Subaru and its dealer ... The dealer
- 12 dashboard in the portal has been a huge win for
- 13 Subaru." Is that -- is that related to you?
- 14 A. That -- that is -- that is the same as
- 15 Siebel up there. They used the Siebel interface. To
- 16 the best of my knowledge, they have ceased.
- 17 Q. So they're not affiliated with you?
- 18 A. They are not.
- 19 Q. All right. What about -- I'm sorry, if we
- 20 can go back to page 1 of Exhibit 18. The sponsored
- 21 links on the right --
- 22 A. Uh-huh.
- Q. -- do you -- are you -- are any of these
- 24 sponsored links related to you?
- A. No. I don't pay for it.

- 1 Q. Okay.
- 2 A. I don't pay for advertising.
- 3 Q. So -- and there's a link that says "More
- 4 Sponsored Links," right? So if you clicked on that,
- 5 do you think that we would get a few more?
- 6 A. Based -- based on the assumption that
- 7 they -- they rank these according to relevancy, you
- 8 can see none of the sponsored links contain the words
- 9 "dealer" and "dashboard," okay?
- 10 Q. But seven sponsored links were generated
- 11 in -- in response to the search term "dealer
- 12 dashboard"?
- 13 A. Seven paid links were.
- 14 Q. Right.
- 15 A. Those are advertising links.
- 16 Q. How many results came up with this search
- 17 for "dealer dashboard"?
- 18 A. It says 1 10.
- 19 Q. Okay.
- 20 A. I'm assuming that's correct.
- 21 Q. And we've just determined that seven out
- 22 of those ten are not related to you?
- A. I count eight, ma'am.
- Q. You count eight, okay. So a consumer as
- 25 of yesterday, if they typed in "dealer dashboard,"

- 1 out of the ten results, eight of them would be for
- 2 services and -- services and products that are
- 3 unrelated to what you provide?
- 4 A. That's correct.
- 5 Q. Okay.
- A. I might note, mine would be the top two.
- 7 Q. That's correct. Okay. Now, let's look
- 8 back at the documents you submitted with your answer,
- 9 which -- Exhibit 15. And I think that after the
- 10 Google search, there may be an AOL search. And,
- 11 again, you have -- you have two searches, one for
- 12 dealer hyphen -- I'm -- dealer, space, dashboard and
- 13 one for dealer without the space. For the first one,
- 14 which is DealerDashboard without the space, how many
- 15 results are generated there?
- 16 A. We're on Defendant's Exhibit G? I don't
- 17 see where they show the analysis of how many results.
- 18 Q. How many are printed on -- on the page
- 19 that you submitted?
- 20 A. Okay.
- Q. Sorry about that.
- A. I'm sorry, I misunderstood. Just on page
- 23 1 or -- yeah, okay. There's 10 -- these are the top
- 24 10.
- Q. And of the top 10, how many are related to

- 1 your services?
- 2 A. Seven.
- 3 Q. Seven, okay. Can you just let me know
- 4 which of the three that are not related to your
- 5 services?
- A. We -- we discussed this one before, the
- 7 "Managing the entire sales process" with the sewells,
- 8 which is in Australia -- as you see, that's in
- 9 Australia --
- 10 Q. Okay.
- 11 A. -- unrelated to the U.S. market. And that
- 12 is Subaru's a -- the distributor in Australia. And
- 13 then, once again, Denon.
- Q. And which one was the third one?
- A. Well, the one is at my site, the job that
- 16 -- DealerDashboard and careerjet.com, but it --
- 17 Q. Okay.
- 18 A. -- does refer to my site because that's a
- 19 link back to my site.
- 20 Q. Okay.
- A. Make sense?
- 22 Q. Yeah. So that is related to --
- 23 A. Yeah.
- Q. -- to your Web site, okay. So there's
- 25 just two for this one?

- 1 A. Let me make sure that that's the way I am
- 2 interpreting it. Yes.
- 3 Q. So for -- in January of '07 for a search
- 4 for DealerDashboard connected as one word, of the --
- 5 the ten results, two of them are not related to you?
- A. Right. 80 percent, yes.
- 7 Q. And then on the next search results, this
- 8 now is searching for Dealer, with a space, Dashboard,
- 9 and this was also done on January 6th of '07. Can
- 10 you look through those and tell me --
- 11 A. Okay.
- 12 Q. Tell me the -- first, let's establish how
- 13 many hits there were.
- A. "Hits," you're meaning search results?
- Q. Search results, yeah.
- A. Okay. The first page is usually the first
- 17 10, but I will -- I'll do a manual count.
- 18 Q. Well, there's --
- 19 A. Okay. This is more. 14.
- Q. Oh, you're not counting the top three?
- 21 A. Those are paid links.
- Q. Okay. So those are --
- A. Those are sponsored by people paid to --
- 24 to be put there.
- Q. Okay. But they -- but that's what the

- 1 consumer first sees are these first three results?
- 2 A. The consumer sees that, but the consumer
- 3 is well aware that somebody has paid to be there and
- 4 not necessarily reflective of the -- of the actual
- 5 search term in which they --
- 6 Q. Okay. So --
- A. For example, Pontiac Motor Company, Mazda
- 8 bought spots in the first three spots. So
- 9 competitors -- there has been case history,
- 10 competitors will definitely buy your search terms and
- 11 it has been determined to be legal to do that. So
- 12 the consumer is well aware of that.
- Q. Okay. So notwithstanding the sponsored
- 14 links, we've got 14 search results?
- 15 A. That was my count, ma'am.
- 16 Q. Okay. So of these 14, let's go -- let's
- 17 go through -- let's go through them like we did
- 18 before. The first --
- 19 A. 14, yes.
- Q. The first two are yours, right?
- 21 A. Correct.
- Q. DealerDashboard.com, DealerDashboard.com.
- 23 The third one down is Denon, which we spoke about
- 24 before?
- 25 A. Uh-huh.

- 1 Q. This next one, "Steve Kolb Web Design,"
- 2 "GUI designed for Dealer Dashboard Data Mart
- 3 project." Is that related to you?
- A. It is not. If you go to that particular
- 5 site, you won't find DealerDashboard on any of their
- 6 things, so that apparently must be in a metatag.
- 7 Q. They talk about a "Dealer Dashboard Data
- 8 Marked project"?
- 9 A. Yeah. I've been to that site and I -- you
- 10 go to the site, you don't see DealerDashboard, so it
- 11 has to be embedded.
- 12 Q. Okay. This next one, the "dashboardspy,"
- 13 is that related to you? It talks "Equipment Dealer
- 14 Dashboard"?
- A. No. And I'll tell you, I went to -- went
- 16 to there. That looks like a -- that looks like a
- 17 spam site to me.
- 18 Q. The next one down, "PopShop Online," "in
- 19 your Dealer Dashboard Help File list." Is that
- 20 related to you?
- 21 A. It is not. And I'm surprised to see them
- 22 because they agreed to cease and desist.
- Q. The next one is also "PopShop."
- A. Those have to be old.
- Q. This is from January of '07.

- 1 A. Yeah, but that -- you know, it was some
- 2 kind of thing that has been cached.
- 3 Q. Okay.
- A. It may still appear, even though they've
- 5 agreed to cease.
- 6 Q. The next one was for "thomasnet.com."
- 7 "The Dealer Dashboard also connects dealers to a
- 8 variety of online advertising options." Is that
- 9 related to you?
- 10 A. That is not. That is a directory that's
- 11 an old directory. They received a cease and desist
- 12 and has agreed.
- Q. The next one is "The Cobalt Group"?
- 14 A. That's an old press release.
- 15 Q. It's not related to you?
- 16 A. Not related to me.
- 17 Q. Okay. The next one is "'Dashboard' Ward's
- 18 Dealer Business." That's not related to you either?
- 19 A. I don't think so. Ward's is a national
- 20 dealer magazine. At one time, I was listed on their
- 21 site, but that looks like -- that looks like they
- 22 have a certain article where just the terms "Dealer"
- 23 and "Dashboard" appear.
- MS. FINGUERRA-DUCHARME: Okay. Mark this,
- please.

1 (Deposition Exhibit 19 was marked for

- identification.)
- 3 BY MS. FINGUERRA-DUCHARME:
- 4 Q. Okay. I'm handing to you Exhibit 19,
- 5 which is a printout from May 4th, 2008, and it's a
- 6 search for "dealer dashboard." It's an "AOL Search."
- 7 So let's count now -- we -- we won't count the
- 8 sponsored links. There are three sponsored links
- 9 that come up for -- when you type in "dealer
- 10 dashboard." For the results, how many results appear
- 11 on this page?
- 12 A. It looks like -- do they have -- it looks
- 13 like they might have sponsored links at the bottom of
- 14 their top 10, maybe not, so -- because it says --
- 15 Q. It could be because it says, "More
- 16 Sponsored Links For."
- 17 A. Right. Because normally they -- in the
- 18 list, it says the top ten is on the first page, but
- 19 then I see these others --
- 20 Q. Okay. Let's --
- 21 A. -- so --
- Q. Let's go through each one then and we'll
- 23 just see what's -- what's related and what's not.
- A. The first two are definitely mine.
- 25 Q. Yep.

- 1 A. The top two.
- 2 Q. Yep. Then the next one is this
- 3 "Dealership Sales Management Enterprise Dashboards"?
- 4 A. Not mine.
- 5 Q. Okay. And the next, the sub-one that's
- 6 connected. That's not yours either, for the "Auto
- 7 Dealer Sales Management Dashboards Enterprise." The
- 8 next one is "Denon Canada/Dealer Dashboard"?
- 9 A. Right.
- 10 Q. Next one is "Dashboard Online."
- 11 "Dashboard is the market leader in Web management
- 12 solutions for auto dealers. Dashboard rapidly
- 13 develops high quality system products and related
- 14 services"?
- 15 A. That's the same one I told you that I
- 16 suspect being a retaliation Web site.
- Q. Okay. That's not yours.
- 18 A. Because there's no -- there -- I don't
- 19 know who they are.
- 20 Q. "Marantz America," "The Dealer Dashboard
- 21 is the easiest way for Marantz dealers to get the
- 22 latest"?
- 23 A. Right.
- Q. That's not yours?
- 25 A. It is not.

- 1 Q. "Subaru Australia"?
- 2 A. Right, is not.
- 3 Q. "MaximTrak Technologies Announce
- 4 Partnership with Premier Dea..." "Dealer Dashboards
- 5 is an F & I management reporting system that provides
- 6 automobile dealership owners with complete
- 7 performance reports."
- 8 A. These -- this is -- Premier is a floorplan
- 9 financing company owned by American -- Great American
- 10 Insurance. They have just done this. I just noticed
- 11 this yesterday. They will be getting a
- 12 cease-and-desist letter because they are in direct
- 13 competition with floorplan financing, and I -- I
- 14 think quite possibly it's a direct result of trying
- 15 to exploit my brand.
- 16 Q. So this is not related to you?
- 17 A. It is not.
- 18 Q. The next one I know is related to you.
- 19 It's "Hamilton County Republican Party.com."
- 20 A. It is.
- Q. You can tell me about that maybe on a
- 22 break.
- Okay. And then --
- 24 A. Activist.
- 25 Q. I think -- I think you're correct, I think

- 1 the rest of these are sponsored links.
- 2 A. Yeah. And I think that would change the
- 3 statistics on the last one we spoke to, because that
- 4 was in the same situation I -- no?
- 5 Q. I don't -- I don't -- yeah.
- A. My wrong, my wrong.
- 7 Q. I think it's just --
- 8 A. You're right.
- 9 Q. I think it's AOL.
- 10 A. You're right.
- 11 Q. Okay. Let's now look to the Yahoo! search
- 12 from -- from your answer.
- 13 A. Okay.
- Q. For the -- let's look to the second search
- 15 results, which is the one for the "Dealer Dashboard."
- 16 And Yahoo! makes it easier for us because they number
- 17 the results 1 through 10, so now we know what the
- 18 sponsored link is. Let's go through these and see
- 19 which ones are related to you. The first two are
- 20 yours. The second one is that Denon again?
- 21 A. Uh-huh.
- Q. So that's not related to you. The fourth
- 23 one?
- A. That's Denon as well, same.
- Q. Not related to you?

- 1 A. Right.
- 2 Q. Number 5?
- 3 A. Is this Subaru sewells, old -- that's an
- 4 old -- old press release.
- 5 Q. Number 6?
- 6 A. Number 6 has ceased and desist.
- 7 Q. Number 7? That says "Subaru Australia."
- 8 A. Yeah, that's -- that's an old press
- 9 release.
- 10 Q. Marantz is not related to you?
- 11 A. Right.
- 12 Q. "Ward's Dealer Business," is that --
- 13 A. That is not -- that is not related to me,
- 14 I don't think.
- 15 Q. And then the last one is an article about
- 16 Subaru?
- A. Oh, no, okay. I've been to this check --
- 18 "Ward's Dealer Business." It's two separate
- 19 articles, and the check -- the "Dealer Business" was
- 20 one article, and Ward's is a publication magazine.
- 21 The dashboard is an article on when your check engine
- 22 light comes on. "Check That Dashboard" is what's the
- 23 name of the article. And the last one -- I'm sorry,
- 24 the last one is the Subaru again, which is an old
- 25 press release.

1 MS. FINGUERRA-DUCHARME: Let's mark this

- 2 next exhibit.
- 3 (Deposition Exhibit 20 was marked for
- 4 identification.)
- 5 BY MS. FINGUERRA-DUCHARME:
- 6 Q. Okay. I'm handing you a search result for
- 7 Yahoo! for "dealer dashboard," printed on May 4th,
- 8 2008. On the first page, how many -- how many hits
- 9 were there for the search "dealer dashboard"?
- 10 A. 4,630,000 --
- 11 Q. Okay.
- 12 A. -- of which DealerDashboard is number one.
- Q. So let's go through these results and see
- 14 which ones -- how many are related to you. The first
- 15 one is DealerDashboard. The second one is Denon USA?
- 16 A. Not related.
- 17 Q. The next one is McIntosh?
- 18 A. Not related.
- 19 Q. Okay.
- 20 A. No -- well --
- Q. Will you read for the record what it says?
- 22 A. What?
- Q. Under "McIntosh/Dealer Dashboard"?
- 24 A. "Welcome to the McIntosh Dealer
- 25 Dashboard."

- Q. Okay. And that's not related to you?
- 2 A. Nor are they related to the automotive
- 3 industry.
- 4 Q. The next one says -- this is
- 5 DealerDashboard for -- this is your site?
- 6 A. Correct.
- 7 Q. Okay. The next one is -- is "Dashboard
- 8 Online"?
- 9 A. We spoke about this particular site. It's
- 10 not related. I'm seeking the identity.
- 11 Q. "Marantz America," not related?
- 12 A. Not related. They are related to Denon.
- 13 Q. "DealerSocket Dashboard," not related?
- 14 A. It is not related.
- 15 Q. "Panama City Suzuki Dealer"; is that
- 16 related?
- 17 A. It is not related. It shows up under the
- 18 term "dashboard" and "dealer."
- 19 Q. "Dashboard Mobile Financial," and it's
- 20 "Dashboard Communication"?
- 21 A. It looks like some kind of a press
- 22 release.
- Q. It's not related, though, right?
- A. Not related, no.
- Q. And then "Direct Connect"? I don't know

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1 if these are part of the sponsored results, too.
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- 2 A. All of -- I would say -- I would say,
- 3 yeah, I don't know. They look -- I don't know if
- 4 this is sponsored or non-sponsored.
- 5 MS. FINGUERRA-DUCHARME: Do you -- do you
- 6 need a break or should we keep going?
- 7 THE WITNESS: I -- yeah, I do.
- MS. FINGUERRA-DUCHARME: Okay. Because --
- 9 we'll take a break here. It's a good spot to
- 10 stop again.
- 11 (A recess was taken from 2:16 to 2:26.)
- MS. FINGUERRA-DUCHARME: All right. So my
- 13 big stack here.
- 14 THE WITNESS: Don't tell me that.
- MS. FINGUERRA-DUCHARME: You can mark
- 16 that. When we get through these, at one point
- the copy machine kicked into color pages, so
- there might be some bright yellow and bright
- blue and orange. Don't interpret anything with
- that. I didn't feel like throwing away paper.
- I'm sure you're green, also, so you probably
- appreciate that. So this is Exhibit, what, 21?
- 23 (Deposition Exhibit 21 was marked for
- identification.)
- 25 BY MS. FINGUERRA-DUCHARME:

- 1 Q. Okay. This -- Exhibit Number 21 is a
- 2 printout from boating-industry.com, printed on May
- 3 3rd, 2008. It appears to be a press release from ADP
- 4 Lightspeed. And have you ever been to this Web site
- 5 before?
- 6 A. (Shaking head.)
- 7 Q. Okay. Can you please read for the record
- 8 the first paragraph in the press release?
- 9 A. "ADP Lightspeed is no stranger to the
- 10 automotive, heavy truck and powersports markets,
- 11 where its software programs rank No. 1 in all three
- 12 industries and have reigned there for years."
- Q. Okay. And if you go down to the sixth
- 14 paragraph, you can read the --
- 15 A. "In addition"?
- 16 Q. Yep.
- 17 A. "In addition ADP Lightspeed provides a New
- 18 Dealer Dashboard that will allow a user to customize
- 19 their view of performance factors and track what is
- 20 important to them and their department." Do you want
- 21 one more?
- Q. No, that's good.
- A. Thanks. I appreciate this. Can I have a
- 24 copy of this? Because I'd like to send them my
- 25 cease-and-desist letter.

- Q. Well, that was my question to you, is --
- 2 is this Dealer Dashboard related to your services?
- 3 A. It is not.
- 4 Q. Is DealerDashboard.com affiliated at all
- 5 with this company?
- 6 A. With ADP, no.
- 7 Q. Okay. Before today, did you know of ADP's
- 8 use of the terms "Dealer Dashboard" to describe its
- 9 services?
- 10 A. I did not.
- 11 Q. Okay.
- 12 A. And this says "New." Is the press release
- 13 actually dated?
- 14 MR. DAMERON: I don't see a date.
- MS. FINGUERRA-DUCHARME: Okay. Mark that.
- And I actually have a couple of extra stuff, so
- 17 I'm going to make a pile for you with my extra
- 18 stuff here. So this would be Exhibit 22.
- 19 (Deposition Exhibit 22 was marked for
- 20 identification.)
- 21 BY MS. FINGUERRA-DUCHARME:
- 22 Q. Okay. Exhibit 22 is a printout from May
- 23 3rd, 2008 from a Web site, getsharepoint.com, and
- 24 it's about a company Karastan, K-a-r-a-s-t-a-n. Are
- 25 you familiar with this Web site?

- 1 A. No.
- 2 Q. Okay. If you can go to page 2 of Exhibit
- 3 22, and can you read into the record that first
- 4 paragraph?
- 5 A. Starting with "Each dealer"?
- 6 Q. Yep.
- 7 A. "Each dealer 'Rep Group' needed its own
- 8 site, so first we designed a portal 'entry' page.
- 9 All of the dealers go to www.repnews.com to log in
- 10 and select their respective dashboard. Just like a
- 11 car dashboard delivers critical information to the
- 12 'driver (i.e. speed, gear, lights, gas, temperature,
- 13 etc.) each dealer Dashboard delivers all the current
- 14 and important information at a glance (i.e. newest
- 15 documents, announcements, calendars, links, etc.)"
- 16 Q. Okay. Is this "dealer Dashboard" related
- 17 to your DealerDashboard.com business?
- 18 A. It is not.
- 19 Q. Is your DealerDashboard.com Web site
- 20 affiliated with Karastan?
- 21 A. It is not.
- 22 Q. Before today, did you know of Karastan's
- 23 use of the terms "Dealer Dashboard" to describe its
- 24 services?
- A. I did not.

- 1 Q. Okay.
- 2 A. I would note they will receive a cease and
- 3 desist, although they are not affiliated in the
- 4 automotive industry.
- 5 MS. FINGUERRA-DUCHARME: Off the record.
- 6 (A recess was taken from 2:31 to 2:32.)
- 7 (Deposition Exhibit 23 was marked for
- 8 identification.)
- 9 BY MS. FINGUERRA-DUCHARME:
- 10 Q. Okay. You have just been handed Exhibit
- 11 23, which is a Web site printout from May 3rd, 2008
- 12 from datamotive.com. Are you familiar with
- 13 DataMotive?
- 14 A. I am not.
- 15 Q. Okay. If you look on page 2, can you
- 16 please describe for the record what appears there in
- 17 terms of design?
- 18 A. I'm -- I'm sorry?
- 19 Q. Would you describe --
- 20 A. Looks like a dashboard of an automobile
- 21 with the logo carsales.com.cu (sic).
- Q. Au maybe?
- A. Must be China maybe, I don't know.
- Q. Okay. And can you read for the record the
- 25 text that appears under the dashboard image?

1 A. "The Dealer Dashboard appears in your home

- 2 page when you log in to Autogate Pro."
- 3 Q. And the second sentence?
- 4 A. "It provides a real-time snapshot of the
- 5 performance of your business."
- Q. Are you -- is Autogate Pro related to the
- 7 DealerDashboard.com business?
- 8 A. It is not.
- 9 Q. Is your DealerDashboard.com Web site
- 10 affiliated with Autogate Pro?
- 11 A. It is not.
- 12 Q. Before today, did you know of Autogate
- 13 Pro's use of the term "Dealer Dashboard" to describe
- 14 its services?
- 15 A. I did not know of DataMotive.
- 16 Carsales.com has received a cease-and-desist letter
- 17 from me, and it was my understanding that they had
- 18 ceased using that. This is the first I've seen this
- 19 DataMotive.
- I don't know if this is a press release or
- 21 something that they -- that carsales has -- has
- 22 agreed to cease or did cease or -- or what, I
- 23 don't -- I've never seen this and -- before. And to
- 24 the best of my knowledge, it didn't appear in the
- 25 first ten search results. Oh, Australia, au, not cu.

1 (Deposition Exhibit 24 was marked for

- identification.)
- 3 BY MS. FINGUERRA-DUCHARME:
- Q. Exhibit 24 is a printout from May 3rd,
- 5 2008 from rvtraderonline.com. And Mr. Dalton, if you
- 6 can look to page 4 of Exhibit 24, and if you can read
- 7 for the record, starting with "Also new for RV
- 8 dealers"?
- 9 A. "Also new for RV dealers is the Dealer
- 10 Dashboard e-newsletter."
- 11 Q. And if you can continue reading.
- 12 A. "Delivered directly to advertisers'
- 13 inboxes every month, it offers tips on how to drive
- 14 more business to the dealership and how to more
- 15 effectively leverage the RVTraderOnline.com selling
- 16 tools."
- 17 Q. And are you familiar with this
- 18 DealerDashboard e-newsletter?
- 19 A. I am not.
- 20 Q. Is this DealerDashboard e-newsletter
- 21 related to your DealerDashboard.com business?
- 22 A. It is not.
- Q. Is your DealerDashboard.com Web site
- 24 affiliated with this DealerDashboard e-newsletter?
- 25 A. With the newsletter?

- 1 Q. Or with this RVTraderOnline?
- 2 A. I am affiliated as an affiliate to the
- 3 prior companies of Dominion, who was AutoTrader.com.
- 4 This surprises me. So I am affiliated -- have an
- 5 affiliated agreement to co-market. Some of their
- 6 product appears on my site.
- 7 Q. But you have not given them permission to
- 8 call their newsletter Dealer Dashboard?
- 9 A. I have not.
- 10 Q. And before today you didn't know that
- 11 there was a newsletter called Dealer Dashboard?
- 12 A. I did not.
- 13 Q. Okay.
- 14 (Deposition Exhibit 25 was marked for
- identification.)
- 16 BY MS. FINGUERRA-DUCHARME:
- Q. Okay. I'm handing you Exhibit 25, which
- 18 is a printout from May 3rd, 2008 from a Web site
- 19 called dashboardspy.wordpress.com. Can you read for
- 20 the record the -- what appears in the header of the
- 21 first page of Exhibit 25?
- 22 A. "An auto dealer sales management
- 23 dashboard."
- Q. Yeah. Do you want to say it again?
- 25 A. Thanks. "An auto dealer sales management

- 1 dashboard."
- Q. Okay. And if you'll see, it says, "The
- 3 Dashboard Spy, March 5, 2006." And what does it say
- 4 under that? Under the date, what does it say?
- 5 A. I think that's what I just read, "An auto
- 6 dealer sales management dashboard."
- 7 Q. All right. And then under that, it says,
- 8 "Filed under: Dashboard Screenshots." Can you read
- 9 for the record what it says underneath that?
- 10 A. "This sales dashboard provides users with
- 11 real-time financial information about their Sales,
- 12 F&I, Fixed Operations, Accounting and Inventory
- 13 profit centers. PDFs available at
- 14 http://www.dealerdna.com/pages/sales.html."
- 15 O. And that's similar to what -- the
- 16 dealership services that you provide, right?
- 17 A. It is.
- 18 Q. And they're calling it "sales dashboard,"
- 19 right? They're describing it as a "sales dashboard"?
- 20 A. Yes. Yes, the "sales dashboard," yeah.
- Q. All right. If you turn to page 4 of
- 22 Exhibit 25, can you read how this Web site describes
- 23 "What is a Dashboard?"
- A. I'm going to object as to relevancy,
- 25 object as to expert on a definition of a dashboard.

- 1 Q. Okay.
- 2 A. "What is a Dashboard?" "Known by many
- 3 names (enterprise dashboard, executive dashboard,
- 4 digital dashboard, business dashboard, business
- 5 intelligence dashboard, performance dashboard,
- 6 balanced scorecard, kpi summary, data visualization,
- 7 and so on...), it is basically a way for business
- 8 users to get an at-a-glance understanding of metrics
- 9 of importance to them. In addition to acting as a
- 10 summarization device, the dashboard also serves to
- 11 highlight specific data and allows the user to drill
- 12 down and inspect specific items. It allows a
- 13 browsing style of user interaction in addition to the
- 14 usual menu based navigation. As such, the dashboard
- 15 also represents a user interface design pattern that
- 16 designers should study and understand. From the
- 17 perspective of information technology staff, the
- 18 dashboard often represents a consolidation of data
- 19 from disparate data sources. The effort is usually
- 20 considerable when it comes to the necessary
- 21 extraction, transformation and presentation of the
- 22 data."
- I'm not certain what that means, but I
- 24 read it.
- Q. Okay. What -- what portion of this don't

- 1 you agree with as far as a definition of "What is a
- 2 Dashboard" is concerned?
- 3 A. That's not my definition of a dashboard.
- 4 That's not any published interpretation of a
- 5 dashboard. A dashboard is -- is, as we represented
- 6 in the definition of a dashboard, is published. This
- 7 is some type of a slang in terms with regards to
- 8 evolution and was not a slang in the first use of
- 9 DealerDashboard.
- 10 Q. That's not what my question is, though.
- 11 Is -- do you have -- do you have an issue with the
- 12 fact that this is -- strike that.
- 13 Notwithstanding what dashboard may have
- 14 meant back in 1999 --
- A. Uh-huh.
- 16 Q. -- do you agree that this is a working
- 17 definition today of dashboard?
- 18 A. No, I do not. I believe -- I believe that
- 19 this is the writers of Dashboard Spy's definition,
- 20 but, no, I --
- 21 Q. What -- what portion of this definition is
- 22 not -- do you not agree with?
- A. I don't understand any of it, ma'am.
- Q. Okay. Do you not agree with the fact that
- 25 a dashboard is "basically a way for business users to

- 1 get an at-a-glance understanding of metrics of
- 2 importance to them"?
- 3 A. I would call that a spreadsheet.
- Q. Okay. Isn't that what DealerDashboard
- 5 does, though, your DealerDashboard?
- 6 A. Pardon?
- 7 Q. Didn't we discuss before how when you came
- 8 up with the term "DealerDashboard," that part of it
- 9 was so that you could have a way for a -- for a
- 10 business -- can -- can have right in front of them an
- 11 understanding of what's important to their business?
- 12 A. I don't think we termed it that way. I
- 13 don't -- I don't -- I think I said that the original
- 14 concept in developing the DealerDashboard is that
- 15 they would -- they would be sitting there viewing the
- 16 monitor as sitting in the driver's seat and looking
- 17 at their future view of the automotive world.
- 18 Q. But the information that you're providing
- 19 to them, the services on your dashboard,
- 20 DealerDashboard.com is a place to go to find
- 21 information, right, one central place to find
- 22 information --
- 23 A. Correct.
- Q. -- that's important?
- A. I mean, it's one aspect of it. I mean,

- 1 it's a portal.
- 2 Q. Right.
- 3 A. It's a portal.
- 4 Q. Right. And that's consistent with how the
- 5 dashboard is described here. Like, couldn't this
- 6 definition of "What is a Dashboard" be used to
- 7 describe the services that you provide on
- 8 DealerDashboard.com?
- 9 A. Absolutely not.
- 10 Q. Why absolutely not? What -- what does not
- 11 describe what you provide? "It allows a browsing
- 12 style of user interaction in addition to the usual
- 13 menu based navigation." You don't do that?
- 14 A. This -- this term looks like, to me, an
- 15 interpretation of Apples 10.4 Genome Project where
- 16 they describe their desktop and have named it
- 17 Dashboard with the use of widgets. This looks like
- 18 that's how they are using that term, that Apple has
- 19 branded a product that they have called Dashboard.
- That's the way I would interpret this,
- 21 based on the Genome Project and Version 10.4 of
- 22 Apple's operating system and their desktop, that's
- 23 the interpretation I would get. And unless I could
- 24 see some basis for their interpretation, that's how I
- 25 would have to interpret that as based on Apple's

- 1 10.4.
- 2 Q. I'm not asking about Apple's, I'm
- 3 asking --
- 4 A. Okay.
- 5 Q. -- about what you provide --
- 6 A. That's -- that's my answer, ma'am.
- 7 Q. I know, to my last question. But what I'm
- 8 asking you now is, when we think about
- 9 DealerDashboard.com --
- 10 A. Uh-huh.
- 11 Q. -- isn't it true that it's a way for
- 12 business users to get an understanding of metrics of
- 13 importance to them?
- 14 A. No.
- 15 Q. It's not?
- A. No, it is not.
- 17 Q. Isn't that --
- 18 A. It is not.
- 19 Q. So tell me --
- 20 A. There are services on DealerDashboard in
- 21 the automotive context, okay, where they would be
- 22 able to gain sales and marketing key financial
- 23 indicators as indicated in my -- in my -- but is it a
- 24 graphical interface, which is what they're -- what
- 25 they're speaking of here.

- 1 Q. I'm not talking about graphical
- 2 interface --
- 3 A. That's -- that's what I --
- 4 Q. -- and I don't think that's what
- 5 they're --
- 6 A. If I look at this, this looks like -- if I
- 7 look at the -- at the shot, that looks like a
- 8 graphical interface. By the way, I've spoken to this
- 9 company. This looks like a graphical interface based
- 10 on a spreadsheet and based on the Genome Project --
- 11 Genome Project with Apple 10.4, and that's -- that's
- 12 the way I would interpret that definition. And in
- 13 no -- and in no way is that related to
- 14 DealerDashboard, the brand, as I market it.
- 15 Q. Okay.
- 16 (Deposition Exhibit 26 was marked for
- identification)
- 18 BY MS. FINGUERRA-DUCHARME:
- 19 Q. Okay. I've marked for you Exhibit 26,
- 20 which is a Web site printout from May 3rd, 2008 from
- 21 www.dfsfin.com. Can you read for me what's in the
- 22 header of Exhibit 26?
- 23 A. The header, "Private Policy Statement," is
- 24 that what you're looking for?
- 25 Q. The "Diversified."

- 1 A. Oh, you mean at the top of the browser?
- Q. Yeah.
- 3 A. "Diversified Financial Services Portal."
- Q. Okay. And this appears to be a "Privacy
- 5 Policy Statement" for "Diversified Financial
- 6 Services," right?
- 7 A. I agree.
- 8 Q. Okay. If you go to the second paragraph
- 9 down, can you read what -- what Diversified Financial
- 10 does?
- 11 A. Starting with "For" or --
- 12 Q. "We collect."
- 13 A. Okay. "We collect information volunteered
- 14 by the consumer or dealer, such as customer account
- 15 information or dealer information and/or site
- 16 registrations.
- 17 "The information we collect is used for
- 18 internal review and is then discarded.
- "With respect to cookies: On Dealer
- 20 Logins, we set a cookie that helps us determine on
- 21 the Dealer Dashboard pages your unique dealer
- 22 identification number."
- Q. Okay. So is -- were you aware of
- 24 Diversified Financial's use of the term "Dealer
- 25 Dashboard"?

- 1 A. I was not.
- 2 Q. All right. Is -- is Diversified
- 3 Financial's use of Dealer Dashboard related to
- 4 DealerDashboard.com business?
- 5 A. To the best of my knowledge, it is not.
- Q. But they're using it to describe a --
- 7 some -- some of their services?
- 8 A. Direct competing services. I'm -- I'm
- 9 assuming Diversified Financial does deal
- 10 with floorplan financing.
- 11 Q. I'm sorry, what was that?
- 12 A. I'm assuming Diversified Financial
- 13 Services does dealer floorplan financing. I'm not
- 14 familiar with them. Yeah, DFS, they do. So they
- 15 will receive a cease and desist.
- 16 Q. Okay.
- 17 (Deposition Exhibit 27 was marked for
- identification.)
- 19 BY MS. FINGUERRA-DUCHARME:
- Q. I have just handed you Exhibit 27, which
- 21 is a printout from download.oracle, o-r-a-c-l-e,
- 22 .com. Mr. Dalton, can you please read for me what
- 23 the header is?
- A. "Bookshelf v7.8 Using Siebel Dealer
- 25 Dashboards (Dealer)."

1 Q. And, again, in prominent bold type in the

- 2 center?
- 3 A. "Using Siebel Dealer Dashboard (Dealer)."
- 4 Q. Okay. And can you read for me what -- the
- 5 first -- the first sentence?
- A. "Siebel Dealer provides dealer employees
- 7 with dashboards that allow them to view the most
- 8 important information that they need for their work
- 9 on one screen. The following dashboards are
- 10 available."
- 11 Q. And is that similar to some of the
- 12 services that you provide under DealerDashboard in
- 13 that -- in the broad sense that you allow users to
- 14 view important information in one place?
- 15 A. You know, I can't say whether that's --
- 16 that is or isn't because Siebel does do things for
- 17 dealerships and they -- they -- I thought they had
- 18 ceased using DealerDashboard, and I'm not sure how
- 19 old this thing is.
- Q. Well, my question for you, though, is,
- 21 let's put -- let's replace the word "Siebel Dealer"
- 22 and instead let's say DealerDashboard. Would it
- 23 be -- would it be accurate for me to say --
- 24 A. Provides dealer -- DealerDashboard
- 25 "provides dealer employees with dashboards that allow

1 them" -- no, absolutely not. I don't provide any

- 2 dashboards to anyone.
- 3 Q. Okay.
- 4 A. The dashboard is the visit to
- 5 DealerDashboard.com.
- Q. But that's the -- okay. So if -- if it's
- 7 not -- is it that the DealerDashboard.com allows them
- 8 to view the most important information that they need
- 9 for their work; is that accurate?
- 10 A. I wouldn't say so. It provides -- it
- 11 provides a portal to -- to valuable information.
- 12 I -- you know, I -- I understand, based on your
- 13 opposition, that you have taken the position that my
- 14 site is a dashboard as that word has evolved based on
- 15 the Genome Project and Apple with the graphical user
- 16 interface, and that's what they're referring to. My
- 17 site is not a graphical user interface. It's not
- 18 designed to be a graphical user interface. It's
- 19 designed to be a view of the future world in the
- 20 automotive industry based on the term
- 21 "DealerDashboard."
- Q. Okay. Well, if you could look in the
- 23 middle here where it says, "Note," can you also read
- 24 what that says?
- 25 A. "The Today's Activities only displays

1 certain types of activities, as described in Changing

- 2 Types of Activities Displayed in the Dealer
- 3 Dashboard."
- Q. So there's a -- there's a Dealer Dashboard
- 5 that they're referring to, okay.
- 6 (Deposition Exhibit 28 was marked for
- 7 identification.)
- 8 BY MS. FINGUERRA-DUCHARME:
- 9 Q. I'm handing to you Exhibit 28, which is a
- 10 13-page printout from www.enterprise-dashboard.com.
- 11 If you could read to me what is -- what's the -- sort
- 12 of the large font title of this Web site.
- 13 A. Objection to relevancy, but -- you're
- 14 talking about the bold print at the top?
- 15 Q. Yeah.
- 16 A. "Dashboards By Example."
- Q. And then what does it say under that?
- 18 A. "What's on your Dashboard?"
- 19 Q. And if you look below, do you see the term
- 20 "Dashboard"? It appears twice. The second
- 21 appearance of "Dashboard" that's in bold, large font.
- 22 A. I do.
- 23 Q. Can you read for me what it says under
- 24 that?
- 25 A. "Ads by Google."

- 1 O. The second one?
- 2 A. "From a simple excel dashboard to a fully
- 3 integrated enterprise reporting suite, the Business
- 4 Dashboard is being quickly adopted as the new face of
- 5 Business Intelligence. It has a rapidly growing role
- 6 in BI reporting and analysis."
- 7 Q. Is this Business Dashboard at all related
- 8 to DealerDashboard.com business?
- 9 A. It is not.
- 10 Q. Okay. Is -- so your DealerDashboard.com
- 11 is not affiliated at all with this Business
- 12 Dashboard?
- 13 A. That's correct.
- 14 Q. If you could -- okay.
- 15 (Deposition Exhibit 29 was marked for
- identification.)
- 17 BY MS. FINGUERRA-DUCHARME:
- 18 Q. Okay. I'm handing you Exhibit 29, which
- 19 is a printout from May 3rd, 2008 from the Web site
- 20 www.qoallinesolutions.com. Can you please read for
- 21 me what the header says here?
- 22 A. "Dealer Dashboard Goalline Solutions
- 23 Inc."
- Q. Okay. And then can you read for me what
- 25 it says under -- it says, "About," "Products,"

1 "Solutions," "My Account," under that line, what does

- 2 it say?
- 3 A. "Dealer Dashboard," "If you can't measure
- 4 it, can't manage it."
- 5 Q. And can you read the next paragraph?
- 6 A. "Dealer Dashboard Key Importance
- 7 Indicators provide the dealer and service manager
- 8 with visibility to the crucial numbers that drive the
- 9 performance of the service department. You have the
- 10 ability to set target goals for the average labor
- 11 dollars per" --
- 12 Q. You can stop there.
- 13 A. Okay.
- Q. Are you familiar with Goalline Solutions,
- 15 Inc.?
- 16 A. I am not.
- 17 Q. Okay. Is this Dealer Dashboard related to
- 18 your DealerDashboard.com business?
- 19 A. It is not. And it looks like it was
- 20 copyrighted in 2006, and they will receive a cease
- 21 and desist.
- 22 Q. Okay.
- A. And they are obviously trying to exploit
- 24 my brand.
- 25 Q. Okay.

1 (Deposition Exhibit 30 was marked for

- 2 identification.)
- 3 BY MS. FINGUERRA-DUCHARME:
- 4 Q. Okay. I'm handing you Exhibit 30, which
- 5 is a printout from ca.denon, d-e-n-o-n, .com, printed
- 6 on May 3rd, 2008. Can you please read for the record
- 7 what's in the header?
- 8 A. "Denon Canada/Dealer Dashboard."
- 9 Q. Okay. And can you read for me the -- in
- 10 the center of the page, it says --
- 11 A. "Denon Dealer Dashboard." "The Dealer
- 12 Dashboard is the easiest way for Denon dealers to get
- 13 the latest information on Denon products, events,
- 14 company information and more. As a Denon dealer, the
- 15 Dashboard provides you with all kinds of product
- 16 related items such as downloads, images, sell sheets,
- 17 and more."
- 18 Q. And then there appears to be a "Dealer
- 19 Dashboard Log-In" --
- 20 A. Correct.
- 21 Q. -- where you'd have to enter a password?
- 22 A. Correct.
- Q. Okay. I know we had mentioned Denon
- 24 before with --
- 25 A. Yeah. It's -- it's non-competing in the

- 1 automotive industry, although Marantz, their
- 2 division, it does compete.
- 3 Q. Okay. So you were familiar with Denon's
- 4 use of Dealer Dashboard?
- 5 A. I am familiar with their use of Dealer
- 6 Dashboard.
- 7 (Deposition Exhibit 31 was marked for
- identification.)
- 9 BY MS. FINGUERRA-DUCHARME:
- 10 Q. Okay. I'm handing you a printout of --
- 11 from dashboardonline.com, printed May 3rd, 2008. Are
- 12 you familiar with this company?
- 13 A. I am.
- 14 Q. How so?
- 15 A. This is the company that -- that I told
- 16 you has anonymously registered their Web site, unable
- 17 to get in touch with them. I feel it's a direct
- 18 violation of the trademark DealerDashboard, and I
- 19 think it's in direct violation of the trade dress of
- 20 DealerDashboard because they are in direct
- 21 competition with DealerDashboard.
- Q. When you say "trade dress," you meant the
- 23 picture that we spoke about before?
- A. Right. I believe it's intentional.
- 25 Q. Okay.

- 1 A. The phone -- the phone number is
- 2 unregistered. There has been no response to cease
- 3 and desist at the e-mail they provide. And I've
- 4 been unable to locate the owners. I've contacted
- 5 their hosting company. The hosting company refused
- 6 to reveal the information.
- 7 (Deposition Exhibit 32 was marked for
- 8 identification.)
- 9 BY MS. FINGUERRA-DUCHARME:
- 10 Q. Okay. I've just handed you Exhibit 32,
- 11 which is a printout from the Web site us.marantz,
- 12 m-a-r-a-n-t-z, .com, printed on May 3rd, 2008.
- 13 Underneath the graphics here, can you -- can you read
- 14 what it says?
- 15 A. "Welcome to the Marantz Dealer Dashboard!"
- 16 O. Yeah.
- 17 A. They are -- they are -- if you'll look in
- 18 the bottom right-hand corner, "2008 D&M Holdings."
- 19 O. Yeah.
- 20 A. Denon and Marantz are the same
- 21 organization. They deal in audio equipment. They
- 22 are a non-compete in the automotive industry, except
- 23 they do offer car audio. I have sent a
- 24 cease-and-desist letter.
- Q. Okay. Can you read for me what it says in

- 1 the center?
- 2 A. "The Dealer Dashboard is the easiest way
- 3 for Marantz dealers to get the latest information on
- 4 Marantz products, events, company information and
- 5 more. As a Marantz dealer, the Dashboard provides
- 6 you with all kinds of product related items such as
- 7 high resolution images, sell sheets, and corporate
- 8 identity images."
- 9 Q. Have they responded to your
- 10 cease-and-desist letter?
- 11 A. They have not.
- 12 Q. When did you send that letter?
- 13 A. I sent them another one just, like, two
- 14 days ago, because I did a search prior to this. I
- 15 sent a couple cease and desist and a couple that have
- 16 not -- I haven't sent yet that are on my agenda to
- 17 send.
- Just prior to me coming to this
- 19 deposition, I did the same search that you did and
- 20 used it as -- like I always do and -- to maintain the
- 21 brand, is how Honda got the cease-and-desist letter
- 22 because they showed up in those search engines, which
- 23 they no longer do, as far as I know.
- 24 (Deposition Exhibit 33 was marked for
- 25 identification.)

- 1 BY MS. FINGUERRA-DUCHARME:
- 2 Q. I'm handing you Exhibit 33, which is a Web
- 3 site printout from www.mcintosh, m-c-i-n-t-o-s-h,
- 4 labs, l-a-b-s, .com, printed on May 3rd, 2008.
- 5 A. This is also a sister company of Denon and
- 6 Marantz.
- 7 O. McIntosh is related to Denon?
- 8 A. Denon and Marantz, yes.
- 9 Q. And how -- how are they related?
- 10 A. It's the same company, D&M Holdings.
- 11 Q. Okay. Can you tell me what their product
- 12 is called, their services are called?
- A. Well, it looks like they use the same
- 14 Dealer -- what they call Dealer Dashboard for their
- 15 electronics dealers, that's the way it appears to me.
- 16 Q. And it says "McIntosh Dealer Dashboard,"
- 17 right?
- 18 A. Correct.
- 19 O. It said that a few times?
- 20 A. Right. They're a non-compete in the
- 21 automotive industry.
- 22 (Deposition Exhibit 34 was marked for
- identification.)
- 24 BY MS. FINGUERRA-DUCHARME:
- Q. Okay. I've just handed you what's been

- 1 marked as Exhibit 34, which is a printout from
- 2 findarticles.com. And it is a press release from
- 3 2004, that's Subaru Australia. Can you please read
- 4 the second sentence of this press release?
- 5 A. Are you talking about from "San
- 6 Francisco"?
- 7 Q. The -- in the next paragraph.
- 8 A. "Plumtree Software"?
- 9 Q. Yeah, but just start with the second
- 10 sentence.
- 11 A. "'The dealer dashboard,'" is that what
- 12 you're talking about?
- 13 O. Yes.
- 14 A. The second paragraph. "'The dealer
- 15 dashboard in the portal has been a huge win for
- 16 Subaru Australia because it gives dealers a central
- 17 point of reference for key metrics and trends that
- 18 they couldn't see before.'"
- 19 Q. Okay. Actually before that, I was
- 20 thinking this second sentence.
- 21 A. Oh, okay. "The dealer dashboard has
- 22 streamlined" --
- 23 Q. Yep.
- 24 A. -- "communication between Subaru and its
- 25 dealer network so that each dealer has direct access

1 to the latest metrics such as inventory, order status

- 2 and promotional details. As a result, dealers are
- 3 able to target improved customer service, while
- 4 effectively representing the Subaru brand."
- 5 Q. Is this dealer dashboard at all related to
- 6 DealerDashboard?
- 7 A. It is not.
- Q. Okay.
- 9 A. I would like to note this is an old press
- 10 release from May of 2004. They did receive a cease
- 11 and desist. To the best of my knowledge, they no
- 12 longer use those terms. However, a cached press
- 13 release may still appear in the results.
- 14 Q. Okay.
- 15 (Deposition Exhibit 35 was marked for
- 16 identification.)
- 17 BY MS. FINGUERRA-DUCHARME:
- 18 Q. Okay. I have just handed you Exhibit 35,
- 19 which is a printout from blog.furnishweb.com,
- 20 printed on May 3rd, 2008. If you -- you see a graph
- 21 on there, sort of a pie chart?
- 22 A. Uh-huh.
- 23 Q. Can you read what it says next to the pie
- 24 chart?
- 25 A. "Dealer Dashboard Updates." "On the

- 1 Dealer Dashboard there are two new pieces of
- 2 information; Collections Year-To-Date and Top Sellers
- 3 YTD."
- 4 Q. That's good. And then on the second page
- 5 of Exhibit 35, if you can read the -- the first
- 6 sentence?
- 7 A. There is also a new link on the Dealer
- 8 Dashboard to Sales Tools."
- 9 Q. Okay. Is this DealerDashboard related to
- 10 the DealerDashboard.com business?
- 11 A. It is not.
- 12 Q. Okay. Before today, did you know of the
- 13 use of DealerDashboard in connection with these
- 14 services on Exhibit 35?
- A. With FurnishWEB? No. This is the first
- 16 time I have seen this --
- 17 Q. Okay.
- 18 A. -- before. And I'm not certain that they
- 19 are involved in the automotive industry. I don't
- 20 know if they are or they aren't.
- 21 Q. Okay.
- 22 A. It looks like furniture, Bassett, looks
- 23 like -- I don't know -- Rivers Edge, I want to say
- 24 they're a furnishing company.
- MS. FINGUERRA-DUCHARME: Do you need

- 1 another break?
- THE WITNESS: No, I'm fine.
- 3 (Deposition Exhibit 36 was marked for
- 4 identification.)
- 5 BY MS. FINGUERRA-DUCHARME:
- Q. Okay. I've just handed you Exhibit 36.
- 7 Can you please describe -- do you recognize this
- 8 document?
- 9 A. I do.
- 10 Q. Can you describe it for the record?
- 11 A. It's an affidavit "In Response To
- 12 Opposer's First Set of Interrogatories."
- Q. We can go to response number 8, which
- 14 begins on page 2. This, I believe, is in response to
- 15 the question with how you developed the mark. You
- 16 assert that "The Internet was in its infancy. The
- 17 applicant was involved in ground floor automotive
- 18 Internet development."
- Can you explain to me what you meant by
- 20 being on the ground floor?
- 21 A. The -- the '90s was the emergence of the
- 22 Internet. The automotive industry was probably the
- 23 first industry to fully utilize the aspects of -- of
- 24 the Internet with regards to, you know, providing
- 25 their inventory shopping online and things like that.

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I worked as a consultant to dealerTools,
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- 2 who was the former national sales manager for
- 3 Autoweb.com. Autoweb was the first online -- maybe
- 4 not the first online shopping, but the first online
- 5 shopping that became nationally known and nationally
- 6 utilized.
- 7 Back at that time, there wasn't a thing
- 8 known as a BlackBerry, and yet the automotive
- 9 industry provided a way to allow salespeople, while
- 10 anywhere, to receive text messages from leads that
- 11 they would receive with regards to sales. I don't
- 12 recall the -- the device that it was, but it -- it
- 13 looked like a small pager that had text lines by
- 14 Research In Motion.
- So I was involved in developing the sales
- 16 channel for the first precursor to BlackBerry by
- 17 Research In Motion. And by that, that's what I
- 18 meant, I was -- I was involved in the ground floor
- 19 grass roots.
- 20 Prior to me developing DealerDashboard,
- 21 there had been only one national retail on the line
- 22 Web conference in the whole U.S., and I attended the
- 23 second one. I wasn't at the first one. So that's
- 24 what I meant was that I was on the ground floor --
- 25 Q. Okay.

- 1 A. -- of that.
- Q. Okay. When you talk about how you
- 3 "conceptualized an automotive dashboard with the
- 4 gages and tools to make informed decisions," the way
- 5 all those third parties were using the terms,
- 6 weren't -- aren't their uses consistent with how you
- 7 describe -- what your original concept was back in
- 8 1999?
- 9 A. It -- if you would interpret that that is
- 10 true, then I would have to assert we were the first
- 11 in use of that name.
- 12 Q. Right. So you were the first one to start
- 13 to use the term "dashboard" and "DealerDashboard" in
- 14 particular --
- 15 A. Correct.
- 16 Q. -- to describe a place -- one central
- 17 place on the Internet to get information?
- 18 A. Correct.
- 19 Q. And that is the way these third parties
- 20 are also using the term that we -- that we saw today?
- 21 A. I think they're referring to as how the
- 22 term "dashboard" has evolved, you know, with the use
- 23 of the graphical user interface. Because it sounds
- 24 like to me that's what they're -- they're describing.
- 25 However, it would be my argument to use that term

- 1 "DealerDashboard" would be marketing -- marketing
- 2 confusion -- market confusion with regards to my
- 3 brand DealerDashboard and the first in use. Does
- 4 that answer your question?
- 5 Q. It does.
- 6 A. Okay.
- 7 Q. I mean, you'd agree -- would you agree
- 8 with me that a dashboard, the term "dashboard," is --
- 9 it's a central place to find useful information?
- 10 A. You know, once again, I would have to say
- 11 a dashboard in the automotive industry, as I have
- 12 interpreted dashboard, has to do with an instrument
- 13 panel. The dashboard, and they -- what you're
- 14 referring to, that the computer has -- the computer
- 15 industry has slang, which there is -- there is no
- 16 reference to it in any encyclopedias or any -- any
- 17 dictionaries, but as slang, they have -- I think it
- 18 refers.
- And I think, based on my research on the
- 20 history, I think you'll find that around 2004, when
- 21 the Genome Project came out with an Apple utilizing
- 22 that in Version 10.4, and they called their desktop
- 23 on their computer a dashboard, you will find that it
- 24 has evolved to include what -- what you're talking
- 25 about.

- 1 Q. Okay. So -- so right now -- so right now
- 2 the term -- when -- strike that.
- In your view, when you first used the
- 4 mark -- or when you first used the word "dashboard,"
- 5 it was unique when you first used it?
- 6 A. I think I was the original --
- 7 Q. Okay.
- 8 A. -- originator.
- 9 Q. Right, okay. And then others have started
- 10 to use it?
- 11 A. Right. And a lot of those individuals who
- 12 have used it, I believe, is as a direct result of
- 13 exploiting my brand in the automotive industry.
- 14 Q. Well -- but you just described this advent
- 15 when Apple came out with the dashboard for its
- 16 terminal?
- 17 A. Right.
- 18 Q. And that there has been an evolution of
- 19 the term "dashboard" --
- 20 A. Dashboard, correct.
- 21 Q. -- to mean, now, something different from
- 22 when you may have first started to use it?
- 23 A. That's correct.
- Q. Okay. So there is this secondary
- 25 meaning -- and I'll -- I'll strike that because

- 1 secondary meaning has a -- has a meaning in trademark
- 2 words. So let's just say there's -- there's another
- 3 meaning of the word "dashboard" that's prevalent now
- 4 on the Internet?
- 5 A. With regards to a graphical user
- 6 interface, yes. With regards to the terms -- and I
- 7 make no claim to the -- to the independent rights to
- 8 dashboard or the independent rights to a dealer. My
- 9 brand is DealerDashboard.
- 10 Q. But what about that e-newsletter for the
- 11 RVTraders, that has nothing to do with the graphical
- 12 interface?
- 13 A. Sure it did. It had to do with -- with RV
- 14 dealers.
- 15 Q. Right, but not with -- you're talking
- 16 about software.
- A. And that's what they were talking about.
- 18 That was my interpretation.
- 19 Q. So in your -- in your answer to the
- 20 interrogatories on page 3 of Exhibit 36, you say
- 21 that --
- 22 A. Page 3, what -- what question?
- Q. I haven't asked a question yet. On
- 24 Exhibit 36, page 3 --
- 25 A. Oh, I'm sorry.

- Q. -- you say that, "The applicant wishes to
- 2 note that opposers claim that dashboard is generic in
- 3 nature: Was not the case when applicant selected the
- 4 term."
- 5 A. Correct.
- 6 Q. So do you admit that it's -- that it is
- 7 the case now?
- 8 A. No. I admit that the -- that the opposer
- 9 has claimed that because there has been an evolution
- 10 in the term "dashboard," that somehow makes
- 11 DealerDashboard generic.
- 12 Q. Okay.
- 13 A. That's -- that's what I was acknowledging
- 14 there.
- 15 Q. And you think that dealer -- that your
- 16 success may have contributed to this evolution of the
- 17 term "dashboard"?
- 18 A. Especially in the automotive industry,
- 19 there's no doubt about it.
- Q. Okay. So you -- you admit that the term
- 21 "dashboard" has evolved since you first started to
- 22 use it? That it no longer just means --
- 23 A. No.
- Q. -- a console on a car?
- 25 A. No. I think some people have made it

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- 1 slang. Has it evolved to the -- to the point of
- 2 being generic? No.
- I think it has evolved -- at this stage,
- 4 it has evolved to the -- to the point to those in the
- 5 industry who are familiar with the Genome Project,
- 6 who was familiar with Apple 10.4, and who was
- 7 familiar with the graphical user interface, the term
- 8 "dashboard" has been used as a slang. Has it evolved
- 9 to the point to where the average consumer knows that
- 10 dealer -- that dashboard refers to this? No.
- 11 Q. And are you -- but you have no evidence
- 12 that the average consumer, when they hear
- 13 DealerDashboard, they think of you?
- A. No, but I do have evidence that those in
- 15 the automotive industry know DealerDashboard and have
- 16 attempted to exploit that brand.
- 17 Q. And what's your evidence that people in
- 18 the automotive industry know DealerDashboard?
- A. Well, just based on the attempts to
- 20 utilize it and attempts that they cease and desist
- 21 from using it.
- Q. And which company is this? This was that
- 23 -- the one that you can't --
- A. Nowcom, for one. There have been several.
- 25 I mean, there has been so many that -- that I don't

- 1 even recall all of them. BMW was one, they stopped.
- 2 Honda was one, they stopped. You know, they're --
- 3 Q. They just -- they just stopped using the
- 4 term "DealerDashboard," as far as you know?
- 5 A. They may use it internally. They have
- 6 stopped providing it in -- in the --
- 7 Q. In --
- 8 A. -- as appearing in the search engines.
- 9 Q. In search, okay.
- 10 A. And as far as I -- I asked them to --
- 11 Q. Are you talking about Honda or BMW now?
- 12 A. Both.
- Q. Both, okay. I just want to be clear.
- A. But as far as I know, they both have
- 15 stopped using the brand.
- Q. Okay. Why don't we take a short break. I
- 17 just want to flip through your responses to the
- 18 document requests and see if I had any questions
- 19 about the documents, make sure I understand them all.
- 20 If not, I might want you to just quickly walk me
- 21 through what they are, and then I think we're
- 22 probably going to finish.
- 23 A. Okay.
- 24 (A recess was taken from 3:22 to 3:29.)
- 25 MS. FINGUERRA-DUCHARME: Back on the

- 1 record.
- 2 (Deposition Exhibit 37 was marked for
- 3
 identification.)
- 4 BY MS. FINGUERRA-DUCHARME:
- 5 Q. So we have marked as Exhibit 37
- 6 "Applicant's Response To Opposer's First Set
- 7 of Requests for Documents and Things." So if we
- 8 could, most of this stuff I understand what it is.
- 9 If maybe we can just quickly go through
- 10 some of the stuff in the middle, and I'll direct you
- 11 to the right page, and you can just give me a quick
- 12 explanation. If I have follow-up questions, I'll ask
- 13 you. So maybe if you'd turn to what you had labeled
- 14 Exhibit I, which is maybe about a third of the way
- 15 through.
- 16 A. Okay.
- 17 Q. So can you explain to me what these few
- 18 pages are?
- 19 A. On Exhibit I, I believe the -- you asked
- 20 me to --
- 21 Q. I actually have the request, if that would
- 22 help, also.
- 23 A. Okay. Thanks. I think --
- Q. Where do you see in -- in here -- okay.
- 25 Actually --

- 1 A. I tried to do them in order. Okay. It
- 2 would be -- it has to be on the first page, I guess.
- 3 I must have messed up.
- 4 Q. I feel better now because I was trying to
- 5 connect --
- 6 A. Oh, here it is. Exhibit A -- is that A
- 7 and I, "of record and available at," number 9,
- 8 question number 9?
- 9 Q. Right. Well, that seems to be referring
- 10 to the PTO. Let's see the number.
- 11 A. That's what happens when you're dealing
- 12 with a layman.
- 13 Q. It has made it an exciting challenge,
- 14 Mr. Dalton. All right. Now, 9 is all documents
- 15 regarding the earliest use.
- 16 A. Okay. That's -- I was trying to show you
- 17 all the services that I was offered at the earliest
- 18 use with -- and --
- 19 Q. Oh, I see. So it's A through --
- 20 A. Yeah -- well, that's kind of -- this is a
- 21 solicitation for people who would want to come to
- 22 work for the -- for the organization.
- 23 Q. Okay.
- A. And it basically tells the different
- 25 products that were available at -- at its earliest

- 1 use.
- 2 Q. I see, okay.
- 3 A. It's kind of what I had in mind when I
- 4 presented that to you.
- 5 Q. Okay. Great. And then the -- the next
- 6 page then, this is all still just sort of about the
- 7 services that you offer?
- 8 A. Okay. Now, is this all "I" still? Yeah,
- 9 okay. What this does is, these are the screenshots.
- 10 I think in your opposition, you had some questions
- 11 with regards to the sales and marketing tools and
- 12 things like that, and this shows where they can "Run
- 13 Aged Inventory Lists" on the screenshot, and -- and
- 14 this one page shows how they could get a return on
- 15 investment of the vehicle.
- 16 Q. We're talking, for the record, where it
- 17 says, "Picture to upload (640 pixels wide
- 18 recommended): Browse...D2D vehicle detail form."
- 19 A. Right.
- 20 O. What is this form?
- 21 A. This would be a part of the key financial
- 22 indicators to a dealership, how they would perform
- 23 with regards to the return on investment. Actually,
- 24 that would be the -- what I'm showing here, it's the
- 25 listing of a -- of a vehicle for sale. And then the

- 1 next page would be where it would detail and you
- 2 could do the return on investment.
- 3 Q. Okay. Now, just to -- just to be clear
- 4 then, if -- and I know you had mentioned before that
- 5 so far a dealership has not signed on yet for this
- 6 service. If they did, they would get on their
- 7 screen, their computer screen, all of this type of
- 8 information?
- 9 A. Correct.
- 10 Q. Okay. So isn't that similar to what we
- 11 were talking about before with dashboard, with this
- 12 involved term "dashboard"?
- A. Actually, that's called a dealer-to-dealer
- 14 auction, and it just provides financial tools. And
- 15 it's marketed under the Web site DealerDashboard.
- 16 Q. Okay. And I understand that, but this
- 17 whole concept of providing useful financial
- 18 information to a dealer in one place through your Web
- 19 site DealerDashboard.com, isn't that consistent with
- 20 that definition we were talking about before with
- 21 dashboard?
- 22 A. If it is, I would -- you know, I think
- 23 that's objective because, like I said, I think the
- 24 term has evolved to include a graphical user
- 25 interface. That being said, obvious first use.

1 Q. And that being said, putting all of that

- 2 aside, that the term had been used with this
- 3 graphical interface, you were the first guy to come
- 4 out with the term, putting all of that aside, there's
- 5 an evolved meaning of the term "dashboard" that
- 6 represents on the Internet, on your computer, a way
- 7 to have a lot of information that was in different
- 8 places, very important information, in one spot at
- 9 one time. And this -- this page, to me, seems to be
- 10 providing that information.
- 11 A. To a specific targeted market of
- 12 automobile dealers, either the full and complete term
- 13 "DealerDashboard."
- 14 Q. I understand that. But you agree with me
- 15 that -- that -- let's say that this wasn't your --
- 16 this wasn't your product. This was me, I'm creating
- 17 my own business?
- 18 A. Uh-huh.
- 19 Q. And this is going to be what I sell to
- 20 somebody. You could call this -- this would fall
- 21 underneath that evolved definition of dashboard? If
- 22 I was to provide somebody the service --
- 23 A. I would have to disagree with that because
- 24 the -- the way the term as you're claiming has
- 25 evolved since 2004, a dashboard has to do with an

- 1 analysis function of a lot of different variables and
- 2 then providing a graphical display of, you know, some
- 3 type of a performance or some type of a measurement
- 4 or something like that, this is basically a
- 5 calculation of simple -- simple math.
- Q. And just -- just so you understand for the
- 7 record, by the way, because I know that you're not
- 8 going to be represented by a lawyer going forward,
- 9 that I'm not here as a testifying witness, so
- 10 anything that I say, it's not any kind of a -- when
- 11 I, you know --
- 12 A. I did catch that. Thank you, though.
- Q. Okay. Just that, you know, whether or not
- 14 you're the first one to have used the mark, I want to
- 15 make clear that I'm saying, assuming arguendo, okay?
- 16 A. Okay.
- 17 Q. All right.
- MR. DAMERON: She hasn't been sworn or
- 19 anything.
- 20 MS. FINGUERRA-DUCHARME: I'm trying to get
- 21 him to focus on the other half of the question,
- 22 so --
- 23 BY MS. FINGUERRA-DUCHARME:
- Q. So the next few pages, this is all sort of
- 25 examples of what this dealer-to-dealer interface

- 1 would be like?
- 2 A. The -- are you looking at service
- 3 department and expense breakdown?
- 4 Q. I was looking at the "D2D vehicle detail
- 5 form Lot #2"?
- A. Yeah, that's -- that's all part of the --
- 7 Q. Okay. Then the next page is OHOOO1, 2,
- 8 1992 Dodge Caravan (Minivan)?
- 9 A. Oh, that shows a -- that's a screenshot of
- 10 a listing of a particular vehicle to how it would
- 11 look to a dealer.
- 12 Q. Okay. And look at the next page, "Used
- 13 Inventory Management System!"
- 14 A. Right. That gives you aging reports, how
- 15 long a car is in inventory.
- Q. And, again, this would be for if a dealer
- 17 was to engage your -- what -- what do we call these
- 18 services?
- 19 A. That's the dealer-to-dealer or D2D
- 20 wholesale online auction.
- Q. Okay. And then "Service Department
- 22 Expense Breakdown"?
- A. Yeah. This is part of my independent
- 24 financial consulting that I do for dealerships. And
- 25 basically these are, like, the forms I would use to

1 provide them the various information with regards to

- 2 the sales, service, parts department.
- 3 Q. Okay.
- A. Because I believe you had a question on
- 5 whether I was even engaged in that activity --
- 6 Q. Right.
- 7 A. -- and I was trying to demonstrate that --
- Q. Okay.
- 9 A. -- yes, indeed, I was.
- 10 Q. And that's what all these blank forms then
- 11 are?
- 12 A. Correct.
- 13 Q. All right.
- 14 A. They're -- they're tools that I would use
- 15 to analyze a dealer.
- 16 Q. Okay. What about this Exhibit J, the
- 17 "Confidential Application For Floorplan Line of
- 18 Credit"?
- 19 A. Yeah, that -- I think you had asked for
- 20 any customers or something like that who had utilized
- 21 my services --
- 22 Q. Right.
- 23 A. -- under the brand DealerDashboard.
- Q. Right.
- A. And as you can see, this is an application

1 with the logo DealerDashboard at the top, with the --

- 2 identifying it as DealerDashboard and the address.
- 3 And then this would be the application that a dealer
- 4 would submit to me if they were interested in using
- 5 floorplan services. And as you can see, this is
- 6 branded DealerDashboard, okay, although I use a
- 7 third-party vendor to do their financing. So this is
- 8 faxed to me. I review it through initial
- 9 underwriting and compilation of -- sort of like a
- 10 loan originator.
- 11 Q. Right.
- 12 A. And then -- then send it to a lender once
- 13 it's a complete package.
- Q. And when you say you're branding, you're
- 15 branding it with the -- the design mark, the
- 16 DealerDashboard.com?
- 17 A. Yeah.
- 18 Q. Okay. Now, when you -- so do you just
- 19 help these guys get money for their floorplans, or do
- 20 you actually go in and advise them as to how to set
- 21 the cars up in the showroom type thing?
- 22 A. Well, I mean, I do offer them those
- 23 services, but -- but today I have just arranged for
- 24 them to get half a million dollar line of credit and
- 25 a million dollar line of credit.

- Q. All right. So what is it, that you back
- 2 them? I mean, how does that -- why -- why is it that
- 3 they need to go through you to get the credit?
- A. Well, they -- they would go and search for
- 5 floorplan financing as an automobile dealership. And
- 6 as an automobile dealership, you would find
- 7 DealerDashboard as one of the first to come up. And
- 8 which is why I think some of these other financial
- 9 companies are particularly using me, I mean, trying
- 10 to get listed under DealerDashboard because I am
- 11 one -- one of the -- you know, if you -- if you type
- 12 in floorplan financing, you'll find that I'm one of
- 13 the first ones to pop up.
- 14 Q. Right.
- 15 A. So they would go there and then they would
- 16 click on a link and it would take them to that
- 17 section of DealerDashboard that deals with floorplan
- 18 financing, and then there's a -- there's a section
- 19 where they would click on apply now, and they would
- 20 download a PDF of instruction and the application.
- 21 They'd actually have to download that, they can't do
- 22 it online, because I need some -- to put the package
- 23 together, I need their dealer license, their
- 24 incorporation documents and things like that --
- 25 Q. Right.

- 1 A. -- a complete loan package to submit. So
- 2 they would submit that to me via fax, then I compile
- 3 it and send it to a third-party vendor. And a
- 4 third-party vendor, I provided you their name and so
- 5 forth, and even an e-mail from --
- Q. Yeah, I think we're going to get to that.
- 7 A. Okay -- from them. And they actually
- 8 underwrite it. And once they underwrite it, I get
- 9 initial commission for -- for the account and then a
- 10 perpetual percentage of the amount that they actually
- 11 do floor.
- So if -- if a deal dealer has \$250,000
- 13 worth of floorplan and never uses it, I'd get zero,
- 14 okay? But if they get -- have \$250,000 in floorplan
- 15 and utilize that floorplan by going out and buying
- 16 cars at an auction and then having the lender
- 17 actually pay for those cars, and then they have a --
- 18 it's short-term financing, sort of like payday
- 19 lending.
- 20 Q. Right.
- 21 A. I mean, a kind of hefty fee, but basically
- 22 when their inventory is -- is sitting on the
- 23 dealership floor and it's through this vendor, I'm
- 24 receiving a commission.
- Q. Okay. All right. So this was a customer

- 1 that had used you to help them get their line of
- 2 credit?
- A. I'm pretty sure they're a customer. Let's
- 4 see. Yes, they are.
- 5 Q. Okay. So what --
- A. That was the most recent one. Yeah, I
- 7 think you had asked for the most -- most recent.
- Q. So what are -- what are these next
- 9 documents from VistaPrint?
- 10 A. I think you asked what I had done in the
- 11 way of advertising, and this would be printed
- 12 materials. I think there's some return addresses
- 13 with the brand, yeah, and there's a sample of the
- 14 return address, the brand, DealerDashboard, and then
- 15 there's -- there's some -- also some --
- Q. Oh, I see, this is your return label. I
- 17 got you.
- 18 A. Right, some fliers for the floorplan
- 19 financing. I think I made you a copy -- yeah --
- Q. Oh, now I see it.
- A. -- if you look there, there's a --
- 22 Q. Okay.
- A. Yeah. The front and back of the postcard
- 24 mailer I do.
- Q. Oh, I see. Oh, I see. That's -- that's

1 what this is, this is the postcard that you sent out

- 2 to advertise your services for the floor?
- 3 A. (Nodding head.)
- Q. Okay. You can see how me just looking at
- 5 this, I would have no idea what this was, so -- all
- 6 right. Great. And then you ordered shirts. I see
- 7 you're wearing one today?
- 8 A. Right. And that's where I, you know --
- 9 Q. Why did you send me a picture of yourself?
- 10 A. You asked for representative samples of
- 11 advertising materials that were --
- 12 Q. I love it. So you took a picture of
- 13 yourself?
- 14 A. Actually, my grandgirl took that picture
- 15 and I just, you know, sent it to you.
- 16 Q. That's great. I looked at it and I
- 17 said, why did he send me this? When you walked in
- 18 the door, it made it easy for me to identify you,
- 19 so --
- 20 A. Yeah, I think you asked for representative
- 21 samples.
- 22 Q. I did.
- 23 A. And --
- 24 Q. Yes.
- 25 A. And, you know, I showed you --

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1 Q. It is. You're a walking billboard, so --
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- 2 okay. What about this next document, November 30th,
- 3 1999, Michael Mason & Co. Ltd.?
- A. That's a press release, which was
- 5 actually done sometime after I actually had the
- 6 service, because I -- I had the service from the
- 7 beginning. But it's basically them acknowledging,
- 8 appointing me to the exclusive distributor in
- 9 the United -- well, appointing DealerDashboard.com
- 10 the exclusive distributor of the -- the
- 11 dealer-to-dealer auction.
- 12 Q. Okay. And then --
- 13 A. I think you asked for types of agreements
- 14 I had with third-party vendors.
- 15 O. Okay.
- 16 A. So I basically just provided you with a
- 17 blank copy of the -- the agreement I have with the
- 18 floorplan company, which is Dealer Services Company,
- 19 and they're -- they are backed by Tenneco, huge in
- 20 the automotive industry. And I think the next one is
- 21 Innova -- Inno -- Innoline (sic) or whatever,
- 22 Innovat --
- MR. DAMERON: Innovation.
- 24 THE WITNESS: InnovationLine, is that it?
- MS. FINGUERRA-DUCHARME: Yeah.

- 1 MR. DAMERON: That's what I would say.
- 2 A. This -- this is -- of course you know what
- 3 this is, right?
- 4 BY MS. FINGUERRA-DUCHARME:
- 5 Q. No, no, explain it to me.
- 6 A. Okay. This here is Honda America's use
- 7 of -- second use of DealerDashboard. I didn't
- 8 maintain the first use. They originally had this
- 9 titled DealerDashboard, and that's when I sent them a
- 10 cease and desist. They corrected this page to -- in
- 11 its current state, and in its current state it still
- 12 was popping up in the search listings. And I sent
- 13 them a second cease and desist, and then it was
- 14 removed.
- 15 Q. But this is with GM. Am I missing
- 16 something here?
- 17 A. Yeah, exactly, GM.
- 18 Q. So this is for your dealer principal and
- 19 general manager, okay.
- 20 A. Right.
- 21 Q. So this is -- you're saying that this is
- 22 put out by Honda?
- A. Correct.
- Q. Okay. But it doesn't say Honda anywhere,
- 25 or did I miss it?

- 1 A. Well --
- Q. Because when I saw the GM, I was thinking
- 3 General Motors, but --
- A. Well, I did, too, but I --
- 5 Q. -- that stands for -- that stands for
- 6 general manager?
- 7 A. I did, too, the second time it showed up,
- 8 and I thought, oh, no, now you're going to work for
- 9 GM --
- 10 Q. Right, right.
- 11 A. -- but it was just a modification from --
- 12 Q. From -- okay, this --
- 13 A. -- from the original use --
- Q. Okay. Because this --
- 15 A. -- that Honda was using, and I sent them a
- 16 cease and desist.
- 17 Q. Okay.
- 18 A. And I don't know -- it is Honda dealers, I
- 19 -- and it -- you know, Honda made it -- when I sent
- 20 the cease and desist, Honda made the changes. Now,
- 21 who -- I can't see where it's hosted. I thought I
- 22 had the listing of the Web site address. I know in
- 23 my answer I've listed it. Let me find the answer.
- Q. It just says appearing in search engines.
- 25 A. I didn't -- I think -- I didn't list

- 1 the --
- 2 Q. No.
- 3 A. I thought I listed the Web site address.
- 4 I know it's in the --
- 5 Q. Oh, I see -- well, actually I don't know
- 6 if -- it's okay.
- 7 A. That's what that is.
- Q. Okay.
- 9 A. That was -- that was the second generation
- 10 of the use of DealerDashboard on the Honda Web site
- 11 for which generated a cease and desist and --
- 12 O. And --
- 13 A. -- led to the ultimate opposition to this
- 14 trademark.
- 15 Q. And to be clear, because I think that you
- 16 had mentioned this before, you said that you don't
- 17 have a strong hold on the term "dealer," you don't
- 18 have a strong hold on the term "dashboard"?
- 19 A. Separately.
- Q. But you feel as if having those two terms
- 21 together is a problem, is that --
- 22 A. Correct.
- Q. -- that's where you're more -- that's
- 24 where your rights lie?
- 25 A. Correct.

- 1 Q. And you feel that way whether it's put
- 2 together as one word or two words?
- 3 A. Or two words.
- 4 Q. Okay.
- 5 A. In the automotive industry.
- Q. Okay. The next is the Wayback machine, we
- 7 spoke about that before. This is just -- and then
- 8 this last -- or second to last document, "The
- 9 Commercial/Business Lender Directory"?
- 10 A. Yeah, that would be the link onto that.
- 11 If you recall at the -- on the home page, on the
- 12 left-hand side, commercial lenders, I told you that
- 13 it was an opportunity for dealers to click on and
- 14 purchase a directory where they could find financing
- 15 sources, that's what this is.
- Q. Gotcha. Then the last, was that an e-mail
- 17 you were referring to before? I wasn't sure who
- 18 Amanda Kern was and what she -- what you guys were
- 19 discussing here.
- 20 A. Oh, okay. That -- that is DSC.
- 21 Q. Okay.
- 22 A. She is my direct contact at DSC for which
- 23 you have the agreement on the floorplan company.
- Q. Right, okay. So you were asking her -- I
- 25 got it now.

- A. You were asking me for customers, and --
- 2 and I was trying to get permission from her because
- 3 they're -- actually, they're her customers.
- 4 Q. Right.
- 5 A. I'm an agent, so I was asking them what I
- 6 could give you and --
- 7 Q. I understand. So these are four -- four
- 8 people -- four dealers have gone through you for
- 9 financing?
- 10 A. Yes.
- 11 Q. And this reflects that?
- 12 A. Right.
- Q. I understand, okay. I think that that --
- 14 A. I basically was just trying to comply with
- 15 your request.
- 16 Q. No, I appreciate that. I just couldn't
- 17 understand what -- what -- now, after the deposition,
- 18 I -- I understand this, but when I looked at it for
- 19 the first time, I didn't know what you guys were
- 20 talking about, so -- okay.
- MS. FINGUERRA-DUCHARME: I assume that you
- have no questions?
- MR. DAMERON: No.
- MS. FINGUERRA-DUCHARME: Do you want to
- ask yourself any questions? Okay. That's it.

1	Thank you very much for your cooperation. I
2	appreciate it.
3	
4	
5	
6	MICHAEL E. DALTON
7	
8	
9	DEPOSITION CONCLUDED AT 4:11 P.M.
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CERTIFICATE

2 | STATE OF OHIO

SS

COUNTY OF BUTLER

I, Wendy L. Raymer, RPR, CRR, the undersigned, a duly qualified and commissioned notary public within and for the State of Ohio, do hereby certify that before the giving of his aforesaid deposition, MICHAEL E. DALTON was by me first duly sworn to depose the truth, the whole truth and nothing but the truth; that the foregoing is the deposition given at said time and place by MICHAEL E. DALTON; that said deposition was taken in all respects pursuant to stipulations of counsel; that I am neither a relative of nor employee of any of the parties or their counsel, and have no interest whatever in the result of the action; that I am not, nor is the court reporting firm with which I am affiliated, under a contract as defined in Civil Rule 28(D).

IN WITNESS WHEREOF, I hereunto set my hand and official seal of office at Hamilton, Ohio, this 19_{th} day of 19_{th} , 2008.

My commission expires: December 6, 2011.

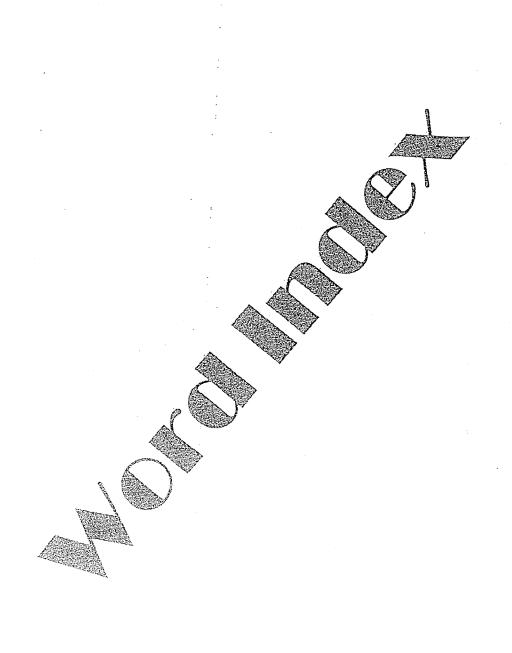
S/Wendy L. Raymer, RPR, CRR Notary Public - State of Ohio

1	
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